

**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS  
FINANCE COMMITTEE**

**COMMITTEE MEMBERS**

Claude Hoover, Chair  
Ken Ekelund

David Hart  
Richard Ortiz

TIME: 10:00 a.m.  
DATE: Friday, January 18, 2013  
PLACE: **Monterey County Water Resources Agency  
893 Blanco Circle  
Salinas, CA 93901**

**REVISED AGENDA  
As of January 14, 2013**

1. **CALL TO ORDER**
2. **PUBLIC COMMENT**  
*(Limited to three (3) minutes per speaker on matters within the jurisdiction of the Agency not listed on this agenda. The public will have the opportunity to ask questions and make statements on agenda items as the Committee considers them.)*
3. **APPROVE THE MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON NOVEMBER 16, 2012**  
The Committee will consider approval of the minutes of the above-mentioned meeting. (Page 5)
4. **RECEIVE THE NOVEMBER 2012 FINANCIALS FOR THE CASTROVILLE SEAWATER INTRUSION PROJECT/SALINAS VALLEY RECLAMATION PROJECT AND SALINAS RIVER DIVERSION FACILITY**  
Chris Moss, Senior Water Resources Engineer, will review the financials for the CSIP/SVRP/SRDF. (Page 11)
5. **RECEIVE THE NOVEMBER 2012 FINANCIALS FOR ALL AGENCY FUNDS**  
Peggy Schoolfield, Accountant III, will review the November financials for all Agency funds. (Page 27)
6. **RECEIVE THE REFURBISHING UPDATE REPORT**  
Wini Chambliss, Human Resources Manager, will review the Agency refurbishing update report. (Page 39)
7. **APPROVE PURCHASE ORDERS/CONTRACTS AND CREDIT CARD PURCHASES IN EXCESS OF \$500**

Peggy Schoolfield will review purchase orders/contracts and credit card purchases in excess of \$500. (Page 41)

8. **CONSIDER RECOMMENDING APPROVAL OF BUDGET REVISION NO. 3 TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FY 2012-13 BUDGET FOR VARIOUS FUNDS DUE TO TIMING DIFFERENCES OF EXPENDITURES BETWEEN FY 2011-12 AND FY 2013-13 AND CONTINGENCIES FOR HIGHER USBR LOANS INTEREST**

Peggy Schoolfield will present this item for consideration by the Committee. (Page 49)

9. **CONSIDER RECOMMENDING APPROVAL OF A BUDGET AMENDMENT TO THE BOARD OF SUPERVISORS TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 FUND 111 (ADMINISTRATION FUND) BUDGET TO RECOGNIZE THE UTILIZATION OF FUNDS HELD IN THE CANYON DEL REY IMPROVEMENT RESERVE.**

a. **INCREASE APPROPRIATION IN FUND 111 (ADMINISTRATION), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA001, ACCOUNT 6835 (OTHER SPECIAL DEPARTMENTAL EXPENSE) BY \$60,000 (4/5<sup>TH</sup> VOTE REQUIRED) AND,**

b. **DECREASE CANYON DEL REY IMPROVEMENT RESERVE IN FUND 111 (ADMINISTRATION FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA001, ACCOUNT 3066 (OTHER RESERVES) BY \$60,000, (4/5<sup>TH</sup> VOTE REQUIRED)**

Robert Johnson, Acting Assistant General Manager/Chief of Water Resources Planning, will present this item for consideration by the Committee. (Page 53)

10. **CONSIDER RECOMMENDING APPROVAL OF A BUDGET AMENDMENT TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 BUDGET AUTHORIZING A \$100,000 TRANSFER FROM FUND 114 (NACIMIENTO NON-O&M FUND) TO FUND 113 (COUNTYWIDE FUND) TO COVER INCREASED LABOR CHARGES AND A \$100,000 FROM FUND 116 (ZONE 2C DAM OPERATIONS FUND) TO PARTIALLY COVER UNBUDGETED REPAIRS AT THE NACIMIENTO DAM. DECREASE THE BUDGETED TRANSFER FROM FUND 114 (NACIMIENTO NON-O&M FUND) TO FUND 134 (SALINAS RIVER DIVERSION FACILITY) BY \$200,000. APPROPRIATE AN ADDITIONAL AMOUNT OF \$89,000 TO COVER FUND 116 EMERGENCY REPAIRS FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE.**

a. **INCREASE APPROPRIATION IN FUND 113 (COUNTYWIDE FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 6835 (OTHER SPECIAL DEPARTMENTAL EXPENSE) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED), AND**

b. **INCREASE ESTIMATED REVENUES IN FUND 113 (COUNTYWIDE FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED), AND**

- c. INCREASE APPROPRIATION FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 6613 (OTHER PROFESSIONAL AND SPECIAL SERVICES) BY \$189,000, OF WHICH \$89,000 IS FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE ACCOUNT 3100 (4/5<sup>TH</sup> VOTE REQUIRED) , AND
- d. INCREASE ESTIMATED REVENUES IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED) , AND
- e. DECREASE ESTIMATED REVENUES IN FUND 134 (RIVER DIVERSION FACILITY FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA028, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$200,000 FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE ACCOUNT 3100. (4/5<sup>TH</sup> VOTE REQUIRED)

Robert Johnson will present this item for consideration by the Committee. (Page 55)

- 11. CONSIDER RECOMMENDING APPROVAL OF A BUDGET AMENDMENT TO THE BOARD OF SUPERVISORS TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 BUDGET TO RECOGNIZE FUEL SPILL COST REIMBURSEMENT OF \$335,556 FROM THE COUNTY RISK POOL AND AUTHORIZE A TRANSFER OF \$335,556 FROM FUND 116 (ZONE 2C OPERATIONS FUND) TO FUND 115 (ZONE 2A NON-O&M FUND) TO COVER FY 2012-13 FISH MONITORING CONSULTANT COSTS.
  - a. INCREASE APPROPRIATION IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 7614 (OPERATING TRANSFERS OUT) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND
  - b. INCREASE ESTIMATED REVENUES IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5870 (MISCELLANEOUS REVENUES-INSURANCE RECOVERIES) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND
  - c. INCREASE APPROPRIATION FUND 115 (ZONE 2A NON-O&M FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA005, ACCOUNT 6613 (OTHER PROFESSIONAL AND SPECIAL SERVICES) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND
  - d. INCREASE ESTIMATED REVENUES IN FUND 115 (ZONE 2A NON-O&M FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$335,556. (4/5<sup>TH</sup> VOTE REQUIRED)

