

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS
FINANCE COMMITTEE
COMMITTEE MEMBERS**

Claude Hoover, Chair
Ken Ekelund

David Hart
John Huerta

TIME: 10:00 a.m.
DATE: Friday, January 15, 2016
**PLACE: Monterey County Water Resources Agency
893 Blanco Circle
Salinas, CA 93901**

A G E N D A

- 1. Call to Order**
- 2. Public Comment**
(Limited to three (3) minutes per speaker on matters within the jurisdiction of the Agency not listed on this agenda. The public will have the opportunity to ask questions and make statements on agenda items as the Committee considers them.)
- 3. Consider approving the Minutes of the Finance Committee meeting on October 9, 2015.**
The Committee will consider approval of the Minutes of the above-mentioned meeting (Page 3).
- 4. Consider receiving the November 2015 Financials for the Castroville Seawater Intrusion Project/Salinas Valley Reclamation Project, and the Salinas River Diversion Facility.**
Mark Foxworthy, Associate Water Resources Engineer, will review the Financials for the CSIP/SVRP/SRDF (Page 7).
- 5. Consider receiving the November 2015 Financials for all Agency Funds.**
Cathy Paladini, Finance Manager, will review the October 2015 Financials for all Agency Funds (Page 13).
- 6. Consider approving Purchase Orders/Contracts/Credit Card Purchases in excess of \$500.**
Cathy Paladini, Finance Manager, will review purchase orders/contracts/credit card purchases in excess of \$500 (Page 35).

7. **Consider receiving an update on the Monterey County Water Resources Agency FY 2015-16 Consultants.**
Cathy Paladini, Finance Manager, will provide information regarding this item (Page 37).
8. **Consider recommending that the full Board of Directors approve Amendment No. 1 to the Professional Services Agreement with AECOM Technical Services, Inc., in the amount of \$11,968.16 to provide safety surveillance and performance evaluation of San Antonio Dam; and, authorize the General Manager to execute the Amendment.**
Manuel Saavedra, Water Resources Engineer, will provide information regarding this item (Page 39).
9. **Consider receiving and recommending that the full Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors approve Budget Amendment No. 6 for the Water Resources Agency authorizing the Auditor-Controller to amend the Monterey County Water Resources Agency's FY 2015-16 Adopted Budget for the Dam Operations O&M Zone 2C Fund 116, Appropriation unit WRA006 and San Antonio Non-O&M Zone 2A to increase estimated revenue & appropriations by \$524,099 for epoxy coating to San Antonio low level outlet pipe and valves.**
Cathy Paladini, Finance Manager, will provide information regarding this item (Page 57).
10. **Consider recommending that the full Board of Directors receive an update on a Request for Proposals re: Financial Consulting Services for the Monterey County Water Resources Agency.**
Cathy Paladini, Finance Manager, will provide information regarding this item (Page 61).
11. **Set next meeting date and discuss future agenda items.**
The Committee will discuss and determine details for its next meeting.
12. **Adjournment**

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS
FINANCE COMMITTEE
COMMITTEE MEMBERS**

Claude Hoover (Chair)
David Hart

Ken Ekelund
John Huerta

TIME: 10:00 a.m.
DATE: Friday, October 9, 2015
**PLACE: Monterey County Water Resources Agency
893 Blanco Circle
Salinas, CA 93901**

MINUTES

1. Call Meeting to Order @ 10:03 a.m. by Committee Chair, Claude Hoover
Members Present: Claude Hoover and David Hart
Members Absent: John Huerta, Ken Ekelund

A quorum was established.

2. Public Comment
None

3. Approve the Minutes of the Finance Committee Meeting held on August 14, 2015.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, approved the Minutes of the Finance Committee meeting held on August 14, 2015.

Motion carried unanimously by those members present.

4. Consider receiving the August 2015 Financials for the Castroville Seawater Intrusion Project/Salinas Valley Reclamation Project, and the Salinas River Diversion Facility.
Cathy Paladini, Finance Manager, reviewed the Financials for the CSIP/SVRP/SRDF.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, received the August 2015 Financials for the Castroville Seawater Intrusion Project/Salinas Valley Reclamation Project, and the Salinas River Diversion Facility.

Motion carried unanimously by those members present.

5. Consider receiving the September 2015 Financials for all Agency Funds. Cathy Paladini, Finance Manager, presented this item for consideration.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, received the September 2015 Financials for all Agency Funds.

Motion carried unanimously by those members present.

6. Consider approving Purchase Orders/Contracts/Credit Card Purchases in excess of \$500. Cathy Paladini, Finance Manager, presented this item for consideration.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, approved the Purchase Orders/Credit Card Purchases in excess of \$500.

Motion carried unanimously by those members present.

7. Consider receiving and accepting the Monterey County Water Resources Agency (MCWRA) 4th Quarter Financial Status report through June 30, 2015. Cathy Paladini, Finance Manager, presented this item for consideration.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, received and accepted the Monterey County Water Resources Agency (MCWRA) 4th Quarter Financial Status report through June 30, 2015.

Motion carried unanimously by those members present.

8. Consider receiving the report and recommending approval by the Monterey County Water Resources Agency Board of Supervisors the Issuance of a Request for Proposals for Financial Consulting Services for the Water Resources Agency. Cathy Paladini, Finance Manager, presented this item for consideration.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, received the report and recommended approval by the Monterey County Water Resources Agency Board of Supervisors the Issuance of a Request for Proposals for Financial Consulting Services for the Water Resources Agency, and recommended that the WRA Board of Directors request a funding source from the WRA Board of Supervisors to the County Board of Supervisors.

Motion carried unanimously by those members present.

9. Consider receiving the report and recommending approval of a Professional Services Agreement with JDH Corrosion Consultants, Inc., in the amount of \$36,235, to provide testing and evaluation services, training, and as-needed corrosion engineering consultation services for the Castroville Seawater Intrusion Project (CSIP) and Salinas River Diversion

Facility (SRDF) through June 30, 2017; and, authorizing the General Manager to execute the Agreement.

German Criollo, Water Resources Engineer, presented this item for consideration.

Committee Action:

Upon Motion and Second by Directors Hart and Hoover, received the report and recommended approval of a Professional Services Agreement with JDH Corrosion Consultants, Inc., in the amount of \$36,235, to provide testing and evaluation services, training, and as-needed corrosion engineering consultation services for the Castroville Seawater Intrusion Project (CSIP) and Salinas River Diversion Facility (SRDF) through June 30, 2017; and, authorizing the General Manager to execute the Agreement.

Motion carried unanimously by those members present.

10. Set next meeting date and discuss future agenda items.

The next meeting will be held on November 13, 2015 at 10:00 a.m.

11. ADJOURNMENT

The Committee adjourned at 11:00 a.m.

Submitted by: Alice Henault

Approved on:

**FY 2015-2016
SUMMARY OF CSIP, SVRP AND SRDF
OPERATIONS AND MAINTENANCE
EXPENDITURE REPORTS**

**FROM JULY 1, 2015 THROUGH NOVEMBER 30, 2015
5 MONTHS = 42% OF THE BUDGET CYCLE**

| | <u>TOTAL EXPENDITURES AND COMMITMENTS</u> | <u>FY 15-16 Budget</u> | <u>% USED</u> |
|--------------|-----------------------------------------------|----------------------------|---------------|
| CSIP | | | |
| MCWRA | 497,993 | 1,380,029 | 36% |
| MRWPCA | 586,694 | 1,501,203 | 39% |
| Total | <u>1,084,687</u> | <u>2,881,232</u> | <u>38%</u> |
| SVRP | | | |
| MCWRA | - | 255 | 0% |
| MRWPCA | 822,058 | 2,466,133 | 33% |
| Total | <u>822,058</u> | <u>2,466,388</u> | <u>33%</u> |
| SRDF | | | |
| MCWRA | 106,846 | 636,616 | 17% |
| MRWPCA | 14,481 | 75,808 | 19% |
| Total | <u>121,328</u> | <u>712,424</u> | <u>17%</u> |

| | | <u>Budget</u> | <u>% Used</u> |
|-----------------------------------------------|------------------------|---------------|---------------|
| CSIP Supplemental Well Water Produced this FY | 3,190 acre-feet | 6,058 | 53% |
| SVRP Recycled Water Produced this Fiscal Year | 6,588 acre-feet | 16,692 | 39% |
| SRDF River Water Produced this Fiscal Year | <u>0 acre-feet</u> | <u>0</u> | <u>0%</u> |
| Total Water Production | 9,778 acre-feet | 22,750 | 43% |

FUND 131

**Castroville Seawater Intrusion Project (CSIP) O&M Expenditure Report
FY 2015-2016**

**FROM JULY 1, 2015 THROUGH NOVEMBER 30, 2015
PRELIMINARY (pending MRWPCA FY 2014-15 audit)**

| Description | November, 2015 | Year-to-Date | Year-to-Date | Total Year-to-Date | FY 2014 - 2015(a) | Approved | FY 2015-2016 |
|-------------|-----------------------------|--------------|--------------|----------------------------|------------------------------------------------|------------------------------|------------------------|
| | Current Period Expenditures | Expenditures | Encumbered | Expenditures & Commitments | Expenditures & Commitments thru November, 2014 | FY 2014-2015 Budgeted Amount | Percent of Budget Used |
| A | B | C | D | E | F | G | H |

MCWRA O&M

| | | | | | | | | |
|-----|-------------------------------------------|---------------|----------------|--------------|----------------|----------------|------------------|------------|
| 1 | Communication Charges - External | 0 | 0 | 0 | 0 | 0 | 0% | |
| 2.1 | Earthquake Insurance | 0 | 8,080 | 0 | 8,080 | 17,153 | 20% | |
| 2.2 | Project Insurance | 0 | 249,596 | 0 | 249,596 | 0 | 118% | |
| 3 | Insurance reimbursement from MRWPCA | 0 | 0 | 0 | 0 | (75,000) | 0% | |
| 4.1 | Maintenance Svc. & Suppl. External | 1,043 | 1,463 | 1,069 | 2,532 | 1,000 | 81% | |
| 4.2 | Maintenance Svc. & Suppl. Internal | 0 | 0 | 0 | 0 | 0 | n/a | |
| 5 | Equipment Maintenance | 0 | 816 | 0 | 816 | 0 | 157% | |
| 6 | Memberships/Publications | (0) | 228 | 0 | 228 | 215 | 85% | |
| 7 | Non-Capital Equipment | 0 | 2,237 | 0 | 2,237 | 0 | 143% | |
| 8 | Miscellaneous Services | 2,037 | 2,037 | 0 | 2,037 | 0 | n/a | |
| 9 | Miscellaneous Supplies | 0 | 0 | 0 | 0 | 409 | 0 | n/a |
| 10 | Books/Periodicals and Other Subscriptions | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 11 | Courier Service (external) | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 12 | Lab Services | 1,263 | 1,263 | 0 | 1,263 | 626 | 0 | n/a |
| 13 | Outside Legal Counsel | 0 | 0 | 0 | 0 | 869 | 0 | n/a |
| 14 | County Counsel | 1,933 | 1,933 | 0 | 1,933 | 0 | 1,082 | 179% |
| 15 | Consultants/Contractors | 0 | 0 | 0 | 0 | 33,304 | 392,164 | 0% |
| 16 | MRWPCA-Prior year O&M adjustment | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 17 | Publications and legal Notices | 0 | 0 | 0 | 0 | 0 | 255 | n/a |
| 18 | Equipment Rental | 5,063 | 41,000 | 0 | 41,000 | 0 | 0 | n/a |
| 19 | MCWRA Labor Charges | 22,534 | 148,518 | 0 | 148,518 | 172,399 | 803,894 | 18% |
| 20 | Equipment | 39,753 | 39,753 | 0 | 39,753 | 0 | 0 | n/a |
| 20 | Infrastructure | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 21 | TOTAL O&M CSIP (MCWRA) | 73,625 | 496,924 | 1,069 | 497,993 | 150,975 | 1,380,029 | 36% |

MRWPCA O&M

| | | | | | | | | |
|----|----------------------------------------------|---------------|----------------|---------------|----------------|----------------|------------------|------------|
| 22 | MRWPCA Salaries/Benefits | 54,313 | 202,586 | 0 | 202,586 | 188,366 | 559,112 | 36% |
| 23 | Office Expense | 228 | 626 | 0 | 626 | 454 | 2,125 | 29% |
| 24 | Outside Professional Services | 51 | 1,135 | 3,852 | 4,988 | 4,057 | 25,000 | 20% |
| 25 | Operating Supplies | 491 | 4,814 | 160 | 4,974 | 6,440 | 12,550 | 40% |
| 26 | Contract Services - Lab Services, Equip Rent | 912 | 7,278 | 13,536 | 20,814 | 13,453 | 39,300 | 53% |
| 27 | Chemicals | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 28 | Utilities | 23,030 | 319,898 | 0 | 319,898 | 339,749 | 684,588 | 47% |
| 29 | PM/Repairs | 1,807 | 8,065 | 1,410 | 9,476 | 19,156 | 64,150 | 15% |
| 30 | Contingency | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 31 | Equipment Replacement Fund | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 32 | Vehicle Costs | 0 | 0 | 0 | 0 | 0 | 2,000 | 0% |
| 33 | Indirect | 18,459 | 23,333 | 0 | 23,333 | 38,747 | 112,378 | 21% |
| 34 | TOTAL O&M CSIP (MRWPCA) | 99,290 | 567,736 | 18,959 | 586,694 | 610,421 | 1,501,203 | 39% |
| 35 | CSIP PCA Capital Outlay (from Reserves) | 0 | 0 | 0 | 0 | 3,631 | 160,000 | 0.0% |

| | | | | | | | | |
|----|---------------------------|----------------|------------------|---------------|------------------|----------------|------------------|------------|
| 36 | TOTAL CSIP O&M | 172,915 | 1,064,660 | 20,027 | 1,084,687 | 765,028 | 3,041,232 | 36% |
|----|---------------------------|----------------|------------------|---------------|------------------|----------------|------------------|------------|

(a) Prior year has been added to provide a comparative reference

FUND 132

**Salinas Valley Reclamation Project (SVRP) O&M Expenditure Report
FY 2015-2016**

**FROM JULY 1, 2015 THROUGH NOVEMBER 30, 2015
PRELIMINARY (pending MRWPCA FY 2014-15 audit)**

| Description | November, 2015 | Year-to-Date | Year-to-Date | Total Year-to-Date | FY 2014 - 2015(a) | Approved | FY 2015-2016 |
|----------------------------------------------------|--------------------------------|----------------|---------------|-------------------------------|------------------------------------------------------|---------------------------------|---------------------------|
| | Current Period Expenditures | Expenditures | Encumbered | Expenditures & Commitments | Expenditures & Commitments thru November, 2014 | FY 2014-2015 Budgeted Amount | Percent of Budget Used |
| A | B | C | D | E | F | G | H |
| MCWRA O&M | | | | | | | |
| 1 Equipment Maintenance | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 2 Publications & Legal Notices | 0 | 0 | 0 | 0 | 0 | 255 | 0% |
| 3 TOTAL O&M SVRP (MCWRA) | 0 | 0 | 0 | 0 | 0 | 255 | 0% |
| MRWPCA O&M | | | | | | | |
| 4 MRWPCA Salaries/Benefits | 52,584 | 213,256 | 0 | 213,256 | 226,093 | 619,264 | 34% |
| 5 Office Expense | 0 | 45 | 0 | 45 | 308 | 3,000 | 1% |
| 6 Contractors | 0 | 13,936 | 9,603 | 23,539 | 20,058 | 27,000 | 87% |
| 7 Operating Supplies | 508 | 14,611 | 8,729 | 23,341 | 17,350 | 24,000 | 97% |
| 8 Contract Services - Rodent Control, Equip Rent | 11 | 1,394 | 0 | 1,394 | 8,968 | 27,000 | 5% |
| 9 Chemicals | 30,824 | 246,278 | 0 | 246,278 | 166,343 | 506,146 | 49% |
| 10 Utilities | 19,810 | 147,080 | 0 | 147,080 | 136,811 | 552,078 | 27% |
| 11 PM/Repairs | 24,343 | 75,723 | 60,862 | 136,585 | 243,506 | 267,500 | 51% |
| 12 Contingency | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 13 Equipment Replacement Funds | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 14 Indirect Costs | 22,109 | 30,541 | 0 | 30,541 | 55,912 | 168,145 | 18% |
| 15 TOTAL O&M SVRP (MRWPCA) | 150,189 | 742,864 | 79,194 | 822,058 | 875,348 | 2,194,133 | 37% |
| 16 SVRP Capital Outlay (Transferred from reserves) | 2,821 | 50,884 | 0 | 50,884 | 145,333 | 272,000 | n/a |
| 17 TOTAL SVRP O&M | 153,010 | 793,748 | 79,194 | 872,941 | 1,020,680 | 2,466,388 | 35% |

(a) Prior year has been added to provide a comparative reference

FUND 134

**Salinas River Diversion Facility (SRDF) O&M Expenditure Report
FY 2015-2016**

**FROM JULY 1, 2015 THROUGH NOVEMBER 30, 2015
PRELIMINARY (pending MRWPCA FY 2014-15 audit)**

| Preliminary Description | November, 2015 | Year-to-Date | Year-to-Date | Total Year-to-Date | FY 2014 - 2015(a) | Approved | FY 2015-2016 |
|-------------------------------------------------|--------------------------------|----------------|---------------|-------------------------------|------------------------------------------------------|---------------------------------|---------------------------|
| | Current Period Expenditures | Expenditures | Encumbered | Expenditures & Commitments | Expenditures & Commitments thru November, 2014 | FY 2014-2015 Budgeted Amount | Percent of Budget Used |
| A | B | C | | C | D | E | F |
| MCWRA O&M | | | | | | | |
| 1 Communication Charges - External | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 2.1 Earthquake Insurance | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 2.2 Project Insurance | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 3 Maintenance Svc. & Suppl. External | 0 | 0 | 8,500 | 8,500 | 1,000 | 15,300 | 56% |
| 4 Maintenance Svc. & Suppl. Internal | 4,272 | 4,272 | 0 | 4,272 | 0 | 2,040 | 209% |
| 5 Equipment Maintenance | 0 | 0 | 0 | 0 | 822 | 6,120 | 0% |
| 6 Non-Capital Equipment | 0 | 0 | 0 | 0 | 0 | 3,570 | 0% |
| 7 Miscellaneous Services | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 8.1 Miscellaneous Supplies | 0 | 0 | 0 | 0 | 0 | 2,080 | 0% |
| 8.2 Books & Periodicals | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 9 Minor Equip. & Furnishings | 0 | 0 | 0 | 0 | 1,289 | 0 | n/a |
| 10 Postage and Shipping | 0 | 0 | 0 | 0 | 49 | 0 | n/a |
| 11 Outside Legal Counsel | 11,862 | 11,862 | 8,498 | 20,360 | 300,000 | 20,400 | 100% |
| 12 County Counsel | 3,326 | 3,326 | 0 | 3,326 | 8,398 | 3,060 | 109% |
| 13 Contractors/Consultants | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 14 Equipment Rental | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 15 MCWRA Labor Charges | 2,935 | 41,424 | 0 | 41,424 | 130,095 | 582,531 | 7% |
| 16 Water Rights & Dam Fees | 0 | 0 | 0 | 0 | 0 | 1,515 | 0% |
| 15b Claims, Judgements & Damages | 0 | 28,963 | 0 | 28,963 | 0 | 0 | n/a |
| 17 Equipment | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 18 Infrastructure | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 19 TOTAL O&M SRDF (MCWRA) | 22,395 | 89,848 | 16,998 | 106,846 | 441,654 | 636,616 | 17% |
| MRWPCA O&M | | | | | | | |
| 20 MRWPCA Salaries/Benefits | 2,468 | 9,419 | 0 | 9,419 | 14,178 | 26,412 | 36% |
| 21 Office Expense | 0 | 0 | 0 | 0 | 0 | 590 | 0% |
| 22 Contractors/Consultants | 0 | 0 | 0 | 0 | 0 | 12,500 | 0% |
| 23 Operating Supplies | 75 | 81 | 0 | 81 | 0 | 3,250 | 3% |
| 24 Contract Services - Lab Services, Equip Rent | 16 | 110 | 0 | 110 | 6,736 | 6,000 | 2% |
| 25 Chemicals (chlorine) | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 26 Utilities | 490 | 4,199 | 0 | 4,199 | 2,323 | 6,000 | 70% |
| 27 PM/Repairs | 0 | 0 | 0 | 0 | 0 | 13,500 | 0% |
| 28 Equipment Replacement | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 29 Sludge Disposal Costs | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 30 Vehicle Mileage Charges | 0 | 0 | 0 | 0 | 0 | 1,500 | 0% |
| 31 Contingency | 0 | 0 | 0 | 0 | 0 | 0 | n/a |
| 32 Indirect Costs | 470 | 671 | 0 | 671 | 1,546 | 6,056 | 11% |
| 33 TOTAL O&M SRDF (MRWPCA) | 3,518 | 14,481 | 0 | 14,481 | 24,782 | 75,808 | 19% |
| 34 TOTAL SRDF O&M | 25,913 | 104,329 | 16,998 | 121,328 | 466,436 | 712,424 | 17% |