Robert Johnson will present this item for consideration by the Committee. (Page 57)

- 12. CONSIDER RECOMMENDING APPROVAL OF A DRY PERIOD LOAN OF \$1,035,000 FROM THE COUNTY OF MONTEREY GENERAL FUND POOL CASH FUND TO THE MONTEREY COUNTY WATER RESOURCES AGENCY TO PROVIDE INTERIM FINANCING FOR THE PAJARO RIVER BENCH

**EXCAVATION PROJECT PHASE 1; AND RATIFY THE INTERIM GENERAL MANAGER'S EXECUTION OF THE AGREEMENT**

David Chardavoyne, Interim General Manager will present his item for consideration by the Committee. (Page59)

**13. CONSIDER RECOMMENDING APPROVAL OF AN AGREEMENT NOT-TO-EXCEED \$33,330 FOR AN AUDIT OF THE MONTEREY REGIONAL WATER POLLUTION CONTROL AGENCY FOR VERIFICATION OF COMPLIANCE WITH THE EXISTING JUNE 16, 1992 AGREEMENT, AS AMENDED, INCLUDING REVIEW OF EXISTING RESERVE FUND MANAGEMENT PROTOCOL; AND, AUTHORIZING THE INTERIM GENERAL MANAGER TO EXECUTE THE AGREEMENT**

David Chardavoyne, Interim General Manager, will present this item for consideration by the Committee

**14. SET NEXT MEETING DATE AND DISCUSS FUTURE AGENDA ITEMS**

The Committee will discuss and determine details for its next meeting.

**15. ADJOURNMENT**



**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS  
FINANCE COMMITTEE**

Claude Hoover (Chair)  
Ken Ekelund  
David Hart  
Richard Ortiz

**TIME:** 10:00 a.m.  
**DATE:** Friday, November 16, 2012  
**PLACE:** Monterey Regional Water Pollution Control Agency  
14811 Del Monte Blvd.  
Marina, CA 93933

**MINUTES**

1. **CALL MEETING TO ORDER @ 10:05 a.m. by Committee Chair Claude Hoover**  
Members present: Claude Hoover, David Hart, Ken Ekelund, Richard Ortiz  
Member absent: None

**At this time an emergency item was added to and read into the Minutes of the Finance Committee Meeting Agency as Item No. 5: CONSIDER RECOMMENDING APPROVAL OF AN AGREEMENT WITH THE MONTEREY PENINSULA WATER MANAGEMENT DISTRICT TO REIMBURSE UP TO \$60,000 FOR COSTS TO UPDATE THE CANYON DEL REY CREEK MASTER DRAINAGE PLAN WHICH WILL INCLUDE AUTHORIZING THE INTERIM GENERAL MANAGE TO EXECUTE THE AGREEMENT.**

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee approved the addition of the emergency item as Item No. 5 of the Finance Committee Agenda.**

2. **PUBLIC COMMENT**  
None

3. **APPROVE THE MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON OCTOBER 10, 2012**

**COMMITTEE ACTION: Upon motion made by Committee Member Richard Ortiz and seconded by Committee Member David Hart the Committee approved the October 10, 2012 Finance Committee Meeting Minutes.**

4. **RECEIVE THE SEPTEMBER 2012 FINANCIALS FOR THE CASTROVILLE SEAWATER INTRUSION PROJECT/SALINAS VALLEY RECLAMATION PROJECT AND SALINAS RIVER DIVERSION FACILITY**

Jim Slater, Water Resources Engineer, reviewed the September 2012 financials for the CSIP/SVRP and SRDF. He noted that there was nothing of major concern to report. He noted that the rubber dam is down and the program is now on river and recycled water. A Request for Proposal was sent out by the County for the PCA audit with one response so far.

**COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member David Hart the Committee received the September 2012 financials for the CSIP/SVRP and SRDF.**

5. **CONSIDER RECOMMENDING APPROVAL OF AN AGREEMENT WITH THE MONTEREY PENINSULA WATER MANAGEMENT DISTRICT TO REIMBURSE UP TO \$60,000 FOR COSTS TO UPDATE THE CANYON DEL REY CREEK MASTER DRAINAGE PLAN WHICH WILL INCLUDE AUTHORIZING THE INTERIM GENERAL MANAGE TO EXECUTE THE AGREEMENT.**

Rob Johnson, Acting Assistant General Manager/Chief of Water Resources Planning, provided information and background regarding the Agreement. In 1977, the Agency completed the Canyon Del Rey Master Drainage Plan. The plan included hydrologic and hydraulic analyses, and it made recommendations for future improvements to protect developed areas and roads from flooding by a 100-year recurrence interval storm.

Since 1977, there have been significant changes in the watershed including the development of residential and commercial property adjacent to Highways 68 and 218. Some drainage improvements have been completed; however, high flows in 1995 and 1998 highlighted significant erosion, siltation, and flood problems throughout Canyon Del Rey Creek. The proposed master drainage plan update includes an evaluation of drainage facilities in and near the creek.

The District secured \$215,800 in grant funding from the California Department of Water Resources after the Board approved the previous reimbursement agreement in 2007. The grant funding will allow for a more comprehensive update of the Canyon Del Rey Master Drainage Plan. Under the updated reimbursement agreement, the Agency will provide up to \$60,000 in cash to the District for consultant costs. The District will provide \$12,000 in in-kind services. Together, the Agency and District contributions satisfy the 25% local match grant requirement for a \$287,800 project. The analysis will take approximately one year to complete, and a draft work schedule is included in the attached agreement.

The analysis will be funded from Fund 111 – Reimburse Other Government Agencies. The Agency will use a portion of the development charges collected for this purpose since 1977 to reimburse the District up to \$60,000, and reimbursement will be subject to an Agency finding that deliverables for each subtask have been completed satisfactorily.

**COMMITTEE ACTION: Upon motion made by Committee Member Richard Ortiz and seconded by Committee Ken Ekelund the Committee moved that this item be placed on the January 2013 Board of Directors Meeting Agenda for further discussion with no recommendations.**

5. **RECEIVE THE SEPTEMBER 2012 FINANCIALS FOR ALL AGENCY FUNDS**  
Nerahoo Hemraj, Interim Finance Manager, reviewed the September financials for all Agency funds. In reviewing the actual revenues versus budgeted revenues, he noted that the year-to-date hydroelectric revenue is below budget due to the ongoing repairs at the Hydroelectric Plant. It has been confirmed that the Agency does have business interruption

insurance and the insurance company has been supplied with the generation records for the last several years. Interim General Manager David Chardavoyne noted that the Agency probably will not get the budgeted revenue reimbursed due to the current contract rate. A new contract will not be in place until the end of March, 2013. The Committee requested that a better estimation of the reimbursement be made available for the next Board of Directors meeting. The Committee requested that staff provide an overview of the bond rating process.

Nerahoo Hemraj reviewed several exceptions and variances to Fund 111. He noted that staff is currently holding monthly financial variance meetings.

**COMMITTEE ACTION: Upon motion made by Committee Member Richard Ortiz and seconded by Committee Member Ken Ekelund the Committee received the September 2012 Financials for all Agency funds.**

**6. APPROVE PURCHASE ORDERS/CONTRACTS AND CREDIT CARD PURCHASES IN EXCESS OF \$500**

Nerahoo Hemraj reviewed purchase orders/contracts and credit card purchases in excess of \$500.

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee approved the purchase orders/contracts and credit card purchases in excess of \$500.**

**7. RECEIVE THE MONTEREY COUNTY WATER RESOURCES AGENCY OPEN CONSULTANT CONTRACT BALANCES AS OF SEPTEMBER 2012**

Nerahoo Hemraj provided a review of the open contract balances. He noted that County Counsel is recommending ending dates to all contracts going forward.

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Ken Ekelund the Committee received the Monterey County Water Resources Agency open consultant contract balances report as of September 2012.**

**8. RECEIVE THE JULY 2012 - SEPTEMBER 2012 BOARD OF DIRECTORS FEES AND MILEAGE REPORT**

Nerahoo Hemraj provided a review of the report.

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Ken Ekelund the Committee received the July 2012 - September 2012 Board of Directors fees and mileage report.**

**9. RECEIVE THE MONTEREY COUNTY WATER RESOURCES AGENCY REGIONAL DESALINATION PROJECT COSTS THROUGH SEPTEMBER 2012**

Nerahoo Hemraj reviewed the Regional Desalination Project Costs through September 2012. He noted that there have been no changes since the last report. He stated that the Conflict of Interest Legal Expenses should be picked up by the County Risk Pool. He is waiting for further information to break out the costs.

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee received the Monterey**

**County Water Resources Agency Regional Desalination Project costs through August 2012.**

**10. RECEIVE A REPORT ON CLERICAL ERROR IN ASSESSMENT CHARGES**

Chris Keehn noted that in the processing of the FY 2012-13 Agency assessment roll there was a computer programming and clerical error that resulted in the incorrect posting of the rate for Factor C (inactive use), in the East Side Subarea for 3300 records. There were no changes in the rate structure from the previous year and should have posted correctly. They were incorrectly posted at a higher rate.

Staff met with representatives from County Counsel, Assessors, and the Auditor's Office and have agreed upon a process to correct the problem. Since Agency assessments are based on acreage, it was decided that those parcels with the highest acreage (about thirty) will receive a letter and a voucher which, upon payment of the taxes, can be submitted to receive an immediate refund from the County. The remaining parcels will be adjusted on next years tax roll, and will receive a letter from the Agency detailing the correction and the process. These letters will go out before the Thanksgiving Holiday.

**COMMITTEE ACTION:** Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee received the report on the clerical error in assessment charges.

**11. CONSIDER RECOMMENDING APPROVAL OF A DRY PERIOD LOAN OF \$1,116,500 FROM THE COUNTY OF MONTEREY GENERAL FUND POOL CASH FUND TO THE MONTEREY COUNTY WATER RESOURCES AGENCY TO PROVIDE INTERIM FINANCING FOR THE PAJARO RIVER BENCH EXCAVATION PROJECT PHASE 1; AND AUTHORIZE THE INTERIM GENERAL MANAGER TO EXECUTE THE AGREEMENT**

Nerahoo Hemraj provided information regarding the dry period loan. In 2008 Santa Cruz Flood Control District and Monterey County Water Resources Agency were awarded a \$6.2 million Prop. 50 grant for preparing and constructing the Pajaro River Bench Excavation Project. The Project has been split into two Phases.

Phase 1 of the project is funded by a Prop 50 grant from the California Department of Water Resources (DWR) at a "zero" net cost to the Monterey County Water Resources Agency (MCWRA). The terms of the Prop 50 Grant agreement require the two partnering Agencies to pay the contractor's invoices and then to submit reimbursement claims to the California Department of Water Resources (DWR). DWR will reimburse 90% of the claim, and holding ten (10) percent until the Project is completed.

For Phase 1 of the Project, the Agencies have agreed to equally advance funds. With construction costs for Phase 1 estimated at \$1.9 million. The Monterey County Water Resources Agency is responsible for \$950,000 of the Project costs plus \$66,500 for project management fees. An additional \$100,000 was reserved for any potential change orders costs.

DWR will reimburse WRA \$1,004,850 million while withholding \$111,650 until the full Project is completed. WRA will be seeking an extension of the residual Dry Period Loan for \$111,650 if the retention is not received from the DWR by the close of the 2012-13 FY.



On June 20, 2012 the Board of Supervisors approved the Advance/Refund Agreement No. A-12262 between the County of Monterey and the Monterey County Water Resources Agency to extend a \$1,550,000 financing from Fund 404 to pay for interim construction costs for the Pajaro River Bench Excavation Project Phase 1. However it was determined by the County that the Advance/Refund Agreement lacked the form and structure to implement. A Dry Period Loan was suggested.

A Dry Period Loan of 85% of the project cost of \$1,116,500 will be provided by the County's General Fund Pool Cash to the WRA to finance this Project. This financing will be accessed incrementally to pay invoices as needed. The MCWRA is projecting to repay this loan by the end of FY 2012-13. Since this is an expenditure-driven grant all reimbursements received from the grantor, DWR, will be used to promptly reimburse the Treasury's General Fund Pool Cash Fund.

Nerahoo Hemraj emphasized that there is some implied risk in acquiring a Dry Period Loan. In particular, the interest rate charged is based on Pool rates earned (there can be no gain). The loan must be repaid by the end of FY 2012- 2013. The loan is pledged against Agency revenues as to repayment and, if unable to repay in full, the Agency account will be charged for any outstanding balance.