(a) Prior year has been added to provide a comparative reference

Utility Cost Summary

FROM JULY 1, 2015 THROUGH NOVEMBER 30, 2015
5 MONTHS = 42% OF THE BUDGET CYCLE

FY 2015-2016 - Utility Budgets

| | Fiscal Year Budget Amount | Water Budget (Acre-Feet) | Calculated Unit Cost (\$ / Ac-Ft) |
|------|------------------------------|-----------------------------|-----------------------------------------|
| CSIP | \$ 684,588 | 6,058 | \$ 113.01 |
| SVRP | \$ 552,078 | 16,692 | \$ 33.07 |
| SRDF | \$ 6,000 | 0 | \$ - |
| | | <u>22,750</u> | |

FY 2015-2016 - Actual Utility Expenditures

| | Utility Expenditures Thru November, 2015 | Delivered Water (Acre-Feet) | Calculated Unit Cost (\$ / Ac-Ft) | Percent Budget Expended |
|------|------------------------------------------------|--------------------------------|-----------------------------------------|-------------------------------|
| CSIP | \$ 319,898 | 3,190 | \$ 100.28 | 47% |
| SVRP | \$ 147,080 | 6,588 | \$ 22.33 | 27% |
| SRDF | \$ 4,199 | 0 | \$ - | 70% |
| | | <u>9,778</u> | | |

Comparison with Prior Fiscal Year (2014-2015)

| | Utility Expenditures Thru November, 2014 | Delivered Water (Acre-Feet) | Calculated Unit Cost (\$ / Ac-Ft) |
|------|------------------------------------------------|--------------------------------|-----------------------------------------|
| CSIP | \$ 339,749 | 3,168 | \$ 107.24 |
| SVRP | \$ 136,811 | 7,367 | \$ 18.57 |
| SRDF | \$ 2,323 | 0 | \$ - |
| | | <u>10,535</u> | |

MONTEREY COUNTY WATER RESOURCES AGENCY
 FISCAL YEAR 2015-2016
 FUND 111 ADMINISTRATION - MONTHLY BUDGET REVIEW
 THROUGH NOVEMBER, 2015

42%

| Account | Current Year Approved Budget | Current Year Adopted Budget | Current Month Expenditures | Current Year PO Balances | Current Year YTD Expenditures | Total YTD Obligations | Prior year YTD Expenditures | Current Year vs. Prior year Expenditures | |
|-----------------------------------------------------|------------------------------------|-----------------------------------|----------------------------------|--------------------------------|-------------------------------------|-----------------------------|-----------------------------------|------------------------------------------------|-----|
| Salaries and Benefits | | | | | | | | | |
| 1 Regular Employees 6111 | 4,120,050 | 4,120,050 | 252,868 | 0 | 1,365,313 | 1,365,313 | 1,439,971 | (74,658) | 1 |
| 2 County Temporary Employees 6112 | 0 | 0 | 5,320 | 0 | 13,736 | 13,736 | 2,418 | 11,319 | 2 |
| 3 Emergency Overtime 6113 | 10,200 | 10,200 | 2,543 | 0 | 9,350 | 9,350 | 1,358 | 7,992 | 3 |
| 4 Pers 6121 | 390,796 | 390,796 | 38,780 | 0 | 204,438 | 204,438 | 172,154 | 32,284 | 4 |
| 5 Other Post Retirement Benefits 6122 | 30,562 | 30,562 | 2,068 | 0 | 10,341 | 10,341 | 10,751 | (409) | 5 |
| 6 Social Security - FICA 6131 | 131,351 | 131,351 | 6,012 | 0 | 33,570 | 33,570 | 34,084 | (514) | 6 |
| 7 Social Security - Medicare 6132 | 59,537 | 59,537 | 3,715 | 0 | 19,896 | 19,896 | 20,167 | (271) | 7 |
| 8 Life Insurance 6142 | 4,272 | 4,272 | 267 | 0 | 1,315 | 1,315 | 1,458 | (144) | 8 |
| 9 Long Term/Short Term Disability Insurance 6143/44 | 6,912 | 6,912 | 381 | 0 | 1,915 | 1,915 | 1,681 | 235 | 9 |
| 10 Unemployment Insurance 6148 | 6,758 | 6,758 | 563 | 0 | 2,816 | 2,816 | 2,040 | 776 | 10 |
| 11 Workers Compensation Insurance 6161 | 60,284 | 60,284 | 5,024 | 0 | 25,118 | 25,118 | 26,196 | (1,078) | 11 |
| 12 Employee Assistance Program 6171 | 1,104 | 1,104 | 53 | 0 | 254 | 254 | 300 | (46) | 12 |
| 13 Special Benefits 6174 | 175,509 | 175,509 | 2,278 | 0 | 9,908 | 9,908 | 7,336 | 2,571 | 13 |
| 14 Wellness Plan 6175 | 3,396 | 3,396 | 404 | 0 | 974 | 974 | 557 | 417 | 14 |
| 6141/45/47 & 6173 | | | | | | | | | |
| 15 Flex Benefit:(Medical, Dental, Vision) 6173 | 710,226 | 710,226 | 46,333 | 0 | 226,295 | 226,295 | 254,436 | (28,142) | 15 |
| 16 Salaries and Benefits TOTAL | 5,710,957 | 5,710,957 | 366,609 | 0 | 1,925,240 | 1,925,240 | 1,974,907 | (49,667) | 16 |
| Services and Supplies | | | | | | | | | |
| 17 Uniforms & Safety Equipment 6222 | 1,040 | 1,040 | 86 | 0 | 177 | 177 | 397 | (220) | 17 |
| 18 Communication Charges - External 6231 | 7,283 | 7,283 | 746 | 0 | 2,301 | 2,301 | 1,758 | 543 | 18 |
| 19 Communication Charges - Internal 6232 | 36,516 | 36,516 | 0 | 0 | 1,956 | 1,956 | 8,868 | (6,912) | 19 |
| 20 Food Refreshments 6241 | 3,396 | 3,396 | 174 | 450 | 1,512 | 1,962 | 613 | 899 | 20 |
| 21 Janitorial Supplies & Services 6251 | 16,151 | 16,151 | 1,367 | 10,036 | 6,056 | 16,092 | 3,763 | 2,293 | 21 |
| 22 Laundry Supplies and Service 6252 | 3,183 | 3,183 | 0 | 810 | 265 | 1,075 | 224 | 40 | 22 |
| 23 General Liability Insurance 6261/62 | 560,824 | 560,824 | 46,735 | 0 | 233,677 | 233,677 | 190,935 | 42,742 | 23 |
| 24 Property Insurance 6266 | 4,052 | 4,052 | 0 | 0 | 818 | 818 | 1,731 | (913) | 24 |
| 25 Insurance Unit Allocation 6268 | 3,117 | 3,117 | 260 | 0 | 1,299 | 1,299 | 1,224 | 75 | 25 |
| 26 Benefits Indirect Costs Allocation 6268 | 1,757 | 1,757 | 0 | 0 | 0 | 0 | 0 | 0 | 26 |
| 27 Bldg & Improvements Maint - External 6311 | 24,970 | 24,970 | 503 | 3,691 | 23,532 | 27,223 | 876 | 22,657 | 27 |
| 28 Bldg & Improvements Maint - Internal 6312 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 25a |
| 29 Equipment Maintenance 6321 | 30,775 | 30,775 | 2,513 | 8,085 | 5,554 | 13,639 | 7,511 | (1,957) | 29 |
| 30 Membership Fees 6351 | 6,446 | 6,446 | 200 | 0 | 300 | 300 | 40 | 260 | 30 |
| 31 Non-Capital Equipment 6361 | 9,364 | 9,364 | 872 | 0 | 872 | 872 | 0 | 872 | 31 |
| 32 Advertising 6381 | 1,040 | 1,040 | 0 | 0 | 0 | 0 | 0 | 0 | 32 |
| 33 Miscellaneous Services 6383 | 520 | 520 | 210 | 0 | 210 | 210 | 19 | 191 | 33 |
| 34 Miscellaneous Supplies 6384 | 520 | 520 | 0 | 0 | 0 | 0 | 297 | (297) | 34 |
| 35 Books and Periodicals 6401 | 2,497 | 2,497 | 0 | 0 | 302 | 302 | 0 | 302 | 35 |
| 36 Bottled Water 6402 | 1,405 | 1,405 | 76 | 907 | 418 | 1,325 | 285 | 133 | 36 |
| 37 Courier Service & Mail - External 6404 | 3,121 | 3,121 | 60 | 4,601 | 399 | 5,000 | 406 | (8) | 37 |
| 38 Courier Service & Mail - Internal 6405/06 | 8,393 | 8,393 | 3,946 | 0 | 4,068 | 4,068 | 2,456 | 1,612 | 38 |
| 39 Minor Computer Hardware 6407 | 10,200 | 10,200 | 0 | 0 | 671 | 671 | 0 | 671 | 39 |
| 40 Minor Computer Software 6408 | 24,100 | 24,100 | 342 | 5,523 | 14,902 | 20,425 | 16,695 | (1,793) | 40 |
| 41 Minor Equipment and Furnishings 6409 | 5,202 | 5,202 | 400 | 434 | 501 | 935 | 1,178 | (678) | 41 |
| 42 Office Supplies 6410 | 26,010 | 26,010 | 1,423 | 8,270 | 4,076 | 12,346 | 3,881 | 195 | 42 |
| 43 Postage and Shipping 6411 | 9,364 | 9,364 | 0 | 0 | 411 | 411 | 747 | (336) | 43 |
| 44 Printing, Graphics & Binding - External 6412 | 1,353 | 1,353 | 246 | 0 | 246 | 246 | 333 | (88) | 44 |

P13

MONTEREY COUNTY WATER RESOURCES AGENCY
 FISCAL YEAR 2015-2016
 FUND 111 ADMINISTRATION - MONTHLY BUDGET REVIEW
 THROUGH NOVEMBER, 2015

42%

| | Account | Current Year Approved Budget | Current Year Adopted Budget | Current Month Expenditures | Current Year PO Balances | Current Year YTD Expenditures | Total YTD Obligations | Prior year YTD Expenditures | Current Year vs. Prior year Expenditures | | |
|----|-----------------------------------------------------|------------------------------------|-----------------------------------|----------------------------------|--------------------------------|-------------------------------------|-----------------------------|-----------------------------------|------------------------------------------------|----------|----|
| 45 | Printing, Graphics & Binding - Internal | 6413 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 45 | |
| 46 | Other Office Expense | 6414 | 1,040 | 1,040 | 13 | 0 | 55 | 55 | 0 | 55 | 46 |
| 47 | County Records Retention Charge | 6415 | 512 | 512 | 0 | 0 | 0 | 128 | (128) | 47 | |
| 48 | County Accounting & Auditing charges | 6601 | 13,637 | 13,637 | 0 | 0 | 0 | 0 | 0 | 48 | |
| 49 | Data Processing Charges - Internal | 6603 | 250,465 | 250,465 | 12,257 | 0 | 52,819 | 52,819 | 16,785 | 36,034 | 49 |
| 50 | Legal Services - External | 6606 | 255,000 | 255,000 | 0 | 200,000 | 0 | 200,000 | 40 | (40) | 50 |
| 51 | Legal Services - Internal | 6607 | 67,422 | 67,422 | 5,822 | 0 | 5,822 | 5,822 | 12,315 | (6,494) | 51 |
| 52 | Other Medical Services | 6608 | 520 | 520 | 0 | 0 | 0 | 0 | 0 | 0 | 52 |
| 53 | Other Personnel Costs (Recruitment) | 6609 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 53 |
| 54 | Temporary Help Services | 6612 | 5,202 | 5,202 | 0 | 0 | 0 | 0 | 0 | 0 | 54 |
| 55 | Other Prof & Spec Svcs - Consultants | 6613 | 7,650 | 99,650 | 1,927 | 14,973 | 1,927 | 16,900 | 12,790 | (10,862) | 55 |
| 55 | Other Prof & Spec Svcs - GM | 6613 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 55 |
| 56 | Other Prof & Spec Services-BOD Fees, etc. | 6613 | 24,866 | 24,866 | 1,300 | 0 | 3,950 | 3,950 | 6,650 | (2,700) | 56 |
| 57 | Publications and Legal Notices | 6801 | 1,561 | 1,561 | 0 | 0 | 0 | 0 | 3,173 | (3,173) | 57 |
| 58 | Rents & Leases - Buildings | 6811 | 273,640 | 273,640 | 22,826 | 0 | 114,130 | 114,130 | 112,062 | 2,068 | 58 |
| 59 | Rents & Leases-Equip- Data Processing | 6821 | 12,331 | 12,331 | 911 | 30,153 | 4,033 | 34,187 | 4,120 | (87) | 59 |
| 60 | Rents & Leases-Equip- Copy machines | 6821 | 24,000 | 24,000 | 2,503 | 17,786 | 5,346 | 23,133 | 8,342 | (2,996) | 60 |
| 61 | Rents & Leases - Equip - General | 6821 | 1,400 | 1,400 | 0 | 0 | 0 | 0 | 1,589 | (1,589) | 61 |
| 62 | Other Special Dept Expense | 6835 | 3,916 | 3,916 | 8,654 | 0 | 9,381 | 9,381 | 555 | 8,826 | 62 |
| 63 | Conference/Lodging/Meals/Travel | 6861/62 | 12,485 | 12,485 | 630 | 0 | 3,054 | 3,054 | 1,340 | 1,715 | 63 |
| 64 | Employee Training | 6861/11 | 10,822 | 10,822 | 158 | 0 | 819 | 819 | 0 | 819 | 64 |
| 65 | County Training Charge | 6611 | 5,494 | 5,494 | 561 | 0 | 2,805 | 2,805 | 3,660 | (855) | 65 |
| 66 | Employee moving expense | 6863 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 66 |
| 67 | Fleet Service Charge (Fuel & misc maint) | 6864 | 72,240 | 72,240 | 13,353 | 0 | 13,353 | 13,353 | 13,890 | (538) | 67 |
| 68 | Vehicle Maint - Outside Vendor | 6866 | 54,291 | 54,291 | 3,746 | 8,800 | 12,869 | 21,670 | 10,391 | 2,478 | 68 |
| 69 | Utilities | 6881 | 6,630 | 6,630 | 405 | 501 | 2,572 | 3,073 | 2,360 | 212 | 69 |
| 70 | Canyon Del Rey Master Drainage Plan | 7013 | 0 | 0 | 0 | 0 | 0 | 0 | 35,270 | (35,270) | 70 |
| 71 | Other Debt Retirement (Capital Leases) | 7051 | 38,714 | 38,714 | (0) | 0 | 18,418 | 18,418 | 15,282 | 3,136 | 71 |
| 72 | Interest (Capital Leases) | 7071 | 10,070 | 10,070 | 0 | 0 | 9,501 | 9,501 | 1,572 | 7,929 | 72 |
| 73 | Rights of Way | 7101 | 3,315 | 3,315 | 0 | 0 | 3,250 | 3,250 | 0 | 3,250 | 73 |
| 74 | Taxes & Assessments | 7121 | 582 | 582 | 842 | 0 | 842 | 842 | 569 | 273 | 74 |
| 75 | Cost Plan Charges (Adjusted Budget) | 7301 | 375,000 | 375,000 | 0 | 0 | 78,960 | 78,960 | 29,554 | 49,406 | 75 |
| 76 | Balance still in budget for higher Cost Plan amount | 6835 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 76 |
| 77 | Services & Supplies | TOTAL | 2,335,404 | 2,427,404 | 136,065 | 315,021 | 648,429 | 963,450 | 536,675 | 111,754 | 77 |
| | Fixed Assets | | | | | | | | | | |
| 72 | Equipment | 7531 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 72 |
| 73 | Capital Leases - Equipment | 7561 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 73 |
| 74 | Fixed Assets | TOTAL | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 74 |
| 75 | Operating Transfers Out | 7614 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 0 | 75 |
| 76 | GRAND TOTAL EXPENSES | | 8,046,361 | 8,138,361 | 502,674 | 315,021 | 2,573,669 | 2,888,690 | 2,511,583 | 62,086 | 76 |

(Before inter-fund expense reimbursement)

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2015-2016 Budget
Expenditure Report by Fund
Through November, 2015
42%

| LN # | Program Name | Zone | Fund | Prog | Approved Budget | Adopted Budget | Current Month Expenditures | Current Year PO Balances | Current Year YTD Expenditures | Total Obligations | Prior Year YTD Expenditures | Current Year vs. Prior Year Expenditures | LN # |
|------|-----------------------------------------------|------|------|------|-----------------|----------------|----------------------------|--------------------------|-------------------------------|-------------------|-----------------------------|------------------------------------------|------|
| | Countywide | | | | | | | | | | | | |
| 1 | County Wide Hydrology & Water Quality Program | CW | 113 | 9010 | 0 | 0 | 3,623.78 | 0 | 21,514 | 21,514 | 32,299 | (10,785) | 1 |
| 2 | Flood Plain Management & Land Use Planning | CW | 113 | 9030 | 237,273 | 464,387 | 14,652.62 | 0 | 104,138 | 104,138 | 85,232 | 18,906 | 2 |
| 3 | ALERT Syst Operation & Maint/Flood Monitoring | CW | 113 | 9035 | 41,407 | 45,127 | 43,567.00 | 2,475 | 70,803 | 73,278 | 75,656 | (4,853) | 3 |
| 4 | County Wide Water Resources Review-Reimburs | CW | 113 | 9041 | 332,309 | 332,309 | 19,554.90 | 0 | 127,417 | 127,417 | 144,450 | (17,034) | 4 |
| 6 | Special Projects | CW | 113 | 9050 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 6 |
| 7 | Special Projects - County General Plan | CW | 113 | 9051 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 7 |
| 8 | Water Rights | CW | 113 | 9053 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 8 |
| 9 | Total | | | | 610,989 | 841,823 | 81,398.30 | 2,475 | 323,872 | 326,347 | 337,637 | (13,765) | 9 |
| | Pajaro Levee | | | | | | | | | | | | |
| 10 | Pajaro River Levee | 1 | 112 | 9100 | 406,829 | 406,829 | 29,018.11 | 1,500 | 163,088 | 164,588 | 238,401 | (75,313) | 10 |
| 11 | Environmental Compliance | 1 | 112 | 9100 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 11 |
| 12 | Prop 218 | 1 | 112 | 9100 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 12 |
| 13 | Total | | | | 406,829 | 406,829 | 29,018.11 | 1,500 | 163,088 | 164,588 | 238,401 | (75,313) | 13 |
| | Zone 2 Nacimiento Non - O&M | | | | | | | | | | | | |
| 14 | Nacimiento Taxes & Reimbursement | 2 | 114 | 9211 | 54,193 | 54,193 | 27,869.70 | 0 | 27,870 | 27,870 | 0 | 27,870 | 14 |
| 15 | Hydrology & Water Quality Program | 2 | 114 | 9245 | 324,164 | 448,038 | 15,318.44 | 0 | 157,081 | 157,081 | 187,712 | (30,631) | 15 |
| 16 | Lake Nacimiento Dock Registration | 2 | 114 | 9260 | 12,576 | 12,576 | 0.00 | 0 | 0 | 0 | 0 | 0 | 16 |
| 17 | Transfer to to other funds | 2 | 114 | 9265 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 17 |
| 18 | Special Projects | 2 | 114 | 9270 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 18 |
| 19 | Special Projects - Urban Water Solutions | 2 | 114 | 9271 | 32,724 | 32,724 | 0.00 | 0 | 0 | 0 | 0 | 0 | 19 |
| 20 | Environmental Compliance | 2 | 114 | 9272 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 20 |
| 21 | Total | | | | 423,657 | 547,531 | 43,188.14 | 0 | 184,951 | 184,951 | 187,712 | (2,762) | 21 |
| | Zone 2A San Antonio Non - O &M | | | | | | | | | | | | |
| 22 | Hydrology & Water Quality Program | 2A | 115 | 9530 | 355,010 | 482,209 | 22,002.03 | 0 | 135,407 | 135,407 | 53,162 | 82,245 | 22 |
| 23 | Well Permits/Well Logs | 2A | 115 | 9540 | 32,300 | 32,300 | 7,919.95 | 0 | 41,358 | 41,358 | 41,063 | 296 | 23 |
| 24 | Salinas Valley Water Quality/Nitrate TAC | 2A | 115 | 9559 | 71,154 | 71,154 | 0.00 | 0 | 0 | 0 | 9,194 | (9,194) | 24 |
| 25 | Environmental Compliance | 2A | 115 | 9561 | 29,440 | 29,440 | 0.00 | 0 | 0 | 0 | 0 | 0 | 25 |
| 26 | Transfer to to other funds | 2A | 115 | 9596 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 26 |
| 27 | Special Projects - Fish Monitoring consultant | 2A | 115 | 9597 | 34,054 | 34,054 | 10,149.09 | 29,668 | 44,734 | 74,402 | 59,579 | (14,844) | 27 |
| 28 | Special Projects | 2A | 115 | 9597 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 28 |
| 29 | Special Projects | 2A | 115 | 9599 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 29 |
| 30 | Total | | | | 521,958 | 649,157 | 40,071.07 | 29,668 | 221,500 | 251,168 | 162,997 | 58,503 | 30 |

P15

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2015-2016 Budget
Expenditure Report by Fund
Through November, 2015
42%

| LN # | Program Name | Zone | Fund | Prog | Approved Budget | Adopted Budget | Current Month Expenditures | Current Year PO Balances | Current Year YTD Expenditures | Total Obligations | Prior Year YTD Expenditures | Current Year vs. Prior Year Expenditures | LN # |
|-------------------------------|------------------------------------------------|------|------|------|-----------------|----------------|----------------------------|--------------------------|-------------------------------|-------------------|-----------------------------|------------------------------------------|------|
| Zone 2C Operations | | | | | | | | | | | | | |
| 31 | Nacimiento Dam Operation & Maintenance | 2C | 116 | 9910 | 856,790 | 923,194 | 11,920.00 | 82,236 | 266,718 | 348,953 | 521,639 | (254,921) | 31 |
| 32 | Nacimiento Administration | 2C | 116 | 9915 | 19,197 | 19,197 | 7,087.81 | 0 | 20,602 | 20,602 | 0 | 20,602 | 32 |
| 33 | San Antonio Dam Operation & Maintenance | 2C | 116 | 9920 | 364,336 | 364,336 | 190,439.17 | 250,672 | 606,516 | 857,188 | 243,368 | 363,148 | 33 |
| 34 | San Antonio Administration | 2C | 116 | 9925 | 19,197 | 19,197 | 3,874.57 | 0 | 16,079 | 16,079 | 0 | 16,079 | 34 |
| 35 | Salinas River Channel | 2C | 116 | 9935 | 192,692 | 248,809 | 19,990.94 | 22,815 | 251,044 | 273,858 | 321,791 | (70,747) | 35 |
| 36 | Salinas River Mouth | 2C | 116 | 9940 | 86,636 | 88,661 | 6,786.13 | 0 | 25,445 | 25,445 | 18,972 | 6,474 | 36 |
| 37 | Reservoir Oper Hydrology & Water Quality Prog. | 2C | 116 | 9945 | 1,308,356 | 1,308,356 | 53,734.92 | 29,038 | 218,270 | 247,307 | 282,274 | (64,004) | 37 |
| 38 | ALERT Transfer Out | 2C | 116 | 9950 | 226,895 | 226,895 | 0.00 | 0 | 29,307 | 29,307 | 16,475 | 12,832 | 38 |
| 39 | Transfer to to other funds | 2C | 116 | | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 39 |
| 40 | Ground Water Extraction/Data Collection | 2C | 116 | 9955 | 106,501 | 106,501 | (398.48) | 0 | 50,759 | 50,759 | 39,286 | 11,472 | 40 |
| 41 | Total | | | | 3,180,600 | 3,305,146 | 293,435.06 | 384,760 | 1,484,739 | 1,869,500 | 1,443,805 | 40,935 | 41 |
| Zone 2C Administration | | | | | | | | | | | | | |
| 42 | Zone 2C Administration Assessment Roll | 2C | 116 | 9970 | 8,684 | 8,684 | 0.00 | 0 | 4,263 | 4,263 | 83,738 | (79,475) | 42 |
| 43 | Zone 2C Administration Other | 2C | 116 | 9975 | 337,523 | 337,523 | 3,788.66 | 55,000 | 70,410 | 125,410 | 67,118 | 3,292 | 43 |
| 44 | Total | | | | 346,207 | 346,207 | 3,788.66 | 55,000 | 74,673 | 129,673 | 150,856 | (76,183) | 44 |
| 45 | SWWP Bond Revenue Fund | 2C | 133 | 9987 | 2,142,694 | 2,142,694 | 0.00 | 0 | 1,414,429 | 1,414,429 | 1,344,631 | 69,798 | |
| | Total | | | | | | | | | | | | |
| 46 | Salinas River Diversion Facility O&M | 3 | 134 | 9988 | 333,117 | 465,611 | 19,469.79 | 16,998 | 112,138 | 129,137 | 590,407 | (478,269) | 46 |
| 47 | Fish Monitoring | 3 | 134 | 9989 | 363,536 | 363,536 | 1,983.50 | 0 | 13,595 | 13,595 | 91,546 | (77,951) | 47 |
| 48 | Flow Monitoring | 3 | 134 | 9990 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 48 |
| 49 | Water Quality Monitoring | 3 | 134 | 9991 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 49 |
| 50 | Invasive Species | 3 | 134 | 9992 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 50 |
| 51 | Environmental Compliance | 3 | 134 | 9993 | 15,771 | 15,771 | (4.74) | 0 | 1,072 | 1,072 | 691 | 381 | 51 |
| 52 | Total | | | | 712,424 | 844,918 | 21,448.55 | 16,998 | 126,805 | 143,804 | 682,644 | (555,839) | 52 |
| 53 | Lower Salinas River Channel | 3 | 117 | 9620 | 34,087 | 54,424 | 0.00 | 0 | 0 | 0 | 20,197 | (20,197) | 53 |
| 54 | Merritt Lake | 5 | 118 | 9630 | 40,220 | 40,220 | 209.30 | 0 | 7,236 | 7,236 | 24,459 | (17,223) | 54 |
| 55 | CSIP Transfer & Water Conservation Update | 6 | 119 | 9660 | 767,328 | 767,328 | 2,738.80 | 0 | 11,863 | 11,863 | 12,737 | (874) | 55 |
| 56 | CSIP Operation & Maintenance | 2Y | 131 | 9643 | 2,799,068 | 3,041,232 | 33,872.95 | 1,069 | 1,407,525 | 1,408,594 | 790,769 | 616,756 | 56 |

P16

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2015-2016 Budget
Expenditure Report by Fund
Through November, 2015
42%

| LN # | Program Name | Zone | Fund | Prog | Approved Budget | Adopted Budget | Current Month Expenditures | Current Year PO Balances | Current Year YTD Expenditures | Total Obligations | Prior Year YTD Expenditures | Current Year vs. Prior Year Expenditures | LN # |
|------|------------------------------------------------|------|------|------|-----------------|----------------|----------------------------|--------------------------|-------------------------------|-------------------|-----------------------------|------------------------------------------|------|
| 57 | SVRP Operation & Maintenance | 2Z | 132 | 9644 | 4,285,263 | 4,285,263 | 0.00 | 0 | 1,233,067 | 1,233,067 | 951,389 | 281,678 | 57 |
| 58 | CSIP/SVRP Debt Service | 2B | 303 | 9666 | 1,859,657 | 2,359,657 | 0.00 | 0 | 250,000 | 250,000 | 0 | 250,000 | 58 |
| 59 | North Monterey County | 7 | 120 | 9690 | 3,705 | 3,705 | 0.00 | 0 | 0 | 0 | 0 | 0 | 59 |
| 60 | Soledad Storm Drain - Bryant Canyon Project | 8 | 121 | 9700 | 71,277 | 171,365 | 1,074.77 | 0 | 28,275 | 28,275 | 39,703 | (11,428) | 60 |
| 61 | Prop 218 (With City of Soledad) | 8 | 121 | 9700 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 61 |
| 62 | Total | | | | 71,277 | 171,365 | 1,074.77 | 0 | 28,275 | 28,275 | 39,703 | (11,428) | 62 |
| 63 | Reclamation Ditch Operation & Maintenance | 9 | 122 | 9720 | 1,205,998 | 1,295,891 | 93,753.85 | 61,094 | 447,690 | 508,784 | 578,198 | (130,508) | 63 |
| 64 | Environmental Compliance / EIR | 9 | 122 | 9723 | 142,784 | 142,784 | 0.00 | 0 | 0 | 0 | 0 | 0 | 64 |
| 65 | Prop 218 | 9 | 122 | 9724 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 65 |
| 66 | Easements/Acquisition | 9 | 122 | 9725 | 0 | 0 | 0.00 | 0 | 0 | 0 | 0 | 0 | 66 |
| 67 | Total | | | | 1,348,782 | 1,438,675 | 93,753.85 | 61,094 | 447,690 | 508,784 | 578,198 | (130,508) | 67 |
| 68 | Monterey Peninsula (Carmel Valley) | 11 | 123 | 9750 | 28,375 | 28,375 | 6,215.07 | 0 | 8,987 | 8,987 | 1,753 | 7,234 | 68 |
| 69 | San Lorenzo Creek | 12 | 124 | 9760 | 34,079 | 74,079 | 0.00 | 0 | 1,857 | 1,857 | 5,275 | (3,418) | 69 |
| 70 | Arroyo Seco Area | 14 | 125 | 9765 | 1,560 | 1,560 | 0.00 | 0 | 0 | 0 | 0 | 0 | 70 |
| 71 | Carnation Subdivision (Alisal-Spence Roads) | 15 | 126 | 9770 | 5,506 | 5,506 | 0.00 | 0 | 0 | 0 | 16,053 | (16,053) | 71 |
| 72 | Moro Cojo Slough (Castroville/Moss Landing) | 17 | 127 | 9780 | 381,059 | 381,059 | 9,483.75 | 1,638 | 46,322 | 47,960 | 18,283 | 28,040 | 72 |
| 73 | Storm Drain Maint. District # 2 (Blanco Drain) | S2 | 128 | 9860 | 20,240 | 20,240 | 111.99 | 0 | 2,260 | 2,260 | 1,352 | 909 | 73 |
| 74 | Gonzales Slough Maintenance District | GS | 129 | 9870 | 4,308 | 5,223 | 0.00 | 0 | 521 | 521 | 4,777 | (4,256) | 74 |
| 75 | Nacimiento Hydroelectric Plant | HY | 130 | 9890 | 286,343 | 420,262 | 14,962.88 | 25,839 | 38,553 | 64,392 | 36,325 | 2,227 | 75 |

P17

Monterey County Water Resources Agency
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-----------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$1,983,692 | \$1,983,692 |
| Assessments | 13,511,482 | 13,511,482 |
| Development & Other fees | 454,979 | 454,979 |
| Annexation Fees | 0 | 0 |
| Water Delivery Revenue | 1,670,988 | 1,670,988 |
| Royalties | 200,271 | 200,271 |
| Grants | 0 | 0 |
| Reimbursement from County | 0 | 0 |
| Hydroelectric Revenue | 0 | 0 |
| Settlement Revenue | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Insurance reimbursement | \$85,000 | \$85,000 |
| Interest, Rent, & Other | 2,335,507 | 2,335,507 |
| Inter-fund transfer revenue | 600,000 | 600,000 |
| Total Revenue | \$20,841,919 | \$20,841,919 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|--------------------|---------------------------|-----------------------------------------------------|------------------------------------|
| \$80,887 | \$6,259 | \$1,902,805 | Taxes are received Dec & Apr |
| 13,257 | 80,052 | 13,498,225 | Assessments are received Dec & Apr |
| 163,723 | 179,803 | 291,256 | |
| 0 | 0 | 0 | |
| 661,773 | 1,084,937 | 1,009,215 | |
| 0 | 0 | 200,271 | |
| 0 | 17,121 | 0 | |
| 0 | 144,426 | 0 | |
| 34,948 | 24,089 | (34,948) | |
| 0 | 0 | 0 | |
| 275,260 | 0 | (275,260) | |
| 0 | 1,013,849 | 85,000 | |
| 1,850,395 | 101,434 | 485,112 | |
| 0 | 0 | 600,000 | |
| \$3,080,244 | \$2,651,971 | \$17,761,675 | |

Monterey County Water Resources Agency
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Expenses & Encumbrance Balances</u> | <u>FY 2015-16 Approved Budget</u> | <u>FY 2015-16 Adopted Budget</u> | <u>YTD PO Balances</u> | <u>YTD Expenses</u> | <u>Prior Year YTD Expenses</u> | <u>Variance Approved Budget vs YTD Expenses</u> | <u>Remarks</u> |
|-----------------------------------------------------------------------------------------|-----------------------------------|----------------------------------|------------------------|----------------------|--------------------------------|-------------------------------------------------|----------------|
| Salaries & Benefits | \$5,710,957 | \$5,710,957 | \$0 | \$1,925,240 | \$1,974,908 | \$3,785,716 | |
| Consultants | 5,239,824 | 7,057,122 | 656,898 | 2,676,665 | 2,439,915 | 2,563,159 | |
| Services & Supplies | 3,199,970 | 3,199,970 | 199,925 | 1,317,827 | 772,935 | 1,882,143 | |
| Fixed Assets | 334,984 | 334,984 | 38,240 | 67,672 | 16,854 | 267,312 | |
| Debt payments | 8,021,939 | 8,021,939 | | 2,825,061 | 1,342,229 | 5,196,879 | |
| Settlement costs | 66,621 | 66,621 | | 66,621 | 66,621 | (0) | |
| Inter-fund transfer expenditures | 600,000 | 600,000 | | 0 | 0 | 600,000 | |
| Labor cost charges from Fund 111 & ALERT charges from Fund 113 charged to various funds | 7,593,347 | 7,593,347 | | 2,622,229 | 2,940,937 | 4,971,118 | |
| Inter-fund reimbursement of expenses in Fund 111 & fund 113 | (8,243,087) | (8,243,087) | | (2,644,444) | (2,947,546) | (5,598,643) | |
| Total Expenses & encumbrance balances | \$22,524,555 | \$24,341,853 | \$895,063 | \$8,856,871 | \$6,606,853 | \$13,667,684 | |
| Revenue less Expenses | (\$1,682,636) | (\$3,499,934) | | (\$5,776,627) | (\$3,954,882) | \$4,093,991 | |
| Beginning Fund Balance 7/1/15 | 12,878,175 | 18,402,767 | | \$18,598,822 | | | |
| Ending Fund Balance | 11,195,539 | 14,902,833 | | \$11,927,132 | | | |

P19

**Monterey County Water Resources Agency
313 SVWP Debt Service - Appropriation WRA026
November, 2015 Fiscal YTD
Actual vs Budget**

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Interest, Rent, & Other | 2,138,313 | 2,138,313 |
| Total Revenue | \$2,138,313 | \$2,138,313 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|--------------------|------------------------------|-----------------------------------------------------|---------|
| 1,412,641 | 0 | 725,672 | |
| \$1,412,641 | \$0 | \$725,672 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|--------------------|-------------------------------|------------------------------------------------------|---------|
| Consultants | 0 | 0 | 0 | 1,500 | 0 | (1,500) | |
| Debt Payments | 2,138,313 | 2,138,313 | 0 | 1,412,531 | 0 | 725,782 | |
| Total Expenses & encumbrance balances | \$2,138,313 | \$2,138,313 | \$0 | \$1,414,031 | \$0 | \$724,282 | |

| | | | | | |
|------------------------------|------------|------------|------------------|------------|----------------|
| Revenue less Expenses | \$0 | \$0 | (\$1,390) | \$0 | \$1,390 |
|------------------------------|------------|------------|------------------|------------|----------------|

P 20

**Monterey County Water Resources Agency
Administration Fund 111 - Appropriation Unit WRA001
November, 2015 Fiscal YTD
Actual vs Budget**