A discussion ensued wherein Committee members expressed outrage at the fact that the County prefers to move forward with a Dry Period Loan instead of the Advance/Refund Agreement and hopes to resolve this issue at the Board of Directors Meeting.

**COMMITTEE ACTION: Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee recommended approval, under protest, of a Dry Period Loan of \$1,116,500 from the County of Monterey General Fund Pool Cash Fund to the Monterey County Water Resources Agency to provide interim financing for the Pajaro River Bench Excavation Project Phase 1; and authorize the Interim General Manager to execute the Agreement.**

**12. RECEIVE RECOMMENDATION ON THE USE OF AN OPERATING TRANSFER FROM FUND 303-RATE STABILIZATION RESERVE TO FINANCE THE REPAIRS OF THE NACIMIENTO HYDROELECTRIC PLANT, PENDING RECEIPT OF INSURANCE PAYMENTS**

Nerahoo Hemraj provided information regarding the transfer. He noted that the hydroelectric plant's primary hydropower turbine, Unit No.1 has recently been damaged. The extent of the damage will need to be inspected and evaluated (PHASE I) and a testing/repair plan developed and executed in order to bring the unit back into service. The testing/repair plan shall be determined in conjunction with an associated cost estimate as determined upon the completion of PHASE I. Testing and repairs to the damaged hydropower generating equipment and associated appurtenances shall be expedited to the extent possible (PHASE II) in an effort to limit the loss of hydropower generation. Insurance coverage as it relates to repairs and replacement of lost revenues will be determined by Risk Management.

An Emergency Purchase Order (EPO) in the approximately amount of \$100,000 has been issued from Fund 130-Nacimiento Hydroelectric Operations to perform preliminary repairs studies. If this operating transfer is approved, the balance of the funding will be sourced from Fund 303. Fund 303 will only finance "net" external repairs cost for this project. MCWRA personnel services and minor operating costs for this activity were covered in the FY 2012-13



budgets. Any and all insurance recoveries will be used to reduce the costs for repairing this plant, and thereby reducing the operating transfer from Fund 303-Rate Stabilization Fund.

**COMMITTEE ACTION:** Upon motion made by Committee Member David Hart and seconded by Committee Member Richard Ortiz the Committee received the recommendation on the use of an Operating Transfer from Fund 303-Rate Stabilization reserve to finance the repairs of the Nacimiento Hydroelectric Plant, pending receipt of insurance payments.

**13. SET NEXT MEETING DATE AND DISCUSS FUTURE AGENDA ITEMS**

The next meeting date is scheduled for Friday, January 11, 2012, at 10:00 a.m. No future agenda items were discussed.

**14. ADJOURNMENT**

The Committee adjourned at 10:05 a.m.

Submitted by: Alice Henault

Approved on:

MEMORANDUM

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County of Monterey

DATE: January 9, 2013

TO: Finance Committee

FROM: Chris Moss

SUBJECT: CSIP, SVRP and SRDF O&M Budget Reports for FY 2012-2013.

The CSIP, SVRP and SRDF Operations and Maintenance Expenditure Reports through the end of November for FY 2011-2012 are attached.

THE UNIVERSITY OF CHICAGO

PHYSICS DEPARTMENT

PHYS 441

PHYSICS 441

PHYSICS 441

PHYSICS 441

PHYSICS 441

**2012-2013  
SUMMARY OF CSIP, SVRP AND SRDF  
OPERATIONS AND MAINTENANCE  
EXPENDITURE REPORT  
FY 2012-2013**

**FROM JULY 1, 2012 THROUGH NOVEMBER 30, 2012 - PRELIMINARY  
5 MONTHS = 42% OF THE BUDGET CYCLE**

<b>CSIP</b>	<b>TOTAL EXPENDITURES AND COMMITMENTS</b>	<b>BUDGETED AMOUNT FOR FY 12-13</b>	<b>% USED</b>
MCWRA	205,984	798,824	26%
MRWPCA	464,932	1,154,158	40%
<b>Total</b>	<b>670,916</b>	<b>1,952,982</b>	<b>34%</b>

<b>SVRP</b>	<b>TOTAL EXPENDITURES AND COMMITMENTS</b>	<b>BUDGETED AMOUNT FOR FY 12-13</b>	<b>% USED</b>
MCWRA	-	-	0%
MRWPCA	719,969	2,097,475	34%
<b>Total</b>	<b>719,969</b>	<b>2,097,475</b>	<b>34%</b>

<b>SRDF</b>	<b>TOTAL EXPENDITURES AND COMMITMENTS</b>	<b>BUDGETED AMOUNT FOR FY 12-13</b>	<b>% USED</b>
MCWRA	342,360	703,269	49%
MRWPCA	252,580	720,784	35%
<b>Total</b>	<b>594,940</b>	<b>1,424,053</b>	<b>42%</b>

	<u>Actual</u>		<u>Budget</u>	<u>% Used</u>
Supplemental Water Produced this Fiscal Year	748	acre-feet	2,050	36%
Recycled Water Produced this Fiscal Year	7,325	acre-feet	13,750	53%
River Water Produced this Fiscal Year	2,170	acre-feet	5,000	43%
<b>Total Water Production</b>	<b>10,243</b>	<b>acre-feet</b>	<b>20,800</b>	<b>49%</b>

**STATE OF TEXAS**  
**COMMISSION ON**  
**THE JUDICIAL BRANCH**  
**REPORT**  
**OF THE COMMISSION**  
**ON THE JUDICIAL BRANCH**  
**FOR THE YEAR 1995**

THE COMMISSION ON THE JUDICIAL BRANCH WAS ORGANIZED BY ACT 100, CH. 100, ACTS, 1995, AND HAS THE HONOR OF REPORTING TO YOU TODAY.