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|---------------------------|----------------------------------|---------------------------------|
| Reimbursement From County | \$0 | \$0 |
| Interest, Rent, & Other | 0 | 0 |
| Total Revenue | \$0 | \$0 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|----------------|------------------------------|-----------------------------------------------------|---------|
| \$0 | \$23,622 | \$0 | |
| 2,337 | 1,120 | (2,337) | |
| \$2,337 | \$24,742 | (\$2,337) | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|----------------------------------------------------------|----------------------------------|---------------------------------|--------------------|-------------------|-------------------------------|------------------------------------------------------|---------|
| Salaries & Benefits | \$5,710,957 | \$5,710,957 | | \$1,925,240 | \$1,974,907 | \$3,785,716 | |
| Consultants | 262,650 | 337,559 | 214,973 | 1,927 | 6,163 | 260,723 | |
| Services & Supplies | 2,023,970 | 2,023,970 | 100,048 | 618,583 | 513,659 | 1,405,388 | |
| Fixed Assets | 48,784 | 48,784 | 0 | 27,919 | 16,854 | 20,865 | |
| Sub-total before charges to Funds | 8,046,361 | 8,121,270 | 315,021 | 2,573,669 | 2,511,583 | 5,472,692 | |
| Labor cost charges from other funds | (\$7,976,333) | (\$7,976,333) | | (2,609,989) | (2,928,177) | (5,366,344) | |
| Transfer from hydro stabilization reserve | \$0 | \$0 | | 0 | 0 | 0 | |
| Net Total Expenses & encumbrance balances | \$70,028 | \$144,937 | \$315,021 | (\$36,320) | (\$416,594) | \$106,348 | |
| Revenue less Expenses | (\$70,028) | (\$144,937) | | \$38,657 | \$441,336 | (\$108,685) | |

Monterey County Water Resources Agency
Fund 112 - Zones 1 & 1A - Pajaro Levee - Appropriation Unit WRA002
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$15,295 | \$15,295 |
| Assessments | 395,914 | 395,914 |
| Grants | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Interest, Rent, & Other | 1,148 | 1,148 |
| Total Revenue | \$412,357 | \$412,357 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|--------------|------------------------------|-----------------------------------------------------|------------------------------------|
| \$0 | \$0 | \$15,295 | Taxes are received Dec & Apr |
| 442 | 191 | 395,472 | Assessments are received Dec & Apr |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| 38 | 75 | 1,110 | |
| \$480 | \$265 | \$411,877 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$296,371 | \$296,371 | | \$154,896 | \$226,633 | \$141,475 | |
| Consultants | 0 | 0 | 0 | 0 | 0 | 0 | |
| Services & Supplies | 36,258 | 36,258 | 1,500 | 8,192 | 11,768 | 28,066 | |
| Fixed Assets | 7,200 | 7,200 | 0 | 0 | 0 | 7,200 | |
| Debt payments | 67,000 | 67,000 | | 0 | 0 | 67,000 | |
| Total Expenses & encumbrance balances | \$406,829 | \$406,829 | \$1,500 | \$163,088 | \$238,401 | \$243,741 | |

| | | | | | | | |
|------------------------------|----------------|----------------|--|--------------------|--------------------|------------------|--|
| Revenue less Expenses | \$5,528 | \$5,528 | | (\$162,608) | (\$238,136) | \$168,136 | |
|------------------------------|----------------|----------------|--|--------------------|--------------------|------------------|--|

August 2014 Fiscal YTD

Monterey County Water Resources Agency
Fund 113 - Countywide - Appropriation Unit WRA003
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-----------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$193,039 | \$193,039 |
| Development & Other fees | 374,000 | 374,000 |
| Grants | 0 | 0 |
| Hydroelectric Revenue | 0 | 0 |
| Interest, Rent, & Other | 3,000 | 3,000 |
| Cal-Am Reimbursement | 0 | 0 |
| Insurance Reimbursement | 0 | 0 |
| Inter-fund transfer revenue | 0 | 0 |
| Total Revenue | \$570,039 | \$570,039 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|------------------|------------------------------|--------------------------------------------------|---------|
| \$0 | \$0 | \$193,039 | |
| 152,602 | 162,907 | 221,398 | |
| 0 | 17,121 | 0 | |
| 0 | 0 | 0 | |
| 816 | 92 | 2,184 | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| \$153,418 | \$180,120 | \$416,621 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------------------|-----------------------------------------------|
| Labor cost charges from Fund 111 | \$801,405 | \$801,405 | | \$334,308 | \$337,689 | \$467,097 | |
| Consultants | 10,200 | 241,034 | 2,155 | 8,325 | 6,480 | 1,875 | |
| Services & Supplies | 66,138 | 66,138 | 320 | 15,693 | 12,837 | 50,444 | |
| ALERT charges from Fund 113 | (266,754) | (266,754) | | (34,455) | (19,369) | (232,299) | Additional ALERT transfer to be made in April |
| Total Expenses & encumbrance balances | \$610,989 | \$841,823 | \$2,475 | \$323,872 | \$337,637 | \$287,117 | |

| | | | | | | | |
|-------------------------------------------------|-------------------|--------------------|--|--------------------|--------------------|------------------|--|
| August 2014 Fiscal YTD Revenue less Expenses | (\$40,950) | (\$271,784) | | (\$170,454) | (\$157,517) | \$129,504 | |
|-------------------------------------------------|-------------------|--------------------|--|--------------------|--------------------|------------------|--|

Monterey County Water Resources Agency
Fund 114 - Zone 2 - Nacimiento Non-O&M - Appropriation Unit WRA004
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|----------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$216,854 | \$216,854 |
| Development & Other fees | 45,979 | 45,979 |
| Hydroelectric Revenue | 0 | 0 |
| Interest, Rent, & Other | 68,060 | 68,060 |
| Cal-Am Reimbursement | 0 | 0 |
| Insurance Reimbursement | 0 | 0 |
| Interfund Transfer Revenue | 0 | 0 |
| Total Revenue | \$330,893 | \$330,893 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|-----------------|---------------------------|-----------------------------------------------------|------------------------------|
| \$0 | \$0 | \$216,854 | Taxes are received Dec & Apr |
| 1,483 | 5,454 | 44,496 | |
| 0 | 0 | 0 | |
| 48,662 | 59,199 | 19,398 | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| \$50,145 | \$64,653 | \$280,748 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$359,774 | \$359,774 | | \$157,081 | \$187,712 | \$202,693 | |
| Consultants | 0 | 0 | | 0 | 0 | 0 | |
| Services & Supplies | 63,883 | 63,883 | 0 | 27,870 | 0 | 36,013 | |
| Operating Transfers Out | 0 | 0 | | 0 | 0 | 0 | |
| Total Expenses & encumbrance balances | \$423,657 | \$423,657 | \$0 | \$184,951 | \$187,712 | \$238,706 | |

| | | | | | |
|------------------------------|-------------------|-------------------|--------------------|--------------------|-----------------|
| Revenue less Expenses | (\$92,764) | (\$92,764) | (\$134,805) | (\$123,059) | \$42,042 |
|------------------------------|-------------------|-------------------|--------------------|--------------------|-----------------|

August 2014 Fiscal YTD

Monterey County Water Resources Agency
Fund 115 - Zone 2A - San Antonio Non-O&M - Appropriation Unit WRA005
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-----------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$92,107 | \$92,107 |
| Development & Other fees | 35,000 | 35,000 |
| Royalties | 200,271 | 200,271 |
| Hydroelectric Revenue | 0 | 0 |
| Interest, Rent, & Other | 66,575 | 66,575 |
| Inter-fund transfer revenue | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Insurance Reimbursement | 0 | 0 |
| Total Revenue | \$393,953 | \$393,953 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|------------------|---------------------------|-----------------------------------------------------|----------------------------------|
| \$0 | \$0 | \$92,107 | Taxes are received Dec & Apr |
| 9,638 | 11,442 | 25,362 | |
| 0 | 0 | 200,271 | Royalties to be received in June |
| 0 | 0 | 0 | |
| 39,110 | 27,082 | 27,465 | |
| 0 | 0 | 0 | |
| 275,260 | 0 | (275,260) | |
| 0 | 0 | 0 | |
| \$324,008 | \$38,524 | \$69,945 | \$0 |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$489,052 | \$489,052 | | \$210,497 | \$135,858 | \$278,555 | |
| Consultants | 20,400 | 147,599 | 29,668 | 10,332 | 0 | 10,068 | |
| Services & Supplies | 12,506 | 12,506 | 0 | 670 | 634 | 11,836 | |
| Operating Transfers Out | 0 | 0 | | 0 | 0 | 0 | |
| Total Expenses & encumbrance balances | \$521,958 | \$649,157 | \$29,668 | \$221,500 | \$136,492 | \$300,458 | |

August 2014 Fiscal YTD

(\$128,005) (\$255,204)

\$102,509 (\$97,968) (\$230,514)

P25

Monterey County Water Resources Agency
Fund 116-OPTN - Zone 2C - Dam Operations - Appropriation Unit WRA006
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-----------------------------|----------------------------------|---------------------------------|
| Assessments | \$2,931,478 | \$2,931,478 |
| Annexation Fes | 0 | 0 |
| Grants | 0 | 0 |
| Reimbursement from County | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Interest, Rent, & Other | 4,500 | 4,500 |
| Inter-fund transfer revenue | 0 | 0 |
| Total Revenue | \$2,935,978 | \$2,935,978 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|----------------|------------------------------|-----------------------------------------------------|------------------------------------|
| \$3,536 | \$9,198 | \$2,927,942 | Assessments are received Dec & Apr |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| 0 | 120,804 | 0 | |
| 0 | 0 | 0 | |
| 2,467 | 938 | 2,033 | |
| 0 | 0 | 0 | |
| \$6,003 | \$130,940 | \$2,929,975 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------|----------------------------------|---------------------------------|--------------------|--------------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$2,057,918 | \$2,057,918 | | \$1,090,038 | \$1,042,407 | \$967,880 | |
| Consultants | 307,500 | 432,046 | 327,706 | 206,000 | 226,439 | 101,500 | |
| Services & Supplies | 318,287 | 318,287 | 57,055 | 159,395 | 158,484 | 158,892 | |
| ALERT charges from Fund 113 | 226,895 | 226,895 | | 29,307 | 16,475 | 197,588 | |
| Fixed Assets | 270,000 | 270,000 | 0 | 0 | 0 | 270,000 | |
| Operating Transfers Out | 0 | 0 | | 0 | 0 | 0 | |
| August 2014 Fiscal YTD | \$3,180,600 | \$3,305,146 | \$384,760 | \$1,484,739 | \$1,443,805 | \$1,695,860 | |

| | | | | | |
|------------------------------|--------------------|--------------------|----------------------|----------------------|--------------------|
| Revenue less Expenses | (\$244,622) | (\$369,168) | (\$1,478,736) | (\$1,312,865) | \$1,234,115 |
|------------------------------|--------------------|--------------------|----------------------|----------------------|--------------------|

P26

Monterey County Water Resources Agency
Fund 116-ADMN - Zone 2C Administration - Appropriation Unit WRA006
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|----------------------|----------------------------------|---------------------------------|
| Assessments | \$345,556 | \$345,556 |
| Cal-Am Reimbursement | 0 | 0 |
| Total Revenue | \$345,556 | \$345,556 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|--------------|------------------------------|-----------------------------------------------------|------------------------------------|
| \$293 | \$314 | \$345,263 | Assessments are received Dec & Apr |
| 0 | 0 | 0 | |
| \$293 | \$314 | \$345,263 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|-----------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$49,586 | \$49,586 | | \$8,052 | \$83,738 | \$41,534 | |
| Consultants | 225,000 | 225,000 | 55,000 | 0 | 0 | 225,000 | |
| Services & Supplies | 5,000 | 5,000 | 0 | 0 | 497 | 5,000 | |
| Settlement costs | 66,621 | 66,621 | | 66,621 | 66,621 | (0) | |
| Total Expenses & encumbrance balances | \$346,207 | \$346,207 | \$55,000 | \$74,673 | \$150,856 | \$271,534 | |

| | | | | | |
|------------------------------|----------------|----------------|-------------------|--------------------|-----------------|
| Revenue less Expenses | (\$651) | (\$651) | (\$74,380) | (\$150,542) | \$73,729 |
|------------------------------|----------------|----------------|-------------------|--------------------|-----------------|

P27

Monterey County Water Resources Agency
Fund 122 - Zone 9 - Reclamation Ditch - Appropriation Unit WRA012
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$291,327 | \$291,327 |
| Assessments | 1,058,852 | 1,058,852 |
| Impact fee | 0 | 0 |
| Interest, Rent, & Other | 5,500 | 5,500 |
| Cal-Am Reimbursement | 0 | 0 |
| Total Revenue | \$1,355,679 | \$1,355,679 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|----------------|------------------------------|-----------------------------------------------------|------------------------------------|
| \$0 | \$0 | \$291,327 | Taxes are received Dec & Apr |
| 3,537 | 2,009 | 1,055,315 | Assessments are received Dec & Apr |
| 0 | 0 | 0 | |
| 1,846 | 1,141 | 3,654 | |
| 0 | 0 | 0 | |
| \$5,383 | \$3,150 | \$1,350,296 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$951,889 | \$951,889 | | \$341,979 | \$459,844 | \$609,910 | |
| Consultants | 18,000 | 107,893 | 0 | 0 | 32,627 | 18,000 | |
| Services & Supplies | 360,695 | 360,695 | 22,854 | 105,711 | 85,058 | 254,984 | |
| ALERT charges from Fund 113 | 9,198 | 9,198 | | 0 | 668 | 9,198 | |
| Fixed Assets | 9,000 | 9,000 | 38,240 | 0 | 0 | 9,000 | |
| Total Expenses & encumbrance balances | \$1,348,782 | \$1,438,675 | \$61,094 | \$447,690 | \$578,198 | \$901,092 | |

| | | | | | |
|------------------------------|----------------|-------------------|--------------------|--------------------|------------------|
| Revenue less Expenses | \$6,897 | (\$82,996) | (\$442,307) | (\$575,047) | \$449,204 |
|------------------------------|----------------|-------------------|--------------------|--------------------|------------------|

P 28

Monterey County Water Resources Agency
Fund 130 - Hydroelectric Plant O&M - Appropriation Unit WRA022
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Hydroelectric Revenue | \$0 | \$0 |
| Interest, Rent, & Other | 1,000 | 1,000 |
| Operating Transfers In | 0 | 0 |
| Insurance Reimbursement | 85,000 | 85,000 |
| Cal-Am Reimbursement | 0 | 0 |
| Total Revenue | \$86,000 | \$86,000 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|----------------|---------------------------|-----------------------------------------------------|---------|
| \$0 | \$0 | \$0 | |
| 888 | 623 | 112 | |
| 0 | 0 | 0 | |
| 0 | 1,013,849 | 85,000 | |
| 0 | 0 | 0 | |
| \$888 | \$1,014,472 | \$85,112 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|-----------------|-------------------------------|------------------------------------------------------|
| Labor cost charges from Fund 111 | \$218,068 | \$218,068 | | \$7,464 | \$15,248 | \$210,604 |
| Consultants | 21,000 | 154,919 | 18,898 | 5,147 | 1,404 | 15,853 |
| Services & Supplies | 47,275 | 47,275 | 6,941 | 25,942 | 19,673 | 21,333 |
| Fixed Assets | 0 | 0 | 0 | 0 | 0 | 0 |
| Total Expenses & encumbrance balances | \$286,343 | \$420,262 | \$25,839 | \$38,553 | \$36,325 | \$247,790 |

| | | | | | |
|------------------------------|--------------------|--------------------|-------------------|------------------|--------------------|
| Revenue less Expenses | (\$200,343) | (\$334,262) | (\$37,665) | \$978,147 | (\$162,678) |
|------------------------------|--------------------|--------------------|-------------------|------------------|--------------------|

Monterey County Water Resources Agency
Fund 133 - SVWP Debt Revenue Fund - Appropriation Unit WRA027
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$992,859 | \$992,859 |
| Assessments | 1,130,145 | 1,130,145 |
| Hydroelectric Revenue | 0 | 0 |
| Interest, Rent, & Other | 11,200 | 11,200 |
| Total Revenue | \$2,134,204 | \$2,134,204 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|------------------|------------------------------|-----------------------------------------------------|------------------------------------|
| \$80,887 | \$6,259 | \$911,972 | Taxes are received Dec & Apr |
| 1,903 | 1,347 | 1,128,241 | Assessments are received Dec & Apr |
| 34,948 | 24,089 | (34,948) | |
| 4,956 | 2,766 | 6,244 | |
| \$122,695 | \$34,461 | \$2,011,509 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|----------------------|-------------------------------|------------------------------------------------------|--------------------------------------------|
| Services & Supplies | \$4,600 | \$4,600 | | \$1,900 | \$2,402 | \$2,700 | |
| Debt payments | 2,138,094 | 2,138,094 | | 1,412,529 | 1,342,229 | 725,565 | Transfer of funds to JPA for SVWP bond pmt |
| Total Expenses & encumbrance balances | \$2,142,694 | \$2,142,694 | \$0 | \$1,414,429 | \$1,344,631 | \$728,265 | |
| Revenue less Expenses | (\$8,490) | (\$8,490) | | (\$1,291,734) | (\$1,310,170) | \$1,283,244 | |

P 30

Monterey County Water Resources Agency
Fund 134 - Salinas River Diversion Facility O&M - Appropriation Unit WRA028
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Water Delivery Revenue | \$1,542,905 | \$1,542,905 |
| Grants | 0 | 0 |
| Interest, Rent, & Other | 0 | 0 |
| Operating Transfers In | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Total Revenue | \$1,542,905 | \$1,542,905 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|------------------|------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------|
| \$610,671 | \$864,273 | \$932,234 | Now being received by WRA. - 1st quarter reconciliation received by MRWPCA and awaiting payment |
| 0 | 0 | 0 | |
| 325,586 | 1,884 | (325,586) | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| \$936,257 | \$866,158 | \$606,648 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|------------------|-------------------------------|------------------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$582,531 | \$582,531 | | \$41,424 | \$130,095 | \$541,107 | |
| Consultants | 96,208 | 228,702 | 8,498 | 49,766 | 541,944 | 46,442 | |
| Services & Supplies | 33,685 | 33,685 | 8,500 | 36,562 | 10,605 | (2,877) | |
| Fixed Assets | 0 | 0 | | 0 | 0 | 0 | |
| Total Expenses & encumbrance balances | \$712,424 | \$844,918 | \$16,998 | \$127,752 | \$682,644 | \$584,672 | |

| | | | | | | | |
|------------------------------|------------------|------------------|--|------------------|------------------|-----------------|--|
| Revenue less Expenses | \$830,481 | \$697,987 | | \$808,505 | \$183,514 | \$21,976 | |
|------------------------------|------------------|------------------|--|------------------|------------------|-----------------|--|

Monterey County Water Resources Agency
Funds 119, 131,132 & 303 - Zones 2B, 2Y & 2Z - Combined CSIP/SVRP Funds
November, 2015 Fiscal YTD
Actual vs Budget

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$0 | \$0 |
| Assessments | 7,455,928 | 7,455,928 |
| Water Delivery Revenue | 128,083 | 128,083 |
| Cal-Am Reimbursement | 0 | 0 |
| Interest, Rent, & Other | 632,380 | 632,380 |
| Settlement revenue | 0 | 0 |
| Insurance reimbursement | 0 | 0 |
| Total Revenue | \$8,216,391 | \$8,216,391 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD Revenue | Remarks |
|-----------------|------------------------------|-----------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|
| \$0 | \$66,098 | \$0 | |
| 9,506 | 220,664 | 7,446,422 | Assessments are received Dec & Apr Now being received by WRA. - 1st quarter reconciliation not received by MRWPCA |
| 51,102 | 0 | 76,981 | |
| 0 | 0 | 0 | |
| 9,010 | 5,441 | 623,370 | |
| 0 | 0 | 0 | |
| 0 | 0 | 0 | |
| \$69,618 | \$292,203 | \$8,146,773 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD Expenses | Remarks |
|------------------------------------------------|----------------------------------|---------------------------------|--------------------|--------------------|-------------------------------|------------------------------------------------------|------------------------------------------|
| Labor cost charges from Fund 111 | \$968,065 | \$968,065 | | \$160,381 | \$185,136 | \$807,684 | |
| Consultants | 4,277,336 | 5,019,500 | 0 | 2,393,668 | 1,624,857 | 1,883,668 | |
| Services & Supplies | 187,383 | 187,383 | 1,069 | 308,653 | (55,098) | (121,270) | |
| Fixed Assets | 0 | 0 | 0 | 39,753 | 0 | (39,753) | |
| Debt payments | 3,678,532 | 3,678,532 | | 0 | 0 | 3,678,532 | USBR loan payments will be paid in March |
| Operating Transfers Out | 600,000 | 600,000 | | 0 | 0 | 600,000 | |
| August 2014 Fiscal YTD | \$9,711,316 | \$10,453,480 | \$1,069 | \$2,902,455 | \$1,754,895 | \$6,808,861 | |

| | | | | | | |
|------------------------------|----------------------|----------------------|--|----------------------|----------------------|--------------------|
| Revenue less Expenses | (\$1,494,925) | (\$2,237,089) | | (\$2,832,837) | (\$1,462,692) | \$1,337,912 |
|------------------------------|----------------------|----------------------|--|----------------------|----------------------|--------------------|

**Monterey County Water Resources Agency
Total Minor Funds
November, 2015 Fiscal YTD
Actual vs Budget**

| <u>Revenue</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget |
|-------------------------|----------------------------------|---------------------------------|
| Ad Valorem taxes | \$182,211 | \$182,211 |
| Assessments | 193,609 | 193,609 |
| Interest, Rent, & Other | 3,831 | 3,831 |
| Interfund transfer | 0 | 0 |
| Cal-Am Reimbursement | 0 | 0 |
| Total Revenue | \$379,651 | \$379,651 |

| YTD Revenue | Prior Year YTD Revenue | Variance Approved Budget vs YTD | Remarks |
|---------------------|------------------------------|------------------------------------------|------------------------------------|
| \$0 | \$0 | \$182,211 | Taxes are received Dec & Apr |
| (5,961) | 886 | 199,570 | Assessments are received Dec & Apr |
| 2,038 | 1,083 | 1,793 | |
| 0 | 0 | | |
| 0 | 0 | 0 | |
| (\$3,922.71) | \$1,969 | \$383,574 | |

| <u>Expenses & Encumbrance Balances</u> | FY 2015-16 Approved Budget | FY 2015-16 Adopted Budget | YTD PO Balances | YTD Expenses | Prior Year YTD Expenses | Variance Approved Budget vs YTD | Remarks |
|------------------------------------------------------|----------------------------------|---------------------------------|--------------------|-----------------|-------------------------------|------------------------------------------|---------|
| Labor cost charges from Fund 111 | \$551,934 | \$551,934 | | \$82,841 | \$117,209 | \$469,093 | |
| Consultants | 1,530 | 162,870 | 0 | 0 | 0 | 1,530 | |
| Services & Supplies | 40,291 | 40,291 | 1,638 | 8,658 | 12,416 | 31,633 | |
| ALERT charges from Fund 113 | 30,661 | 30,661 | | 3,960 | 2,226 | 26,701 | |
| Fixed Assets | 0 | 0 | 0 | 0 | 0 | 0 | |
| Total Expenses & encumbrance balances | \$624,416 | \$785,756 | \$1,638 | \$95,459 | \$131,851 | \$528,957 | |

| | | | | | |
|------------------------------|--------------------|--------------------|-------------------|--------------------|--------------------|
| Revenue less Expenses | (\$244,765) | (\$406,105) | (\$99,382) | (\$129,882) | (\$145,383) |
|------------------------------|--------------------|--------------------|-------------------|--------------------|--------------------|

**Purchase Orders/Contracts in Excess of \$500.00
opened for the month of December ,2015
and credit card purchases over \$500.00 in December 2015**

CONSENT

| Vendor Description | PO/Contract | Zone | Amount |
|-----------------------------------------------------------------|----------------|------|-----------|
| Burke, Williams & Sorensen For independent legal services | DO000000110534 | 2C | 20,000.00 |
| Safety Center Inc. Confined Space training for WRA personnel | SC0000004853 | 2C | 9,990.00 |
| Total | | 2 | 29,990.00 |

**Purchase Orders/Contracts in Excess of \$500.00
opened for the month of December ,2015
and credit card purchases over \$500.00 in December 2015**

CONSENT

| Vendor Description | PO/Contract | Zone | Amount |
|-------------------------------------------------------------------------------------|-------------|------|------------------|
| Credit Card Purchases November, 2015 | | | |
| California Professional Safety & Supply Gas Alert Meter & Equipment | | 2C | 1,092.50 |
| Rain For Rent 8' Spillguard for S.A. Project | | 2C | 3,255.00 |
| Fulton Pacific Sand Bags | | ADM | 11,914.80 |
| United Rentals 5 Yard Dump Truck Rental for Storm Cleanup | | 9 | 1,178.08 |
| Bunker & Sons Fill Sand for Moro Cojo Repair | | 17 | 582.71 |
| Lowes Supplies for S.A. Project | | 2C | 797.32 |
| Air Gas Welding Gas for S.A. Project | | 2C | 728.85 |
| Paso Robles Ford Lincoln Truck Service | | ADM | 598.25 |
| Lowes Anchor rods and other supplies S.A. dam project | | 2C | 720.81 |
| My Chevrolet Service for Agency Vehicles | | ADM | 1,424.68 |
| Harmony Machine Shop Fabrication of Hydraulic Components for S.A. Project | | 2C | 1,403.75 |
| Total | | | 23,696.75 |

Monterey County Water Resources Agency
FY 15-16 Consultants
Dec-15

| | Fund | Zone | FY 14-15 Expenditures | FY 15-16 Budget | FY 15-16 YTD Expenditures/Obligations | Document Number |
|--------------------------------|-----------|----------------|-----------------------|-------------------|---------------------------------------|-------------------------------|
| RJA | 111 | Administration | 7,000.00 | 7,650.00 | 7,000.00 | SC*4635 |
| Legal | 111 | Administration | 59,579.36 | 255,000.00 | 200,000.00 | DO*9716 |
| Viasyn | 111 | Administration | 8,397.89 | | | |
| Management Partners | 111 | Administration | 7,500.00 | | 9,900.00 | SC*4856 |
| JAMS Arbitration | 111 | Administration | 1,660.24 | | | |
| KCs reporting | 111 | Administration | 5,096.25 | | | |
| Fund 111 Total | | | 89,233.74 | 262,650.00 | 216,900.00 | - |
| Bill Phillips | 112 | Zone 1 & 1A | 6,260.48 | | | |
| Fund 112 Total | | | 6,260.48 | - | - | - |
| One Rain | 113 | Countywide | 6,480.00 | 10,200.00 | 6,480.00 | DO*10156 |
| Specialized Helicopters | 113 | Countywide | 1,410.00 | | 4,000.00 | DO*10385 |
| Fund 113 Total | | | 7,890.00 | 10,200.00 | 10,480.00 | - |
| FishBio | 115 | Zone 2A | - | 20,400.00 | 40,000.00 | DO*10468 |
| Fund 115 Total | | | - | 20,400.00 | 40,000.00 | - |
| USGS | 116-O&M | Zone 2C O&M | 173,525.00 | 118,500.00 | 116,150.00 | SC*4687 |
| AECOM | 116-O&M | Zone 2C O&M | 31,059.72 | 38,000.00 | 49,450.00 | |
| Hagar | 116-O&M | Zone 2C O&M | 16,974.64 | 19,000.00 | | |
| Yoshimaru | 116-O&M | Zone 2C O&M | | 10,000.00 | | |
| Greenline | 116-O&M | Zone 2C O&M | | 2,000.00 | 15,940.00 | DO*9730 |
| Dam Safety Report | 116-O&M | Zone 2C O&M | 8,814.28 | 20,000.00 | | |
| Low Level Outlet | 116-O&M | Zone 2C O&M | | 100,000.00 | | |
| URS | 116-O&M | Zone 2C O&M | 29,799.42 | | | |
| EPC | 116-O&M | Zone 2C O&M | 579,620.56 | | 210,170.80 | DO*10031,*10158, 10359, 10414 |
| LUX Environmental | 116-O&M | Zone 2C O&M | | | 31,810.00 | SC*4585 |
| Obermeyer Hydro | 116-O&M | Zone 2C O&M | | | 27,716.00 | DO*10047 |
| Techno Coatings, Inc | 116-O&M | Zone 2C O&M | | | 544,458.30 | EPO*765 |
| Industrial Machine Shop | 116-O&M | Zone 2C O&M | | | 19,101.39 | DO*9660 |
| Safety Center, Inc. | 116-O&M | Zone 2C O&M | | | 9,990.00 | SC*4853 |
| Burke, Williams & Sorensen LLP | 116-O&M | Zone 2C O&M | | | 20,000.00 | DO*10534 |
| Fund 116 O&M Total | | | 839,793.62 | 307,500.00 | 1,044,786.49 | - |
| Assessments Consultant | 116-ADMIN | Zone 2C Admin | | 75,000.00 | | |
| Legal | 116-ADMIN | Zone 2C Admin | 12,540.89 | 150,000.00 | 67,540.89 | DO*9716 |
| Fund 116 Admin Total | | | 12,540.89 | 225,000.00 | 67,540.89 | - |

**Monterey County Water Resources Agency
FY 15-16 Consultants
Dec-15**

| | Fund | Zone | FY 14-15 Expenditures | FY 15-16 Budget | FY 15-16 YTD Expenditures/Obligations | Document Number |
|---------------------------|------|------------------|------------------------|------------------------|---------------------------------------|-----------------|
| Legal | 122 | Zone 9 | | 8,000.00 | | |
| Carr Lake | 122 | Zone 9 | 50,235.94 | 10,000.00 | | |
| Industrial Machine Shop | 122 | Zone 9 | | | 7,274.84 | DO*9660 |
| Fund 122 Total | | | 50,235.94 | 18,000.00 | 7,274.84 | - |
| USGS | 125 | Zone 14 | | 1,530.00 | | |
| Fund 125 Total | | | - | 1,530.00 | - | - |
| Viasyn | 130 | Naci Hydro Plant | 8,296.55 | 21,000.00 | 24,253.01 | DO*9507 |
| BBMS | 130 | Naci Hydro Plant | 3,002.75 | | | |
| PowerPros | 130 | Naci Hydro Plant | 567.00 | | | |
| Fund 130 Total | | | 11,866.30 | 21,000.00 | 24,253.01 | - |
| Industrial Machine Shop | 131 | Zone 2Y | | | 6,416.55 | DO*9660 |
| MRWPCA | 131 | Zone 2Y | 1,311,674.00 | 1,661,203.00 | 910,601.50 | |
| Salinas Pump | 131 | Zone 2Y | 177,887.76 | 150,000.00 | 39,752.65 | DO*10302 |
| Rain for Rent | 131 | Zone 2Y | | | 41,000.00 | LPO*1553 |
| Fund 131 Total | | | 1,489,561.76 | 1,811,203.00 | 997,770.70 | - |
| MRWPCA | 132 | Zone 2Z | 1,902,779.00 | 2,466,133.00 | 1,233,066.50 | |
| Fund 132 Total | | | 1,902,779.00 | 2,466,133.00 | 1,233,066.50 | - |
| MRWPCA | 134 | SRDF | 599,338.00 | 75,808.00 | 37,904.00 | |
| Willoughby - Legal | 134 | SRDF | 399,640.00 | 20,400.00 | 120,000.00 | SC*4730 |
| Coastal Conservation Res. | 134 | SRDF | 4,300.00 | | | |
| Obermeyer Hydro | 134 | SRDF | | | 7,500.00 | DO*10047 |
| Fund 134 Total | | | 1,003,278.00 | 96,208.00 | 165,404.00 | - |
| Agency Total | | | \$ 5,413,439.73 | \$ 5,239,824.00 | \$ 3,807,476.43 | |

Document Legend:

SC (Service Contract) = award document within the system that procures professional services through an agreement that can extend more than one fiscal year.

DO (Delivery Order) = award document within the system for procuring professional services against a Master Agreement.

LPO (Lease Purchase Order) = award document within the system that encumbers funds for Capital Leases (both operating and capital)

EPO (Emergency Purchase Order) = award document within the system that encumbers funds for good associated with an identified emergency.

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS – FINANCE COMMITTEE**

| | | | |
|-------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|-----------------|
| MEETING DATE: | January 15, 2015 | AGENDA ITEM: | |
| AGENDA TITLE: | Consider recommending that the full Board of Directors approve Amendment No. 1 to the Professional Services Agreement with AECOM Technical Services, Inc., in the amount of \$11,968.16 to provide safety surveillance and performance evaluation of San Antonio Dam; and, authorize the General Manager to execute the Amendment. | | |
| Consent () Action (X) Information () | | | |
| SUBMITTED BY: | Chris Moss | PREPARED BY: | Manuel Saavedra |
| PHONE: | (831) 755-4860 | PHONE: | (831) 755-4860 |
| DEADLINE FOR BOARD ACTION: | January 25, 2015 | | |

RECOMMENDED BOARD ACTION:

Recommend that the full Board of Directors approve Amendment No. 1 to the Professional Services Agreement with AECOM Technical Services, Inc., in the amount of \$11,968.16 to provide safety surveillance and performance evaluation of San Antonio Dam; and, authorize the General Manager to execute the Amendment.

SUMMARY:

This action is to approve Amendment No.1, to the Professional Services Agreement (Agreement) with AECOM Technical Services, Inc., (AECOM) in the amount of \$11,968.16 to pay for work performed on the FY 2014-15 scope of work that occurred after June 30, 2015. This amendment accounts for payment for work contracted for FY 2014-15 but actually completed in FY 2015-16, and does not increase the total amount paid for contracted services.

DISCUSSION/ANALYSIS:

Due to an unexpected delay in the collection of the San Antonio Dam survey data and evaluation of the dam performance monitoring data, AECOM was not able to finalize the contractual tasks under the FY 2014-15 Agreement before the end of the fiscal year (June 30, 2015). Instead, AECOM finalized the FY 2014-15 scope of work in August 2015. Consequently, the following invoices in the sum of \$11,968.16 were received during FY 2015-16:

| Invoice Number | Invoice Date | Amount | Task Description |
|-----------------------|---------------------|---------------|----------------------------|
| 37616979 | 8/31/15 | \$2,565.66 | Data review and reporting. |
| 37601401 | 11/5/15 | \$9,402.50 | Data review and reporting. |

TOTAL = \$11,968.16



*Before the Board of Directors of the Monterey County Water Resources Agency
County of Monterey, State of California*

BOARD ORDER No. _____

**APPROVE AMENDMENT NO. 1 TO THE PROFESSIONAL SERVICES)
AGREEMENT WITH AECOM TECHNICAL SERVICES, INC., IN THE)
AMOUNT OF \$11,968.14 TO PROVIDE SAFETY SURVEILLANCE AND)
PERFORMANCE EVALUATION OF SAN ANTONIO DAM; AND,)
AUTHORIZE THE GENERAL MANAGER TO EXECUTE THE AMENDMENT)**

Upon motion of Director _____, seconded by Director _____, and carried by those members present, the Board of Directors hereby:

1. Approves Amendment No. 1 to the Professional Services Agreement with AECOM Technical Services, Inc., in the amount of \$11,968.14 to provide safety surveillance and performance evaluation of San Antonio Dam payable from Zone 2C, Fund 116, San Antonio Dam Operations and Maintenance, Fiscal Year Budget 2015-16; and,
2. Authorizes the General Manager to execute the Amendment.

PASSED AND ADOPTED on this 25th day of **January 2016**, by the following vote, to-wit:

AYES:

NOES:

ABSENT:

BY: Claude Hoover, Chair
Board of Directors

ATTEST: David E. Chardavoyne
General Manager

AMENDMENT No. 1

to

**Agreement for Professional Services
between**

Monterey County Water Resources Agency and AECOM Technical Services, Inc.

The undersigned parties hereby agree to amend that certain Agreement for Professional Services between the Monterey County Water Resources Agency (hereinafter "Agency") and Salinas Pump Company (hereinafter "CONTRACTOR") executed and effective on May 26, 2015 (hereinafter "Agreement").

Section 3 of the Agreement is hereby amended to read as follows:

3. Payment to CONTRACTOR; maximum liability. Subject to the limitations set forth herein, Agency shall pay to CONTRACTOR the amounts shown below;

| Amount | Description |
|---------------|-------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| \$49,450.00 | For work completed and/or in progress pursuant Exhibit A - Scope of Work, payable pursuant Exhibit B – Payment Provisions. |
| \$11,968.16 | For work completed pursuant Exhibit J – Scope of Work, payable pursuant Exhibit K – Payment Provisions, of Amendment 4 to the Agreement for Professional Services effective July 2, 2010. |
| \$61,418.16 | Total maximum amount payable to CONTRACTOR under this contract. |

All other provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, Agency and CONTRACTOR have caused this Amendment No. 1 to be executed as follows:

**MONTEREY COUNTY
WATER RESOURCES AGENCY**

AECOM TECHNICAL SERVICES, INC.

David E. Chardavoyne, General Manager

By _____
(signature)

DATED: _____

(print name and title)*

DATED: _____

By _____
(signature)

(print name and title)*

DATED: _____

* INSTRUCTIONS: If CONTRACTOR is a corporation (including limited liability and nonprofit corporations), the full legal name of the corporation shall be set forth together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth together with the signature of a partner with authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of his or her business, if any, and shall personally sign the Agreement.

**AECOM Technical Services, Inc.
Amendment No. 1**

* * * * *

Approved as to form:

Approved as to fiscal provisions:

Deputy County Counsel

CAO Analyst

DATED: _____

DATED: _____

Auditor-Controller

DATED: _____

MONTEREY COUNTY WATER RESOURCES AGENCY
AGREEMENT FOR PROFESSIONAL SERVICES
WITH SURVEYORS, ARCHITECTS, ENGINEERS AND/OR DESIGN PROFESSIONALS

This is a multi-year agreement between the Monterey County Water Resources Agency, hereinafter called "Agency," and AECOM Technical Services, Inc., a California Corporation, hereinafter called "CONTRACTOR".

In consideration of the mutual covenants and conditions set forth in this Agreement, the parties agree as follows:

1. Employment of CONTRACTOR. Agency hereby engages CONTRACTOR and CONTRACTOR hereby agrees to perform the services set forth in Exhibit A, in conformity with the terms of this Agreement. CONTRACTOR will complete all work in accordance with the **Scope of Work/Work Schedule set forth in Exhibit A:**

(a) The scope of work is briefly described and outlined as follows:

Dam safety surveillance and performance evaluation of San Antonio Dam.