Category	1995	1994	1993
1. JUDICIAL SALARIES	\$1,200,000	\$1,150,000	\$1,100,000
2. JUDICIAL BENEFITS	\$300,000	\$280,000	\$260,000
3. JUDICIAL TRAINING	\$100,000	\$90,000	\$80,000
4. JUDICIAL RESEARCH	\$50,000	\$45,000	\$40,000
5. JUDICIAL TECHNOLOGY	\$200,000	\$180,000	\$160,000
6. JUDICIAL ADMINISTRATION	\$1,000,000	\$950,000	\$900,000
7. JUDICIAL EDUCATION	\$150,000	\$140,000	\$130,000
8. JUDICIAL REFORM	\$50,000	\$45,000	\$40,000
9. JUDICIAL SUPPORT	\$100,000	\$95,000	\$90,000
10. JUDICIAL SECURITY	\$50,000	\$45,000	\$40,000
11. JUDICIAL PUBLIC RELATIONS	\$50,000	\$45,000	\$40,000
12. JUDICIAL MISCELLANEOUS	\$50,000	\$45,000	\$40,000
<b>TOTAL</b>	<b>\$2,800,000</b>	<b>\$2,680,000</b>	<b>\$2,560,000</b>



**CSIP  
OPERATIONS  
AND  
MAINTENANCE  
EXPENDITURE REPORT**

SHIP  
OPERATIONS  
AND  
MAINTENANCE  
EXPENDITURE REPORT

**FUND 256\_131**

**FY 2012 - 2013**

**CSIP O&M Expenditure Report**

**Expenditures vs. Budget from July 1, 2012 through end of November 2012**

Preliminary Description	FY 2011 - 2012(a)					FY 2012-2013 Percent of Budget Used
	November Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	Expenditures & Commitments through Nov.	FY 2012-2013 Budgeted Amount		
A	B	C	D	E	F	
<b>MCWRA O&amp;M</b>						
0 Outside Printers	0	0	0	0	0	0%
1.1 Project Insurance	0	0	0	104,030	0	0%
1.2 Earthquake Insurance	0	0	0	78,743	0	0%
2 Employee Travel	0	0	0	0	0	0%
3 Employee Training	0	0	0	0	0	0%
4.1 Maintenance Service & Supplies External	0	5,394	3,654	5,100	106%	
4.2 Maintenance Service & Supplies Internal	302	355	0	1,122	32%	
5 Equipment Rental	0	0	0	0	0%	
6 Communications	0	0	0	0	0%	
7 Utilities	0	0	0	0	0%	
8 Outside Legal Counsel	0	0	0	0	0%	
9 County Counsel	0	94	764	1,020	9%	
10 Temporary Help	0	0	0	0	0%	
11 Lab Services	0	0	0	0	0%	
12 Consultants/Contractors	0	57,338	16,265	218,000	26%	
13 MCWRA Salaries/Benefits	27,923	142,580	130,042	385,454	37%	
14 Contingency	0	0	0	0	0%	
15 Memberships/Publications	0	223	223	255	87%	
16 Publications and legal Notices	0	0	0	0	0%	
17 Computer	0	0	0	0	0%	
18 Non-Capital Equipment	0	0	2,313	5,100	0%	
<b>19 TOTAL O&amp;M CSIP (MCWRA)</b>	<b>28,225</b>	<b>205,984</b>	<b>153,261</b>	<b>798,824</b>	<b>26%</b>	
<b>MRWPCA O&amp;M</b>						
20 MRWPCA Salaries/Benefits	35,726	201,353	194,974	459,456	44%	
21 Office Expense	240	1,509	116	4,650	32%	
22 Outside Professional Services	50	38,574	55,077	62,500	62%	
23 Operating Supplies	13	2,530	2,661	13,550	19%	
24 Lab Services	3,713	30,335	28,229	48,000	63%	
25 Chemicals	0	0	0	0	0%	
26 Utilities	13,993	152,680	186,860	351,950	43%	
27 PM/Repairs	79	7,899	22,420	88,500	9%	
28 Contingency	0	0	0	11,131	0%	
29 Equipment replacement Fund	0	0	0	0	0%	
30 Vehicle Costs	0	0	0	0	0%	
31 Indirect	4,683	30,052	34,214	114,421	26%	
<b>32 TOTAL O&amp;M CSIP (MRWPCA)</b>	<b>58,497</b>	<b>464,932</b>	<b>524,551</b>	<b>1,154,158</b>	<b>40%</b>	
33 CSIP PCA Capital Outlay	0	0	0	75,000		
<b>34 TOTAL CSIP O&amp;M</b>	<b>86,722</b>	<b>670,916</b>	<b>677,812</b>	<b>2,027,982</b>	<b>33%</b>	

(a) Column D has been added to provide a comparative reference



**SVRP  
OPERATIONS  
AND  
MAINTENANCE  
EXPENDITURE REPORT**



SWAP

OPERATIONS

AND

MAINTENANCE

EXPENDITURE REPORT

**FUND 257\_132**

**FY 2012-2013**

**SVRP O&M Expenditure Report**

**Expenditures vs. Budget from July 1, 2012 through end of November 2012**

Preliminary Description	November Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	FY 2011-2012(a)		FY 2012-2013 Budgeted Amount	FY 2012-2013 Percent of Budget Used
			Expenditures & Commitments through Nov.			
A	B	C	D	E	F	
<b>MCWRA O&amp;M</b>						
1 Contingency	0	0	0	0	0	0%
<b>2 TOTAL O&amp;M SVRP (MCWRA)</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0</b>	<b>0%</b>
<b>MRWPCA O&amp;M</b>						
3 MRWPCA Salaries/Benefits	37,810	220,310	199,299	474,673	46%	
4 Office Expense	135	160	481	3,000	5%	
5 Contractors	215	11,484	9,619	115,000	10%	
6 Operating Supplies	164	9,377	9,316	24,000	39%	
7 Lab Services	934	4,994	3,905	21,000	24%	
8 Chemicals	19,228	126,634	183,751	515,000	25%	
9 Utilities	5,447	84,604	81,510	359,805	24%	
10 PM/Repairs	5,503	219,979	142,629	360,000	61%	
11 Contingency	0	0	0	0	0%	
12 Equipment Replacement Funds	0	0	0	44,250	0%	
13 Indirect Costs	6,544	42,427	42,452	180,747	23%	
<b>14 TOTAL O&amp;M SVRP (MRWPCA)</b>	<b>75,980</b>	<b>719,969</b>	<b>672,962</b>	<b>2,097,475</b>	<b>34%</b>	
15 SVRP Capital Outlay	7,072	42,574	7,206	340,000	12.52%	
<b>16 TOTAL SVRP O&amp;M</b>	<b>83,052</b>	<b>762,543</b>	<b>680,168</b>	<b>2,437,475</b>	<b>31%</b>	