- (b) The CONTRACTOR shall perform its services under this agreement in accordance with usual and customary care and with generally accepted practices in effect at the time the services are rendered. The CONTRACTOR and its agents and employees performing work hereunder are specially trained, experienced, competent, and appropriately licensed to perform the work and deliver the services required by this Agreement.
- (c) CONTRACTOR, its agents and employees shall perform all work in a safe and skillful manner and in compliance with all applicable laws and regulations. All work performed under this Agreement that is required by law to be performed or supervised by licensed personnel shall be performed in accordance with such licensing requirements.
- (d) CONTRACTOR shall furnish, at its own expense, all materials and equipment necessary to carry out the terms of this Agreement, except as otherwise provided herein. CONTRACTOR shall not use Agency premises, property (including equipment, instruments, or supplies) or personnel for any purpose other than in the performance of its obligations hereunder.

2. Term of Agreement. The term of this Agreement shall begin upon execution of this Agreement by CONTRACTOR and Agency, and will terminate on June 30, 2016 unless earlier terminated as provided herein.

3. Payments to CONTRACTOR; maximum liability. Subject to the limitations set forth herein, Agency shall pay to CONTRACTOR the amounts provided in Exhibit B. The maximum amount payable to CONTRACTOR under this contract is Forty-nine thousand four hundred fifty dollars.

(\$ 49,450).

5.3 Indemnification for All Other Claims or Loss:

For any claim, loss, injury, damage, expense or liability other than claims arising out of the CONTRACTOR's performance of design professional services under this Agreement, CONTRACTOR shall indemnify, defend and hold harmless COUNTY, its governing board, directors, officers, employees, and agents against any claim for loss, injury, damage, expense or liability resulting from or alleging injury to or death of any person or loss of use of or damage to property, arising from or related to the performance of services under this Agreement by CONTRACTOR, its employees, subCONTRACTORS or agents, excepting only liability arising from the sole negligence, active negligence or willful misconduct of the COUNTY, or defect in a design furnished by the COUNTY.

6. Insurance.

6.1 Evidence of Coverage:

Prior to commencement of this Agreement, the CONTRACTOR shall provide a "Certificate of Insurance" certifying that coverage as required herein has been obtained. Individual endorsements executed by the insurance carrier shall accompany the certificate. In addition the CONTRACTOR upon request shall provide a certified copy of the policy or policies.

This verification of coverage shall be sent to the Agency's Contact, unless otherwise directed. The CONTRACTOR shall not receive a "Notice to Proceed" with the work under this Agreement until it has obtained all insurance required and such, insurance has been approved by the Agency. This approval of insurance shall neither relieve nor decrease the liability of the CONTRACTOR.

6.2 Qualifying Insurers:

All coverage's, except surety, shall be issued by companies which hold a current policy holder's alphabetic and financial size category rating of not less than A- VII, according to the current Best's Key Rating Guide or a company of equal financial stability that is approved by the County's Purchasing Manager.

6.3 Insurance Coverage Requirements: Without limiting CONTRACTOR's duty to indemnify, CONTRACTOR shall maintain in effect throughout the term of this Agreement a policy or policies of insurance with the following minimum limits of liability:

Commercial general liability insurance, including but not limited to premises and operations, including coverage for Bodily Injury and Property Damage, Personal Injury, Contractual Liability, Broad form Property Damage, Independent CONTRACTORS, Products and Completed Operations, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

Business automobile liability insurance, covering all motor vehicles, including owned, leased, non-owned, and hired vehicles, used in providing services under this Agreement, with a combined single limit for Bodily Injury and Property Damage of not less than \$1,000,000 per occurrence.

Modification (Justification attached; subject to approval).

insurance required by this Agreement. The CONTRACTOR shall file a new or amended certificate of insurance within five calendar days after any change is made in any insurance policy, which would alter the information on the certificate then on file. Acceptance or approval of insurance shall in no way modify or change the indemnification clause in this Agreement, which shall continue in full force and effect.

CONTRACTOR shall at all times during the term of this Agreement maintain in force the insurance coverage required under this Agreement and shall send, without demand by Agency, annual certificates to Agency's Contract Administrator. If the certificate is not received by the expiration date, Agency shall notify CONTRACTOR and CONTRACTOR shall have five calendar days to send in the certificate, evidencing no lapse in coverage during the interim. Failure by CONTRACTOR to maintain such insurance is a default of this Agreement, which entitles Agency, at its sole discretion, to terminate this Agreement immediately.

7. Maintenance of Records. CONTRACTOR shall prepare, maintain and preserve all reports and records that may be required by federal, State, and local rules and regulations relating to services performed under this Agreement. CONTRACTOR shall retain all such records for at least five years from the date of final payment, or until any litigation relating to this Agreement is concluded, whichever is later.

8. Right to Audit at Any Time. Agency officials shall have the right, at any time during regular working hours and on reasonable advance notice, to examine, monitor and audit all work performed and all records, documents, conditions, activities and procedures of CONTRACTOR or its subCONTRACTORS relating to this Agreement. Government Code Section 8546.7 provides that an audit by the State Auditor General may be performed up to three years after the final payment under any contract involving the expenditure of public funds in excess of \$10,000.

9. Confidentiality; Return of Records. CONTRACTOR and its officers, employees, agents, and subCONTRACTORS shall comply with all federal, State and local laws providing for the confidentiality of records and other information. To the extent permitted by applicable law and regulations, CONTRACTOR shall maintain confidentiality with respect to Agency's well database and other water use data. CONTRACTOR shall not disclose any confidential information received from Agency or prepared in connection with the performance of this Agreement without the express permission of Agency. CONTRACTOR shall promptly transmit to Agency all requests for disclosure of any such confidential information. CONTRACTOR shall not use any confidential information gained through the performance of this Agreement except for the purpose of carrying out CONTRACTOR's obligations hereunder. When this Agreement expires or terminates, CONTRACTOR shall return to Agency all records, which CONTRACTOR utilized or received, from Agency to perform services under this Agreement.

10. Termination. Either party may terminate this Agreement by giving written notice of termination to the other party at least thirty (30) days prior to the effective date of termination, which date shall be specified in any such notice. In the event of such termination, the amount payable hereunder shall be reduced in proportion to the services provided prior to the effective date of termination. Agency may terminate this Agreement at any time for good cause effective immediately upon written notice to CONTRACTOR. "Good cause" includes, without limitation, the failure of CONTRACTOR to perform the required services at the time and in the manner provided herein. If Agency terminates this Agreement for good cause, Agency may be relieved of the payment

17. Conflict of Interest. CONTRACTOR warrants that it presently has no interest and shall not acquire any interest during the term of this Agreement, which would directly or indirectly conflict in any manner or to any degree with its full and complete performance of all services under this Agreement.
18. Governing Laws. This Agreement is entered into in the County of Monterey, State of California, and shall be construed and enforced in accordance with the laws of the State of California. The parties hereby agree that the County of Monterey shall be the proper venue for any dispute arising hereunder.
19. Compliance with Applicable Law. The parties shall comply with all applicable federal, state, and local laws and regulations in performing this Agreement.
20. Construction of Agreement. The parties agree that each party has fully participated in the review and revision of this Agreement and that any rule of construction to the effect that ambiguities are to be resolved against the drafting party shall not apply in the interpretation of this Agreement or any exhibit or amendment. To that end, it is understood and agreed that this Agreement has been arrived at through negotiation, and that neither party is to be deemed the party which prepared this Agreement within the meaning of Civil Code Section 1654. Section and paragraph headings appearing herein are for convenience only and shall not be used to interpret the terms of this Agreement.
21. Waiver. Any waiver of any term or condition hereof must be in writing. No such waiver shall be construed as a waiver of any other term or condition herein.
22. Successors and Assigns. This Agreement and all rights, privileges, duties and obligations hereunder, to the extent assignable or delegable, shall be binding upon and inure to the benefit of the parties and their respective successors, permitted assigns and heirs.
23. CONTRACTOR. The term "CONTRACTOR" as used in this Agreement includes CONTRACTOR's officers, agents, and employees acting on Contractor's behalf in the performance of this Agreement.
24. Interpretation of Conflicting Provisions. In the event of any conflict or inconsistency between the provisions of this Agreement and the Provisions of any exhibit or other attachment to this Agreement, the provisions of this Agreement shall prevail and control.
25. Time is of the Essence. The parties mutually acknowledge and agree that time is of the essence with respect to every provision hereof in which time is an element. No extension of time for performance of any obligation or act shall be deemed an extension of time for performance of any other obligation or act, nor shall any such extension create a precedent for any further or future extension.
26. Contract Administrators. CONTRACTOR's designated principal responsible for administering CONTRACTOR's work under this Agreement shall be Stanley H. Kline; Agency's designated administrator of this Agreement shall be Manuel Saavedra.

MONTEREY COUNTY WATER RESOURCES AGENCY
AGREEMENT FOR PROFESSIONAL SERVICES
WITH SURVEYORS, ARCHITECTS, ENGINEERS AND/OR DESIGN PROFESSIONALS

IN WITNESS WHEREOF, Agency and CONTRACTOR execute this agreement as follows:

MONTEREY COUNTY WATER
RESOURCES AGENCY

CONTRACTOR

BY: David E. Chardavoigne

David E. Chardavoigne
General Manager

Date: 1 July 2015

BY: [Signature]

Type Name: ERIC E. ZABOJ
Title: VICE PRESIDENT

Date: 5/15/15

BY: [Signature]

Type Name: NOEL WONG
Title: VICE PRESIDENT


Date: 5/14/15

MONTEREY COUNTY WATER RESOURCES AGENCY
AGREEMENT FOR PROFESSIONAL SERVICES
WITH SURVEYORS, ARCHITECTS, ENGINEERS AND/OR DESIGN PROFESSIONALS

* INSTRUCTIONS: If CONTRACTOR is a corporation (including limited liability and nonprofit corporations), the full legal name of the corporation shall be set forth together with the signatures of two specified officers. If CONTRACTOR is a partnership, the name of the partnership shall be set forth together with the signature of a partner with authority to execute this Agreement on behalf of the partnership. If CONTRACTOR is contracting in an individual capacity, the individual shall set forth the name of his or her business, if any, and shall personally sign the Agreement.

(**AECOM Technical Services, Inc.**)
Agreement/Amendment No # ()

Approved as to form:




Deputy County Counsel

Dated: 6/5/15

Risk Management:

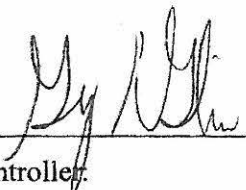
Dated: _____

Approved as to fiscal provisions:



Administrative Analyst

Dated: 6-10-15



Auditor-Controller

Dated: 6-5-15

EXHIBIT A

Scope of Work and Work Schedule San Antonio Dam Surveillance and Performance Evaluation

Introduction:

AECOM Technical Services, Inc., (Contractor) shall provide the following Scope of Work related to dam surveillance and performance evaluation of San Antonio Dam, for the period of July 1, 2015 through June 30, 2020. The Agency intends to renew this Agreement annually for up to five years, beginning July 1, 2015, dependent upon satisfactory completion of each year's Scope of Work, and the continuation of Mr. Stanley Kline as the project engineer/manager.

Tasks to Be Performed:

Task 1 - Annual Inspection

Provide on-site inspection of San Antonio Dam at a time to be scheduled by the Agency. The inspection will include, at a minimum, examination of the instrumentation, relief wells, drains, embankment slopes, dam crest, and spillway for visible signs of failure, distress, erosion, or maintenance needs, and observation of operating mechanisms, including but not limited to valves, gates, drains, and safety devices for signs of malfunction or wear.

Deliverables: Contractor will provide field notes to the Agency after inspection is completed and any appropriate recommendations. One inspection per year.

Task 2 - Piezometer / Drain Data Review

Review and evaluate bi-monthly data from approximately 85 piezometers, 34 drains, and 10 relief wells. Data will be collected and provided to the Contractor by the Agency supplied in electronic spreadsheet format. This review includes comparison of current monitoring data with past data during periods of similar reservoir conditions and against original design assumptions and criteria, as appropriate, to check for development of significant trends or changes in the data. Contractor is to make recommendations for corrective action if required. Up to six (6) data sets will be provided to Contractor per year.

Deliverables: Contractor will provide the Agency a brief summary of data review conclusions and any appropriate recommendations, promptly upon each periodic review, and prior to development of the surveillance and performance evaluation report.

Task 3 - Survey Data Review

Review and evaluate annual dam, spillway, and outlet tunnel survey displacement data supplied by the Agency. Include a comparison of current monitoring data with past data during periods of similar reservoir conditions and against original design assumptions and criteria, as appropriate, to check for development of significant trends or changes in the data. Contractor is to make recommendations for corrective action if required.

Deliverables: Contractor will provide an executive summary of data review conclusions and any appropriate recommendations upon review, and incorporate review and conclusions into the surveillance and performance evaluation report.

Task 4 - Instrumentation Data Plots

Generate piezometer and drain flow data plots, and survey displacement data plots from data provided by the Agency. The Contractor shall organize and format the plots for ease of interpretation. The plots will include data for the past ten (10) years. The plots will be generated annually.

Deliverables: Included in Task 5 deliverables.

Task 5 - Reporting

Prepare an annual dam surveillance and performance evaluation report (report) for Agency submittal to DSOD. The report will include evaluation of the current annual piezometer, drain, and survey monitoring data with comparison to historic data and trends; documentation of the field inspection; conclusions regarding the current condition of the dam, appurtenant facilities, and instrumentation; and applicable recommendations for repair, maintenance, or adjustments to the instrumentation and surveillance program. The report will be submitted initially as a draft; after receiving and incorporating Agency comments, the report will be presented in final form.

Deliverables:

- Upon Agency request, send via e-mail copies of all draft reports to Agency Project Manager and Agency Chief of Operations and Maintenance to be followed by four (4)-draft hard copy of all reports.

- Five (5) bound copies of the final report and one (1) bound final report with wet signature and engineer's stamp totaling six (6) bound final reports.
- One (1) unbound master copy of the final report with original signature and engineer's stamp.
- Copies of final reports in Adobe® Portable Document Format (.PDF) on Compact Disc (CD).
- Electronic data shall be readable using Microsoft® Word, Excel, Project, and plan sets in AutoCAD by Autodesk®. ESRI ArcGIS® and AutoCAD, images to be imported in a document are to be provided at 300 dpi.
- Digital photos shall be 2048 x 1536 pixels at 72 pixels per inch or better, and delivered in .PDF, .TIFF or .JPEG formats.

Task 6 - Meetings

The Contractor will allow for additional meetings as required, in addition to the site inspection, with the Agency and/or DSOD. Such meetings are in addition to Task 1.

Deliverables: Allow for two (2) meetings per year.

Task 7 - On-Call Response

The Contractor shall be available on a time and expense basis in the event of a natural disaster or other threat to San Antonio Dam, or other unexpected urgent situation regarding the safety or integrity of the dam. The Contractor, in the event of such situation, would be expected to inspect the dam within 24 hours, consult with the Agency as needed, and prepare written recommendations for the continued safe operation of the structure. This task would be funded on an as-needed basis.

Deliverables: Allow for one on-site inspection and inspection report per year.

Task 7.1 - Earthquake Event Data Review

When requested by Agency in the event of a significant earthquake, piezometer, drain, and other data will be collected on a more frequent basis, perhaps daily or weekly. These additional data will need to be evaluated and incorporated in the surveillance and performance evaluation report. The evaluation may be requested at the time immediately after data collection for such event.

Deliverables: Allow for up to 12 datasets to be evaluated and presented in the surveillance and performance evaluation report.

EXHIBIT B

Payment Provisions San Antonio Dam Surveillance and Performance Evaluation

PAYMENT:

For the Scope of Work defined in Exhibit A, Agency shall pay Contractor on a time and expense basis an amount not to exceed \$49,450. Payable costs shall be the sum of direct labor costs, other direct costs and sub-consultant mark-up as defined below. If Contractor time and expense costs necessary to complete the Scope of Work defined in Exhibit A are less than \$49,450, the Agency enjoys the savings. If Contractor requires time and expense to complete the Scope of Work defined in Exhibit A are over and above \$49,450, the maximum amount payable to Contractor remains \$49,450. Budget detail is shown on page 3.

Direct Labor Costs: are the hourly billing rate, per the Direct Labor Rate Schedule herein, times the number of hours worked by the personnel.

Other Direct Costs: are identifiable costs necessarily incurred by Contractor to complete the Scope of Work defined in Exhibit A. Other Direct Costs include, but are not limited to, travel and subsistence expenses, document reproduction costs, and postal costs. Other Direct Costs shall be accounted for in each invoice by submittal of receipts for such costs and description of their necessity. Contractor is entitled to mark-up their Other Direct Costs by a multiplier of 1.10 (10%). Automobile mileage will be reimbursable at the IRS approved rate.

Sub-Contractor Mark-up: is the percentage multiplier designated for each sub-Contractor times the sum of sub-Contractor direct labor and other direct charges. All sub-Contractor mark-up multipliers shall not exceed 1.10 (10% mark-up).

DIRECT LABOR RATE SCHEDULE:

The Direct Labor Rate Schedule herein shall be valid for a twelve-month period, beginning July 1, 2015. The hourly billing rate schedule and other direct costs chargeable to the project may be modified as agreed by Agency and Contractor after said period of time. The parties shall agree to such modifications in writing as an Amendment to the Agreement.

| Professional and Technical Staff | Rate/Hour |
|-----------------------------------------|------------------|
| Doug Yadon, Principal..... | \$200.00 |
| Stanley Kline, PM/PE | \$195.00 |
| John Paxton, Dam Engineer | \$180.00 |
| John Roadifer, Dam Engineer | \$210.00 |
| Rick Ducklin, Dam Engineer..... | \$195.00 |
| Dave Simpson, Dam Engineer | \$195.00 |
| Lelio Mejia, PFMA Facilitator..... | \$310.00 |
| Bob Green, PFMA Facilitator | \$230.00 |
| Jennifer Williams, PFMA Facilitator.. | \$205.00 |
| Jesse Drayton, PFMA Recorder..... | \$100.00 |
| Lloyd Pound, Engineering/CADD | \$145.00 |
| Production Support | \$100.00 |
| Administration Support..... | \$85.00 |

Project-related expenses are charged as follows:

1. Travel-related expenses (hotels, rental vehicles, parking, etc.): cost plus 10 percent.
2. Subcontractors (drilling, trenching, surveying, laboratory testing, etc.): cost plus 10 percent.
3. Project direct expenses for reprographics, aerial photos, publications, overnight shipping, project-expendable materials and supplies, and rental equipment and instrumentation: cost plus 10 percent.
4. Mileage: Per U.S. government rates.

INVOICES:

Invoices may be submitted monthly. Invoices shall include the direct labor costs by individual and task, showing the individual's hours charged, hourly rate and total amount charged to each task. Other direct charges shall be added to the sum of the direct labor costs by task. Other Direct Charges shall be accounted for in each invoice by submittal of receipts for such costs and description of their necessity. Percent of task completion shall be included on each invoice.

NOTIFICATION:

When, during performance of the work, Contractor incurs 75 percent of the total Task cost allotted to a Task, Contractor shall so notify the Agency to that effect. If Consultant has reason to believe that the costs which it expects to incur to finish the Task, when added to the costs previously incurred, will exceed the total Task cost Contractor shall so notify the Agency to that effect. The notice shall state: (1) the estimated amount of additional funds required to complete the Task; (2) justification for the need for additional funds; and (3) the estimated date Contractor expects its total costs incurred to meet the total Task cost.

BUDGET DETAIL:

| Task No. | Task Description | Rate: | | | | | Subtotal Manhours | Expenses | Task Cost Estimate |
|----------|--------------------------------|-----------------|---------------------|------------------|--------------|---------------|-------------------|----------------|--------------------|
| | | \$200.00 | \$195.00 | \$145.00 | \$100.00 | \$85.00 | | | |
| | | Principal Yadon | PM/PE Stanley Kline | Eng / CADD Pound | Prod Support | Admin Support | | | |
| 1 | Annual Inspection | 0 | 10 | 0 | 0 | 0 | 10 | \$200 | \$2,150 |
| 2 | Piezometer / Drain Data Review | 0 | 40 | 0 | 0 | 0 | 40 | \$0 | \$7,800 |
| 3 | Survey Data Review | 0 | 16 | 0 | 0 | 0 | 16 | \$0 | \$3,120 |
| 4 | Instrumentation Data Plots | 0 | 32 | 16 | 0 | 0 | 48 | \$0 | \$8,560 |
| 5 | Reporting | 4 | 50 | 16 | 16 | 10 | 96 | \$500 | \$15,820 |
| 6 | Meetings | 0 | 12 | 0 | 0 | 0 | 12 | \$150 | \$2,490 |
| 7 | On-Call Response | 0 | 22 | 0 | 0 | 4 | 26 | \$200 | \$4,830 |
| 7.1 | Earthquake Event Data Review | 0 | 24 | 0 | 0 | 0 | 24 | \$0 | \$4,680 |
| | Total: | 4 | 206 | 32 | 16 | 14 | 272 | \$1,050 | \$49,450 |

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS – FINANCE COMMITTEE**

| | | | |
|-----------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|-----------------|
| MEETING DATE: | January 15, 2016 | AGENDA ITEM: | |
| AGENDA TITLE: | <p>Consider receiving and recommending that the full Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors approve Budget Amendment No. 6 for the Water Resources Agency authorizing the Auditor-Controller to amend the Monterey County Water Resources Agency’s FY 2015-16 Adopted Budget for the Dam Operations O&M Zone 2C Fund 116, Appropriation Unit WRA006 and San Antonio Non-O&M Zone 2A to increase estimated revenue & appropriations by \$524,099 for epoxy coating to San Antonio low level outlet pipe and valves:</p> <p>a. Amending the FY 2015-16 Adopted Budget, Dept. 9300, Unit 8267, Fund 116 [Dam O&M Zone 2C], Appropriation Unit WRA006, Account 6613 to increase appropriations by \$524,099 financed by an operating transfer in of \$524,099 from Fund 115 (4/5th vote required) and,</p> <p>b. Amending the FY 2015-16 Adopted Budget to transfer \$524,099 from Dept. 9300, Unit 8267, Fund 115 [San Antonio Dam Non-O&M Zone 2A-, Appropriation Unit WRA005, Account 7614 financed by Fund Balance account 3001, to Fund 116 [Dam O&M Zone 2C], Appropriation Unit WRA006, Account 5940 in the amount of \$524,099 (4/5th vote required).</p> | | |
| Consent () | | Action (X) | |
| Information () | | | |
| SUBMITTED BY: | Cathy Paladini | PREPARED BY: | Fabricio Chombo |
| PHONE: | (831) 755-4861 | PHONE: | (831) 755-4680 |
| DEADLINE FOR BOARD ACTION: | | January 15, 2016 | |

RECOMMENDED BOARD ACTION:

Receive and recommend that the full Board of Directors recommend that the Monterey County Water Resources Agency Board of Supervisors approve Budget Amendment No. 6 for the Water Resources Agency authorizing the Auditor-Controller to amend the Monterey County Water Resources Agency’s FY 2015-16 Adopted Budget for the Dam Operations O&M Zone 2C Fund 116, Appropriation Unit WRA006 and San Antonio Non-O&M Zone 2A to increase estimated revenue & appropriations by \$524,099 for epoxy coating to San Antonio low level outlet pipe and valves:

- a. Amending the FY 2015-16 Adopted Budget, Dept. 9300, Unit 8267, Fund 116 Dam O&M Zone 2C, Appropriation Unit WRA006, Account 6613 to increase appropriations by \$524,099 financed by an operating transfer in of \$524,099 from Fund 115 (4/5th vote required) and,
- b. Amending the FY 2015-16 Adopted Budget to transfer \$524,099 from Dept. 9300, Unit 8267, Fund 115 [San Antonio Dam Non-O&M Zone 2A], Appropriation Unit WRA005, Account 7614 financed by Fund Balance account 3001, to Fund 116 [Dam O&M Zone 2C], Appropriation Unit WRA006, Account 5940 in the amount of \$524,099 (4/5th vote required).

SUMMARY/DISCUSSION:

San Antonio Dam, built in the 1960's, includes a large diameter low level outlet pipe, and an epoxy coating was applied to the internal pipe and valves during construction. The internal coating was touched-up in the 1980s. During the fall of 2015, San Antonio Reservoir was reduced to an historic low elevation, which allowed access to the outlet pipe for inspection. It was found that the internal pipe coating needed replacement. A contractor was hired to recoat 496 feet of the 1,100 feet long outlet pipe. The work consisted of surface preparation by sand blasting the pipe; application of epoxy filler in pitted areas; and, application of two coats of epoxy-based paint. This recoating work was completed on December 16, 2015 at a cost of \$544,458.30, paid from Agency Fund 116, Zone 2C – San Antonio Dam Operations and Maintenance. Agency Fund 115 Zone 2A holds a reserve of \$524,099 for maintenance work specifically at San Antonio Dam.

This action recommends that the Board of Supervisors authorize the transfer of the reserve amount from Fund 115 to Fund 116 to reimburse Fund 116 for a majority of the recoating work cost.

OTHER AGENCY INVOLVEMENT:

None.

FINANCING:

This transaction will transfer the funds in Fund 115 General Reserve to Fund 116 to pay for maintenance work performed at San Antonio Dam.

| | | |
|---------------------------------------------|---------------------------------|----------------------|
| FINANCIAL IMPACT: | YES (X) | NO () |
| FUNDING SOURCE: | (Fund 115) | |
| COMMITTEE REVIEW AND RECOMMENDATION: | None | |
| ATTACHMENTS: | 1. Board Order | |
| APPROVED: | | |
| | _____ General Manager | _____ Date |



*Before the Board of Directors of the Monterey County Water Resources Agency
County of Monterey, State of California*

BOARD ORDER No. _____

APPROVE AND RECOMMEND THAT THE MONTEREY COUNTY WATER)
RESOURCES AGENCY BOARD OF SUPERVISORS APPROVE BUDGET)
AMENDMENT NO. 6 AUTHORIZING THE AUDITOR-CONTROLLER TO)
INCREASE APPROPRIATIONS BY \$524,099 IN DEPARTMENT 9300, UNIT)
8267, FUND 116 [DAM O&M - ZONE 2C], APPROPRIATION UNIT WRA006,)
ACCOUNT 6613, FINANCED BY TRANSFER FROM DEPARTMENT 9300,)
UNIT 8267, FUND 115 [SAN ANTONIO NON-O&M - ZONE 2A], UNIT WRA005,)
ACCOUNT 7614 FINANCED BY FUND BALANCE ACCOUNT 3001 TO FUND 116)
[DAM O&M - ZONE 2C], APPROPRIATION UNIT WRA006, ACCOUNT 5940 IN)
THE AMOUNT OF \$ 524,099 FOR EPOXY COATING TO SAN ANTONIO LOW)
LEVEL OUTLET PIPE AND VALVES [4/5TH VOTE REQUIRED])

Upon motion of **Director** _____, seconded by **Director** _____, and carried by those members present, the Board of Directors hereby:

1. Approves and recommends that the Monterey County Water Resources Agency Board of Supervisors approve Budget Amendment No. 6 authorizing the Auditor-Controller to amend the FY 2015-16 Adopted Budget to increase appropriations by \$524,099 in Department 9300, Unit 8267, Fund 116 (Dam O&M - Zone 2C), Appropriation Unit WRA006, Account 6613, financed by transfer from Department 9300, Unit 8267, Fund 115 (San Antonio Non-O&M - Zone 2A), Appropriation Unit WRA005, Account 7614 financed by Fund Balance Account 3001 to Fund 116 (Dam O&M - Zone 2C), Appropriation Unit WRA006, Account 5940 in the amount of \$524,099 for epoxy coating to San Antonio low level outlet pipe and valves (4/5th vote required).

PASSED AND ADOPTED on this 25th day of **January 2016**, by the following vote, to-wit:

AYES:

NOES:

ABSENT:

BY: Chair
Board of Directors

ATTEST: David E. Chardavoyne
General Manager

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS – FINANCE COMMITTEE**

| | | | |
|-----------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------------|----------------|
| MEETING DATE: | January 15, 2016 | AGENDA ITEM: | |
| AGENDA TITLE: | Consider recommending that the full Board of Directors receive an update on a Request for Proposal for Financial Consulting Services for the Monterey County Water Resources Agency. | | |
| Consent () | | Action () | |
| Information (X) | | | |
| SUBMITTED BY: | Cathy Paladini | PREPARED BY: | Cathy Paladini |
| PHONE: | (831) 755-4860 | PHONE: | (831) 755-4860 |
| DEADLINE FOR BOARD ACTION: | January 25, 2016 | | |

RECOMMENDED BOARD ACTION:

Recommend that the full Board of Directors receive an update on a Request for Proposal for Financial Consulting Services for the Monterey County Water Resources Agency.

SUMMARY/DISCUSSION:

On May 19, 2015 the Water Resources Agency held a Budget Workshop in response to the County Administrators Office, which requested that it be separate from the County’s Budget Workshop and Hearings. The Agency presented its FY 2015-16 Recommended Budget and requested County General Fund Contributions for all un-budgeted projects that the Agency had been tasked to perform by the Water Resources Board of Supervisors totaling \$4.6 million for FY 2015-16.

On June 3, 2015 at the Water Resources Agency Board of Supervisors Public Budget Hearing it approved the Agency’s FY 2015-16 Recommended Budget and requested that the Agency aggressively pursue alternative funding by hiring an independent consultant with expertise in water district financing to identify available funding not limited to grants for the unbudgeted projects that the Agency has been tasked with performing which include the following: Interlake Tunnel, Salinas River Stream Maintenance Program and Lagoon, Permit 11043, Castroville Seawater Intrusion Project (CSIP) Expansion, Sustainable Groundwater Management Agency (SGMA), and Fish Monitoring.

On July 16, 2015 at the Joint Water Resources Agency Board of Directors/Board of Supervisors meeting the Agency was instructed to move forward with the development of an RFP in conjunction with the Contracts Purchasing Department. The attached RFP includes all the expectations requested by the Water Resources Agency Board of Directors and Board of Supervisors.

On October 16, 2015, The Monterey Contracts Purchasing Department published the Water Resources Agency Request for Financial Services Proposal #10554. There was only one proposal received by the closing deadline – November 17, 2015. The Agency assembled a

review team in December, and completed all the necessary paperwork for the RFP review panel which will meet the week of January 11, 2016 to review the RFP proposal. In the meantime, the Agency continues to look for available funding for the anticipated cost of this RFP in the event that the County of Monterey is unwilling to fund it.

OTHER AGENCY INVOLVEMENT:

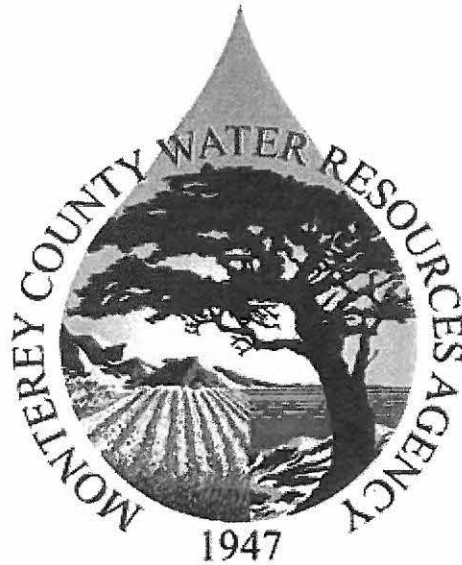
Monterey County Contracts Purchasing Department.

FINANCING:

The Agency recommends that the County of Monterey pay the cost of the Agency Financial Consultant which was directed by the Water Resources Agency Board of Supervisors in June 2015. The Agency will address the source of financing after the RFP review panel reviews the proposal and a cost is known.

| | | |
|---------------------------------------------|------------------------------------|---------------|
| FINANCIAL IMPACT: | YES (X) | NO () |
| FUNDING SOURCE: | TBD | |
| COMMITTEE REVIEW AND RECOMMENDATION: | Finance Committee January 15, 2015 | |
| ATTACHMENTS: | | |
| APPROVED: | | |
| | _____ | _____ |
| | General Manager | Date |

MONTEREY COUNTY WATER RESOURCES AGENCY
893 BLANCO CIRCLE
SALINAS, CA 93901
(831) 755-4860



**REQUEST FOR PROPOSALS
RFP# 10554**

For

FINANCIAL CONSULTING SERVICES

Draft Approved by Counsel Printed Name _____

Draft Approved by Counsel Signature _____

Draft Approved by C/P Supervisor _____

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SOLICITATION DETAILS SECTION

1.0 INTENT

- 1.1 Monterey County Water Resources Agency (MCWRA) hereinafter referred to as the “AGENCY” is soliciting proposals from qualified firms hereinafter referred to as “CONTRACTOR” to provide the AGENCY with Financial Consulting Services for the development of a sound and structural financial plan to support current and future water projects within the AGENCY’S jurisdiction.
- 1.2 The purpose of this solicitation is to select the best qualified and best ranked CONTRACTOR capable of performing the following tasks:
 - 1.2.1 Cost of service analysis and verification of current assessments and water rate structures. Check whether assessments match the resulting benefits.
 - 1.2.2 Evaluate the adequacy of projected revenues under existing assessments and rates to meet projected revenue requirements.
 - 1.2.3 Compare Agency funding streams to similar agencies in California and recommend best practices.
 - 1.2.4 Develop financing plans that produce revenues adequate to meet financial needs while recognizing grant opportunities, customer costs of service and local and state policy considerations such as Proposition 218.
 - 1.2.5 Recommend a sound financial plan covering a five-year period for both ongoing operations and planned capital improvements and benefits of those projects.
 - 1.2.6 CONTRACTOR shall propose, along with all of the above, additional tasks to the scope of work deemsdeem necessary or beneficial to the AGENCY.
- 1.3 This solicitation is intended to create a single, exclusive service AGREEMENT between a selected CONTRACTOR and AGENCY. CONTRACTORs with relevant experience and qualifications as defined herein are encouraged to submit proposals as requested in this Request for Proposals (RFP).
- 1.4 The County of Monterey (COUNTY) is facilitating the request for proposals process on behalf of the AGENCY.

2.0 BACKGROUND

- 2.1 The Monterey County Flood Control and Water Conservation District (MCFCWCD) became the Monterey County Water Resources Agency (MCWRA) in 1991, with an updated mandate to provide for the control of flood and storm waters, conservation of such waters through storage and percolation, control of groundwater extraction, protection of water quality, reclamation of water, exchange of water, and the construction and operation of hydroelectric power facilities. MCWRA area covers all of Monterey County, including the Salinas Valley Groundwater Basin. The MCWRA consists of 26 funds.
- 2.2 The MCWRA has a nine-member Board of Directors. The board is made up of members appointed by the Monterey County Farm Bureau, Monterey Grower-Shipper Association, the County Agricultural Advisory Committee, five members appointed by the County Board of Supervisors (one from each district), and a member appointed by the Mayor Select Committee. The Agency is governed by the Monterey County Water Resources Board of Supervisors.
- 2.3 MCWRA maintains 26 funds. It's major funds are: Administration (Fund 111); Pajaro River Levee (Fund 112); Countywide (Fund 113); Nacimiento and San Antonio Non O&M (Funds 114/115); Zone 2C Dam Operations and Administration (Fund 116); Castroville Seawater Intrusion (CSIP) Zone 2B (Fund 119); Reclamation Ditch Operations and Maintenance (Fund 122); Nacimiento Hydroelectric Plant Operations and Maintenance (Fund 130); Castroville Seawater Intrusion (CSIP) Operations and Maintenance (Fund 131); Salinas Valley Reclamation Project (SVRP) (Fund 132); Salinas Valley Water Project (SVWP) Bond Revenue (Fund 133); Salinas River Diversion Facility Operations and Maintenance (Fund 134); Castroville Seawater Intrusion (CSIP) Debt Service (Fund 303); and the Monterey Financing Authority Debt Service (Fund 313).
- 2.4 The FY 2015-16 Recommended Budget for the Monterey County Water Resources Agency (MCWRA) programs is \$22,524,555. Anticipated Revenues are \$20,841,919 and the use of \$1,682,637 in fund balance will provide a statutorily balanced budget. Budgeted unassigned fund balances at 6/30/16 are anticipated to be \$11,190,195. Salaries and Benefits increased by \$212,100 from the FY 2014-15 necessary for the recruitment of two Deputy General Managers, including 3% salary increases, and increased termination costs. Service and Supplies increased by \$136,731, a 6.4% increase over the FY 2014 -15 Adopted Budget. This is primarily due to increases in County General Liability charge of \$102,580 and Internal Data Processing of \$255,000. Capital Assets remain unchanged from FY 2014-15 Adopted Budget. Other Financing Uses increased by \$400,000 from the FY2014-15 Adopted Budget due to a transfer of funds from the Rate Stabilization Reserve Fund 303 to Funds 113, 114, and 115 due to the drought which reduced hydroelectric sales. Revenue increased by less than 1% over the FY 2014-15 Adopted Budget, the Agency predicts that hydroelectric revenues will remain low due to

continued drought conditions which reduce hydroelectric sales. This will be offset by a \$400,000 transfer from the Rate Stabilization Reserve. The Agency will transfer \$600,000 from Fund 119 CSIP to Funds 131 CSIP O&M and Fund 132 SVRP O&M.

- 2.5 Though MCWRA budget is statutorily balanced, the budget fails to meet the County of Monterey financial guideline of a balanced budget. A structurally balanced budget matches ongoing expenditures to the annual ongoing revenues by individual fund, rendering an ongoing sustainable budget. MCWRA recognizes that a transition period will be required to achieve a structurally balanced budget. The Agency is working on interim and long-term strategic plans to meet County Fiscal Guidelines where fund balances are not used to sustain ongoing operations. The strategic plan will consider organizational restructuring with a focus on service level and financial sustainability, as well as fund balance growth and positive cash balances. Staff positions for the FY 2015-16 Recommended Budget are 50 positions.