(a) Column D has been added to provide a comparative reference



**SRDF  
OPERATIONS  
AND  
MAINTENANCE  
EXPENDITURE REPORT**

GRADE  
OPERATIONS  
AND  
MAINTENANCE  
EXPENDITURE REPORT



**FUND SRDF 134**

**FY 2012 - 2013**

**SRDF O&M Expenditure Report**

**Expenditures vs. Budget from July 1, 2012 through end of November 2012**

Preliminary Description	FY 2011 - 2012(a)					FY 2012-2013 Percent of Budget Used
	November Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	Expenditures & Commitments through Nov.	FY 2012-2013 Budgeted Amount		
A	B	C	D	E	F	
<b>MCWRA O&amp;M</b>						
0 Outside printers	0	0	0	0	0	0%
1.1 Project Insurance	0	0	0	0	0	0%
1.2 Earthquake Insurance	0	0	0	0	0	0%
2.1 Maintenance External	0	7,166	43,300	7,500	96%	
2.2 Maintenance Internal	0	0	0	0	0%	
3 Equipment Maintenance	0	1,848	1,756	10,000	18%	
4.1 Misc Supplies	0	985	708	2,000	49%	
4.2 Misc Services	0	0	77	0	0%	
4.3 Minor Equip & Furnishings	0	0	70	0	0%	
5 Equipment Rental	0	122	0	0	0%	
6 Communications	0	0	0	0	0%	
7 Utilities	0	0	0	0	0%	
8 Outside Legal Counsel	0	62,597	0	50,000	125%	
9 County Counsel	203	1,844	5,168	0	0%	
10 Social Services	0	0	0	0	0%	
11 Lab Services	0	0	0	0	0%	
12 Contractors/Consultants	5,446	103,906	5,462	90,000	115%	
13 MCWRA Salaries/Benefits	25,386	163,454	223,310	505,269	32%	
14 Water Rights, Dam Fees, Ca. F&G	0	0	0	0	0%	
15 Contingency	0	0	0	0	0%	
16a Publications and legal Notices	0	0	0	0	0%	
16b Books/Periodicals	0	438	0	0	0%	
17 Computer	0	0	0	0	0%	
18 Non-Capital Equipment	0	0	442	3,500	0%	
19 Equipment	0	0	7,840	35,000	0%	
<b>20 TOTAL O&amp;M SRDF (MCWRA)</b>	<b>31,035</b>	<b>342,360</b>	<b>280,293</b>	<b>703,269</b>	<b>49%</b>	
<b>MRWPCA O&amp;M</b>						
21 MRWPCA Salaries/Benefits	5,726	52,287	55,733	166,849	31%	
22 Office Expense	36	176	16	2,025	9%	
23 Contractors/Consultants	0	4,887	0	15,000	33%	
24 Operating Supplies	119	1,897	2,049	8,800	22%	
25 Lab Services	3,353	13,962	10,318	39,000	36%	
26 Chemicals (chlorine)	0	12,952	9,691	49,424	26%	
27 Utilities	5,615	100,325	56,410	275,500	36%	
28 PM/Repairs	0	3,558	5,469	55,000	6%	
29 Vehicle Costs	0	0	0	1,800	0%	
30 Reserve Fund	0	50,000	0	50,000	100%	
31 Sludge Disposal Cost	0	0	0	0	0%	
32 Contingency	0	0	0	12,268	0%	
33 Indirect	1,204	12,536	9,345	45,118	28%	
34 Capital Outlay	0	0	0	0	0%	
<b>35 TOTAL O&amp;M SRDF (MRWPCA)</b>	<b>16,053</b>	<b>252,580</b>	<b>149,031</b>	<b>720,784</b>	<b>35%</b>	
<b>36 TOTAL SRDF O&amp;M</b>	<b>47,088</b>	<b>594,940</b>	<b>429,324</b>	<b>1,424,053</b>	<b>42%</b>	

(a) Column D has been added to provide a comparative reference

Subject: / /

Topic: / /

Chapter: / /

Sl. No.	Name of the Candidate	Grade	Percentage	Remarks
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**Monterey County Water Resources Agency  
November 2012  
Actual vs. Total Year Budget Revenue**

<u>Category</u>	YTD November Actual	Total Year Budget	Actual as a % of Total Year Budget	Remarks
Ad Valorem taxes	\$72,999	\$1,861,698	4%	Taxes are received in December and April
Assessments	11,467	13,001,686	0%	Assessments are received in December and April
Development & Other fees	196,471	373,327	53%	
Water Delivery Revenue	0	1,441,500	0%	
Royalties	292,932	141,772	207%	\$292,932 prior year settlement of disputed charges received - balance to be billed later in year
Grants	219,197	167,700	131%	\$217,197 for fish monitoring exps thru 6/30/12 was not received by 9/30/12 and is FY 2012-13 revenue
Hydroelectric Revenue	156,513	1,150,000	14%	Hydroelectric plant not generating revenue due to repairs
Cal-Am Reimbursement	0	500,000	0%	
Interest, Rent, & Other	141,974	179,355	79%	Rent is received in early part of year
Inter-fund transfer revenue	0	280,000	0%	
<b>Total Revenue</b>	<b>\$1,091,553</b>	<b>\$19,097,038</b>	<b>6%</b>	

**Monterey County Water Resources Agency  
November 2012  
Actual vs. Total Year Budget Obligations**

<u>Category</u>	YTD November Actual	Total Year Budget	Actual as a % of Total Year Budget	Remarks
Salaries & Benefits	\$1,943,557	\$5,220,694	37%	3 Vacant positions
Consultants	1,306,787	5,922,762	22%	
Services & Supplies	933,080	2,543,805	37%	
Fixed Assets	142,591	1,321,002	11%	Budget includes revision for Pajaro Phase 1 Bench Excavation Project of \$1,116,500
Debt payments	1,318,455	5,783,000	23%	SVWP semi-annual payments due in Sept & Feb. CSIP/SVRP payments due in March
Settlement costs	66,621	66,621	100%	
Inter-fund transfer expenditure	0	280,000	0%	
<b>Total Obligations</b>	<b>\$5,711,091</b>	<b>\$21,137,884</b>	<b>27%</b>	

1/9/2013



MONTEREY COUNTY WATER RESOURCES AGENCY  
 FISCAL YEAR 2012-2013  
 MONTHLY BUDGET REVIEW  
 THROUGH NOVEMBER 2012  
 FUND 111  
 42%