3.0 CALENDAR OF EVENTS

- | | | |
|-----|-------------------------------------|-----------------------------------|
| 3.1 | Issue RFP | October 16, 2015 |
| 3.2 | Deadline for Written Questions | 3:00 p.m., PST, October 30, 2015 |
| 3.3 | Proposal Submittal Deadline | 3:00 p.m., PST, November 12, 2015 |
| 3.4 | Estimated Notification of Selection | November 2015 |
| 3.5 | Estimated AGREEMENT Date | January 2016 |

This schedule is subject to change as necessary.

- 3.6 **FUTURE ADDENDA:** CONTRACTORS, who received notification of this solicitation by means other than through a County of Monterey mailing, shall contact the person designated in the COUNTY POINTS OF CONTACT herein to request to be added to the mailing list. Inclusion on the mailing list is the only way to ensure timely notification of any addenda and/or information that may be issued prior to the solicitation submittal date. **IT IS THE CONTRACTORS' SOLE RESPONSIBILITY TO ENSURE THAT THEY RECEIVE ANY AND ALL ADDENDA FOR THIS RFP** by either informing the County of their mailing information or by regularly checking the County's Solicitation Center web page at www.co.monterey.ca.us/admin/solicitcenter.htm. Addenda will be posted on the website the day they are released.

4.0 COUNTY POINTS OF CONTACT

4.1 Questions and correspondence regarding this solicitation shall be directed to:

Primary Contact for the County: **Gina Encallado**
Deputy Purchasing Agent/Management Analyst
 1488 Schilling Place
 Salinas, CA 93901
 PHONE: (831) 796-1336
 FAX: (831) 755-4969
 Email: EncalladoGL@co.monterey.ca.us

4.2 All questions regarding this solicitation shall be submitted in writing (E-mail or FAX is acceptable). The questions will be researched and the answers will be communicated to all known interested CONTRACTOR after the deadline for receipt of questions.

4.3 The deadline for submitting written questions regarding this solicitation is indicated in the **CALENDAR OF EVENTS herein**. Questions submitted after the deadline will not be answered.

4.4 Only answers to questions communicated by formal written addenda will be binding.

4.5 Prospective CONTRACTOR shall not contact County or Agency officers or employees with questions or suggestions regarding this solicitation except through the primary contact person listed above. **Any unauthorized contact may be considered undue pressure and cause for disqualification of the CONTRACTOR.**

5.0 SCOPE OF WORK

5.1 Contractor Minimum Work Performance Percentage: CONTRACTOR shall perform with his own organization contract work amounting to not less than 50 percent of the original total contract price, except that any designated 'Specialty Items' may be performed by subcontract and the amount of any such 'Specialty Items' so performed may be deducted from the original total AGREEMENT price before computing the amount of work required to be performed by CONTRACTOR with its organization.

5.2 The Agency's Board of Directors recommends tasks to be broken down into Phases as seen below.

Phase 1 - Review of Agency Benefit Assessments including Flood Zones:

- Cost of service analysis and verification of current assessments and water rate structures. Check whether assessments match the resulting benefits.
- Evaluate the adequacy of projected revenues under existing assessments and rates to meet projected revenue requirements.

- Compare Agency Funding streams to similar agencies in California and recommend best practice
- Conduct 5 meetings with a Stakeholder group to review assessments and resulting benefits.

Phase 2 - Consider Capital Costs & Develop Financing Options.

- Review of proposed capital projects and estimated construction and associated future operating costs and benefits of those projects
- Develop financing plans that produce revenues adequate to meet financial needs while recognizing grant opportunities, customer costs of service and local and state policy considerations such as Proposition 218.
- Conduct 5 meetings with a Stakeholder group to review assessments and resulting benefits.

Phase 3 - Results of Phase 1 & 2 above which includes:

- Recommend a sound financial plan covering a five-year period for both ongoing operations and planned capital improvements.
- Conduct 5 meetings with a Stakeholder group to review assessments and resulting benefits.

- 5.3 CONTRACTOR shall propose, along with all of the above, additional tasks to the scope of work they deem necessary or beneficial to the AGENCY.

6.0 CONTRACT TERM

- 6.1 The term of the AGREEMENT(s) will be for a period of one (1) year with the option to extend the AGREEMENT for one additional one (1) year period, for a maximum of a two (2) year AGREEMENT.
- 6.1.1 AGENCY is not required to state a reason if it elects not to renew.
- 6.2 If the AGREEMENT includes options for renewal or extension, CONTRACTOR must commence negotiations for any desired rate changes a minimum of ninety days (90) prior to the expiration of the AGREEMENT.
- 6.2.1 Both parties shall agree upon rate extension(s) or changes in writing.
- 6.3 The AGREEMENT shall contain a clause that provides that AGENCY reserves the right to cancel the AGREEMENT, or any extension of the AGREEMENT, without cause, with a thirty day (30) written notice, or immediately with cause.

7.0 PROPOSAL PACKAGE REQUIREMENTS

7.1 CONTENT AND LAYOUT:

7.1.1 CONTRACTOR should provide the information as requested and as applicable to the proposed services. The proposal package shall be organized as per the table below; headings and section numbering utilized in the proposal package shall be the same as those identified in the table. Proposal packages shall include at a minimum, but not limited to, the following information in the format indicated:

Proposal Package Layout;
Organize and Number Sections as Follows:

| | |
|-----------|---------------------------------------|
| Section 1 | COVER LETTER (INCLUDING CONTACT INFO) |
| | SIGNATURE PAGE |
| | RECEIPT OF SIGNED ADDENDA (IF ANY) |
| | TABLE OF CONTENTS |
| Section 2 | PROPOSED SCOPE-OF-WORK |
| Section 3 | PROJECT EXPERIENCE AND REFERENCES |
| Section 4 | PRICING (ATTACHMENT A) |
| Section 5 | EXCEPTIONS |
| Section 6 | APPENDIX |

Section 1, Requirements:

Cover Letter: All proposals must be accompanied by a cover letter not exceeding two pages and should provide firm information and Contact information as follows:

Contact Info: The name, address, telephone number, and fax number of CONTRACTOR's primary contact person during the solicitation process through to potential contract award.

Firm Info: Description of the type of organization (e.g. corporation, partnership, including joint venture teams and subcontractors) and how many years it's been in existence.

Signed Signature Page and Signed Addenda: (if any addenda were released for this solicitation). Proposal packages submitted without this page will be deemed non-responsive. All signatures must be manual and in BLUE ink. All prices and notations must be typed or written in BLUE ink. Errors may be crossed out and corrections printed in ink or typed adjacent, and must be initialed in BLUE ink by the person signing the proposal.

Table of Contents

Section 2, Proposed Scope-Of-Work:

Submit proposed Scope of Work as found in Section 5.0 of this Proposal.

Section 3, Project Experience & References:

Key Staff Persons: CONTRACTOR shall identify key staff and their qualifications and experience proposed for the service identified herein.

Experience & References: CONTRACTOR shall describe at least two (2) similar projects for which it provided services similar to the scope of work described herein. Please include phone number and email address if possible as the AGENCY will conduct reference checks using this information.

Section 4, Pricing:

CONTRACTOR shall complete and submit pricing as per ATTACHMENT A – PRICING SHEET attached hereto.

Section 5, Exceptions:

Submit any and all exceptions to this solicitation on separate pages, and clearly identify the top of each page with “EXCEPTION TO MONTEREY COUNTY SOLICITATION #” (indicate the applicable solicitation number). Each Exception shall reference the page number and section number, as appropriate. CONTRACTOR should note that the submittal of an Exception does not obligate the AGENCY to revise the terms of the RFP or AGREEMENT.

Section 8, Appendix:

Appendices: CONTRACTOR may provide any additional information that it believes to be applicable to this proposal package and include such information in an Appendix section.

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- 7.2 **ADDITIONAL REQUIREMENTS:** To be considered “responsive,” submitted proposal packages shall adhere to the following:
- 7.2.1 Four (4) sets of the proposal package (one original proposal marked “Original” plus three copies) shall be submitted in response to this solicitation. Each copy shall include a cover indicating the company name submitting, and reference to “RFP #10554”. In addition, submit one (1) electronic version of the entire proposal package on a CD, DVD, or USB memory stick. Additional copies may be requested by the COUNTY at its discretion.
 - 7.2.2 Proposal packages shall be prepared on 8-1/2” x 11” paper, preferably duplex printed, bound with front and back covers. Fold out charts, tables, spreadsheets,

brochures, pamphlets, and other pertinent information or work product examples may be included as Appendices.

- 7.2.3 Reproductions of the AGENCY Seal shall not be used in any documents submitted in response to this solicitation.
- 7.2.4 CONTRACTOR shall not use white-out or a similar correction product to make late changes to their proposal package but may instead line out and initial in BLUE ink any item which no longer is applicable or accurate.
- 7.2.5 To validate your proposal package, **submit the SIGNATURE PAGE** (contained herein) **with your proposal**. Proposal packages submitted without that page will be deemed non-responsive. Proposal signature must be manual, in BLUE ink, and included with the original copy of the proposal. Photocopies of the Signature Page may be inserted into the remaining proposal copies. All prices and notations must be typed or written in BLUE ink in the original proposal copy as well. Errors may be crossed out and corrections printed in BLUE ink or typed adjacent, and must be initialed in BLUE ink by the person signing the proposal.
- 7.3 **CONFIDENTIAL OR PROPRIETARY CONTENT:** Any page of the proposal package that is deemed by CONTRACTOR to be a trade secret by the CONTRACTOR shall be clearly marked “CONFIDENTIAL INFORMATION” or “PROPRIETARY INFORMATION” at the top of the page.

8.0 SUBMITTAL INSTRUCTIONS & CONDITIONS

- 8.1 **Submittal Identification Requirements:** ALL SUBMITTALS MAILED OR DELIVERED CONTAINING PROPOSAL PACKAGES MUST BE SEALED AND BEAR ON THE OUTSIDE, PROMINENTLY DISPLAYED IN THE LOWER LEFT CORNER: **THE SOLICITATION NUMBER RFP #10554 and CONTRACTORS COMPANY NAME.**
- 8.2 **Mailing Address:** Proposal packages shall be mailed to AGENCY at the mailing address indicated on the **Signature Page** of this solicitation.
- 8.3 **Due Date:** Proposal packages must be received by AGENCY ON OR BEFORE the time and date specified, at the location and to the person specified on the **Signature Page** of this solicitation. It is the sole responsibility of the CONTRACTOR to ensure that the proposal package is received at or before the specified time. Postmarks and facsimiles are not acceptable. Proposals received after the deadline shall be rejected and returned unopened.
- 8.4 **Shipping Costs:** Unless stated otherwise, the F.O.B. for receivables shall be destination. Charges for transportation, containers, packaging and other related shipping costs shall be borne by the shipper.
- 8.5 **Acceptance:** Proposals are subject to acceptance at any time within 90 days after opening. AGENCY reserves the right to reject any and all proposal packages, or part of any proposal package, to postpone the scheduled deadline date(s), to make an award in its

- own best interest, and to waive any informalities or technicalities that do not significantly affect or alter the substance of an otherwise responsible proposal package and that would not affect a CONTRACTOR'S ability to perform the work adequately as specified.
- 8.6 Ownership: All submittals in response to this solicitation become the property of the AGENCY. If a CONTRACTOR does not wish to submit a Proposal package but wishes to acknowledge the receipt of the request, the reply envelope shall be marked "No Bid".
- 8.7 Compliance: Proposal packages that do not follow the format, content and submittal requirements as described herein, or fail to provide the required documentation, may receive lower evaluation scores or be deemed non-responsive.
- 8.8 CAL-OSHA: The items proposed shall conform to all applicable requirements of the California Occupational Safety and Health Administration Act of 1973 (CAL-OSHA).

9.0 SELECTION CRITERIA

- 9.1 The selection of CONTRACTOR and subsequent contract award(s) will be based on the criteria contained in this Solicitation, as demonstrated in the submitted proposal. CONTRACTOR should submit information sufficient for the AGENCY to easily evaluate proposals with respect to the selection criteria. The absence of required information may cause the Proposal to be deemed non-responsive and may be cause for rejection.
- 9.2 The selection criteria include, but are not limited to, the following:
- 9.2.1 Ability of the CONTRACTOR to demonstrate direct experience providing Financial Consulting Services in accordance to the identified Scope-of-Work within this RFP.
 - 9.2.2 Competitive pricing most favorable to the AGENCY as per AttachmentA- Pricing Schedule.
 - 9.2.3 Client References.
 - 9.2.4 Responsiveness to the specific service requirements listed in RFP #10554.
 - 9.2.5 Proven ability for timeliness
- 9.3 AGREEMENT award(s) will not be based on cost alone.
- 9.4 To the extent of personnel and equipment to be provided under this agreement, CONTRACTOR, if so requested, shall afford the AGENCY an opportunity to inspect CONTRACTOR'S equipment prior to award of the agreement.
- 9.5 The award(s) resulting from this RFP will be made to the CONTRACTOR(s) that submit a response that, in the sole opinion of the AGENCY, best serves the overall interest of the AGENCY.
- 9.6 The award made from this RFP may be subject to approval by the Monterey County Water Resources Agency Board of Supervisors.

10.0 PRICING

- 10.1 CONTRACTOR(s) will complete ATTACHMENT A - PRICING SCHEDULE for the provision of services as outlined within this RFP.
- 10.2 CONTRACTOR prices stated in ATTACHMENT A - PRICING SCHEDULE shall be effective from the date the proposal is submitted to the day the AGREEMENT is awarded and through the initial term of the AGREEMENT.
- 10.3 Invoicing by CONTRACTOR(s) will clearly itemize but is not limited to the following:
- 10.3.1 Purchase order number under which the invoice is to be charged
 - 10.3.2 Services provided
 - 10.3.3 Dates of services.
- 10.4 Proposals should include any early discounts and/or incentives offered.

12.0 CONTRACT AWARDS

- 12.1 No Guaranteed Value: AGENCY does not guarantee a minimum or maximum dollar value for any AGREEMENT or AGREEMENTS resulting from this solicitation
- 12.2 Board of Supervisors: The award(s) made from this solicitation may be subject to approval by the Monterey County Water Resources Agency Board of Supervisors.
- 12.3 Interview: AGENCY reserves the right to interview selected CONTRACTOR(s) before a contract or tentative award is made or announced. The costs of attending any interview session are the CONTRACTOR'S responsibility.
- 12.4 Incurred Costs: AGENCY is not liable for any cost incurred by CONTRACTOR in response to this solicitation.
- 12.5 Notification: All CONTRACTORS who have submitted a Proposal Package will be notified of the final decision as soon as it has been determined.
- 12.6 In County's Best Interest: The award(s) resulting from this solicitation will be made to the CONTRACTOR that submit(s) a response that, in the sole opinion of AGENCY, best serves the overall interest of the AGENCY.

13.0 SEQUENTIAL CONTRACT NEGOTIATION

- 13.1 AGENCY will pursue contract negotiations with the CONTRACTOR who submit(s) the best Proposal or is deemed the most qualified in the sole opinion of the AGENCY, and

which is in accordance with the criteria as described within this solicitation. If the contract negotiations are unsuccessful, in the opinion of either AGENCY or CONTRACTOR, AGENCY may pursue contract negotiations with the entity that submitted a Proposal which AGENCY deems to be the next best qualified to provide the services, or AGENCY may issue a new solicitation or take any other action which it deems to be in its best interest.

14.0 AGREEMENT TO TERMS AND CONDITIONS

- 14.1 CONTRACTOR selected through the solicitation process will be expected to execute a formal AGREEMENT with AGENCY for the provision of the requested service. The AGREEMENT shall be written by AGENCY in a standard format approved by Agency Counsel, similar to the “SAMPLE AGREEMENT SECTION”. Submission of a signed bid/proposal and the SIGNATURE PAGE will be interpreted to mean CONTRACTOR HAS AGREED TO ALL THE TERMS AND CONDITIONS set forth in the pages of this solicitation and the standard provisions included in the SAMPLE AGREEMENT Section herein. AGENCY may but is not required to consider including language from the CONTRACTOR’S proposed AGREEMENT, and any such submission shall be included in the EXCEPTIONS section of CONTRACTOR’S proposal.

15.0 COLLUSION

- 15.1 CONTRACTOR shall not conspire, attempt to conspire, or commit any other act of collusion with any other interested party for the purpose of secretly, or otherwise, establishing an understanding regarding rates or conditions to the solicitation that would bring about any unfair conditions.

16.0 RIGHTS TO PERTINENT MATERIALS

- 16.1 All responses, inquiries, and correspondence related to this solicitation and all reports, charts, displays, schedules, exhibits, and other documentation produced by the CONTRACTOR that are submitted as part of the submittal will become the property of the AGENCY when received by the AGENCY and may be considered public information under applicable law. Any proprietary information in the submittal must be identified as such and marked “CONFIDENTIAL INFORMATION” or “PROPRIETARY INFORMATION”. The AGENCY will not disclose proprietary information to the public, unless required by law; however, the AGENCY cannot guarantee that such information will be held confidential.

SIGNATURE PAGE AND ATTACHMENTS

SIGNATURE PAGE

COUNTY OF MONTEREY
CONTRACTS/PURCHASING DIVISION

RFP # 10554
ISSUE DATE: OCTOBER 16, 2015



RFP TITLE: FINANCIAL CONSULTING SERVICES- WATER RESOURCE AGENCY

PROPOSALS ARE DUE IN THE OFFICE OF THE CONTRACTS/PURCHASING OFFICER BY
3:00 P.M., LOCAL TIME, ON NOVEMBER 12, 2015

MAILING ADDRESS:
COUNTY OF MONTEREY
CONTRACTS/PURCHASING OFFICE
1488 SCHILLING PLACE
SALINAS, CA 93901

QUESTIONS ABOUT THIS RFP SHOULD BE DIRECTED TO
Gina L. Encallado, EncalladoGL@CO.MONTEREY.CA.US, (831) 796-1336

CONTRACTOR MUST INCLUDE THE FOLLOWING IN EACH PROPOSAL (1 original plus 3 copies):

ALL REQUIRED CONTENT AS DEFINED PER SECTION 7.0 HEREIN

This Signature Page must be included with your submittal in order to validate your proposal.
Proposals submitted without this page will be deemed non-responsive.

CHECK HERE IF YOU HAVE ANY EXCEPTIONS TO THIS SOLICITATION.

CONTRACTOR MUST COMPLETE THE FOLLOWING TO VALIDATE PROPOSAL

I hereby agree to furnish the articles and/or services stipulated in my proposal at the price quoted, subject to the instructions and conditions in the Request for Proposal package. I further attest that I am an official officer representing my firm and authorized with signatory authority to present this proposal package.

Company Name: _____ Date _____

Signature: _____ Printed Name: _____

Street Address: _____

City: _____ State: _____ Zip: _____

Phone: () _____ Fax: () _____ Email: _____

License No. (If applicable): _____

License Classification (If applicable): _____

ATTACHMENT A - PRICING

Phase I – Review of Agency Benefit Assessments \$ _____

Phase II – Consider Capital Costs & Develop Financing Options \$ _____

Phase III – Results of Phase I and II \$ _____

Additional recommendations \$ _____

CONTRACTOR may submit additional pages and further breakdown for pricing.