	Account	Current Period Expenditures	Current Year Encumbrances	Year to Date Expenditures	Total Obligations	2012-13 Approved Budget	Unobligated Budget Balance	Percent Budget Expended	Explanations	
<b>Salaries and Benefits</b>										
1	Regular Employees	6111	269,720	1,405,899	1,405,899	3,783,554	2,377,655	37%	3 vacant positions	
1a	County Temporary Employees	6112	2,743	4,475	4,475	0	(4,475)	N/A	Worker	
2	Emergency Overtime	6113	1,361	3,808	3,808	10,000	6,192	38%		
3	Pers	6121	56,510	206,710	206,710	556,286	349,576	37%		
4	Other Post Retirement Benefits	6122	2,914	14,569	14,569	34,965	20,396	42%		
5	Social Security - FICA	6131	6,121	21,207	21,207	69,415	48,208	31%		
6	Social Security - Medicare	6132	5,502	19,614	19,614	53,942	34,328	36%		
7	Life Insurance	6142	603	1,507	1,507	4,301	2,795	35%		
8	Long Term/Short Term Disability Insurance	6143/44	288	2,007	2,007	11,856	9,849	17%		
9	Unemployment Insurance	6148	1,688	8,441	8,441	20,258	11,817	42%		
10	Workers Compensation Insurance	6161	6,018	30,092	30,092	66,697	36,605	45%	Budget revised by CAO for final budget from Auditor/Controller	
11	Employee Assistance Program	6171	65	466	466	1,158	692	40%		
12	Special Benefits	6174	1,046	4,690	4,690	35,984	31,294	13%		
13	Wellness Plan	6175	0	460	460	3,955	3,495	12%		
14	Flex Benefit: (Medical, Dental, Vision)	6173	44,829	219,613	219,613	568,323	348,710	39%		
15	<b>Salaries and Benefits TOTAL</b>		399,408	0	1,943,557	1,943,557	5,220,694	3,277,137	37%	
<b>Services and Supplies</b>										
16	Uniforms & Safety Equipment	6222	0		0	950	950	0%		
17	Communication Charges - External	6231	257	350	1,758	2,108	13,500	11,392	13%	
18	Communication Charges - Internal	6232	0		30,820	30,820	59,523	28,703	52%	Total year County est charge for telecommunications
19	Food Refreshments	6241	368		1,228	1,228	3,200	1,972	38%	
20	Janitorial Supplies & Services	6251	2,538	8,208	7,384	15,592	15,000	(592)	49%	
21	Laundry Supplies and Service	6252	152	536	339	875	3,000	2,125	11%	
22	General Liability Insurance	6261/62	1,461		20,915	20,915	58,363	37,448	36%	
23	Property Insurance	6266	0		0	6,984	6,984	0%		
24	Insurance Unit Allocation	6268	202		4,019	4,019	14,282	10,263	28%	
25	Bldg & Improvements Maint - External	6311	0	20,147	759	20,906	24,000	3,094	3%	
26	Equipment Maintenance	6321	14,223	10,333	17,169	27,502	29,000	1,498	59%	
27	Membership Fees	6351	200		830	830	16,000	15,170	5%	
28	Non-Capital Equipment	6361	0		162	162	9,000	8,838	2%	
29	Advertising	6381	0		1,385	1,385	1,000	(385)	138%	Finance Manager recruitment
30	Audio-Visual Service & Supply	6382	0		0	250	250	0%		
31	Miscellaneous Services	6383	0		0	500	500	0%		
32	Miscellaneous Supplies	6384	0		0	500	500	0%		
33	Books and Periodicals	6401	0		0	2,400	2,400	0%		
34	Bottled Water	6402	110	865	481	1,346	1,350	4	36%	
35	Courier Service & Mail - External	6404	238	1,702	1,298	3,000	3,000	0	43%	
36	Courier Service & Mail - Internal	6405/06	102		4,453	4,453	8,410	3,957	53%	Total year County est charge for courier services \$4,246
37	Minor Computer Hardware	6407	0	11,306	1,780	13,086	22,206	9,120	8%	

MONTEREY COUNTY WATER RESOURCES AGENCY  
FISCAL YEAR 2012-2013  
MONTHLY BUDGET REVIEW  
THROUGH NOVEMBER 2012  
FUND 111  
42%

	Account	Current Period Expenditures	Current Year Encumbrances	Year to Date Expenditures	Total Obligations	2012-13 Approved Budget	Unobligated Budget Balance	Percent Budget Expended	Explanations		
38	Minor Computer Software	6408	355	18,752	5,918	24,670	33,100	8,430	18%	38	
39	Minor Equipment and Furnishings	6409	544	1,000	544	1,544	6,000	4,456	9%	39	
40	Office Supplies	6410	954	4,539	4,987	9,526	25,000	15,474	20%	40	
41	Postage and Shipping	6411	229		572	572	9,000	8,428	6%	41	
42	Printing, Graphics & Binding - External	6412	0		40	40	1,000	960	4%	42	
43	Printing, Graphics & Binding - Internal	6413	0		1,153	1,153	1,470	317	78%	Total year County est charge for graphics	43
44	Other Office Expense	6414	137		137	137	1,000	863	14%	44	
45	County Records Retention Charge	6415	0		512	512	512	(0)	100%	Total year County est charge for records retention	45
46	County Accounting & Auditing charges	6601	251		6,364	6,364	13,296	6,932	N/A	Includes annual audit charge \$5,108	46
47	Data Processing Charges - Internal	6603	2,085		153,801	153,801	172,957	19,156	89%	Includes total year County est IT Dept charge \$143,376	47
48	Legal Services - External	6606	0	71,454		71,454	100,000	28,546	0%	Reopened Remcho PO not spent last year \$31,454	48
49	Legal Services - Internal	6607	10,964		17,154	17,154	30,500	13,346	56%	Only July - October booked	49
50	Other Medical Services	6608	0		60	60	500	440	12%	50	
51	Other Personnel Costs (Recruitment)	6609	0		342	342	98,000	97,658	0%	51	
52	Temporary Help Services	6612	810	2,815	1,685	4,500	8,500	4,000	20%	52	
53	Other Prof & Spec Svcs - Consultants	6613	0	25,669		25,669	37,000	11,331	0%	Reopened POs not spent last year \$18,669	53
53a	Other Prof Svcs - Consultants-Interim GM	6613	18,262	36,023	72,496	108,519	0	(108,519)	N/A	Budget assumed GM hired 7/1/12	53a
54	Other Prof & Spec Services-BOD Fees, etc.	6613	900		3,700	3,700	23,900	20,200	15%	54	
55	Publications and Legal Notices	6801	0		0	0	1,500	1,500	0%	55	
56	Rents & Leases - Buildings	6811	20,510		102,549	102,549	251,039	148,490	41%	56	
57	Rents & Leases-Equip- Data Processing	6821	3,100	6,948	6,915	13,863	3,808	(10,055)	182%	Most computers leased not purchased	57
58	Rents & Leases-Equip- Copy machines	6821	943	10,003	5,682	15,686	24,000	8,314	24%	58	
59	Rents & Leases - Equip - General	6821	0		0	0	1,000	1,000	0%	59	
60	Other Special Dept Expense	6835	0	4,142	10	4,152	3,681	(471)	0%	Moving expense PO \$4,142	60
61	Conference/Lodging/Meals/Travel	6861/62	1,055		4,182	4,182	12,000	7,818	35%	61	
62	Employee Training	6861	560		2,104	2,104	8,000	5,896	26%	62	
63	County Training Charge	6611	0		6,048	6,048	4,992	(1,056)	121%	Total year County est charge for training services	63
64	Fleet Service Charge (Fuel & misc maint)	6864	9,599		28,536	28,536	85,075	56,539	34%	Only July - October booked	64
65	Vehicle Maint - Outside Vendor	6866	3,633	6,207	9,903	16,110	54,000	37,890	18%	65	
66	Utilities	6881	89	347	1,115	1,461	6,500	5,039	17%	Only July - October booked	66
67	Rights of Way	7101	0		3,250	3,250	3,250	0	100%	Annual right of way charge \$3,250	67
68	Taxes & Assessments	7121	605		605	605	640	36	94%	68	
69	Cost Plan Charges	7301	0		63,654	63,654	254,614	190,961	25%	Budget revised by CAO for final budget from Auditor/Controller	69
70	Services & Supplies	TOTAL	95,435	241,345	598,797	840,142	1,568,252	728,110	38%	70	
<b>Fixed Assets</b>											
71	Capital Leases - Equipment	7561	3,966	27,761	19,830	47,591	69,502	21,911	29%	71	
72	Fixed Assets	TOTAL	3,966	27,761	19,830	47,591	69,502	21,911	29%	72	
73	Operating Transfers Out	7614	0		0	0	0	0	N/A	73	
74	GRAND TOTAL EXPENSES		498,808	269,106	2,562,184	2,831,289	6,858,448	4,027,159	37%	74	

(Before inter-fund expense reimbursement)



**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2012-2013 Budget**  
**Expenditure Report by Fund**  
**Through November 2012**  
**42%**

LN #	PROGRAM NAME	ZONE	FUND	PROG	REVISED BUDGET	CURRENT PERIOD EXPENDITURES	CURRENT YEAR ENCUMBRANCES	YEAR TO DATE EXPENDITURES	TOTAL OBLIGATIONS	PERCENT BUDGET OBLIGATED	UNOBLIGATED BUDGET BALANCE	CURRENT YEAR ESTIMATE	COMMENTS	LN #
<b>Countywide</b>														
1	County Wide Hydrology & Water Quality Program	CW	113	9010	139,008	3,276	4,314	11,897	16,211	12%	122,797			1
2	Flood Plain Management & Land Use Planning	CW	113	9030	392,118	26,473	145,000	140,493	285,493	73%	106,625			2
3	ALERT Syst Operation & Maint/Flood Monitoring	CW	113	9035	44,954	39,838	33,972	34,264	68,236	152%	(23,282)		Addl ALERT transfer to fother funds to be made in Dec	3
4	County Wide Water Resources Review-Reimbursed	CW	113	9041	345,033	32,943		219,127	219,127	64%	125,906			4
6	Special Projects	CW	113	9050	0	2,236		15,393	15,393	0%	(15,393)			6
7	Special Projects - County General Plan	CW	113	9051	0	0		0	0	0%	0			7
8	Environmental Compliance	CW	113	9052	0	0		0	0	0%	0			8
	<b>Sub-total</b>				921,113	104,767	183,285	421,174	604,459	66%	316,654	0		
10	Countywide Unassigned Reserve	CW	113	9055	0									10
14	<b>Total</b>				921,113									14
<b>Pajaro Levee</b>														
15	Pajaro River Levee	1	112	9100	1,667,365	13,223	2,000	106,125	108,125	6%	1,559,240		Budget includes revision for Pajaro Phase 1 Bench	15
16	Environmental Compliance	1	112	9100	0	0		0	0	N/A	0		Excavation Project of \$1,116,500	
16a	Prop 218	1	112	9100	0	0		0	0	N/A	0			
					1,667,365	13,223	2,000	106,125	108,125	6%	1,559,240	0		39
16b	Unassigned Reserve	1	112	9100	0									16
17	<b>Total</b>				1,667,365							0		17
<b>Zone 2 Nacimiento Non - O&amp;M</b>														
19	Nacimiento Taxes & Reimbursement	2	114	9211	51,389	25,618		25,618	25,618	50%	25,771			19
21	Hydrology & Water Quality Program	2	114	9245	338,256	13,072		132,559	132,559	39%	205,697			21
24	Lake Nacimiento Dock Registration	2	114	9260	19,128	0		5,262	5,262	28%	13,866			24
25	Transfer to SRDF O&M Fund 134	2	114	9265	230,000	0		0	0	0%	230,000			25
26	Special Projects - Urban Water Solutions	2	114	9271	85,495	0		0	0	0%	85,495			26
27	Environmental Compliance	2	114	9272	0	0		0	0	N/A	0			27
	<b>Sub-total</b>				724,268	38,690	0	163,438	163,438	23%	560,830	0		
18	Unassigned Reserve	2	114	9205	0									18
30	<b>Total</b>				724,268									30

**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2012-2013 Budget**  
**Expenditure Report by Fund**  
**Through November 2012**  
**42%**

LN #	PROGRAM NAME	ZONE	FUND	PROG	REVISED BUDGET	CURRENT PERIOD EXPENDITURES	CURRENT YEAR ENCUMBRANCES	YEAR TO DATE EXPENDITURES	TOTAL OBLIGATIONS	PERCENT BUDGET OBLIGATED	UNOBLIGATED BUDGET BALANCE	CURRENT YEAR ESTIMATE	COMMENTS	LN #
	<b>Zone 2A San Antonio Non - O &amp;M</b>													
31	Hydrology & Water Quality Program	2A	115	9530	234,919	54,542		148,113	148,113	63%	86,806			31
33	Well Permits/Well Logs	2A	115	9540	53,572	5,141		46,933	46,933	88%	6,639			33
36	Salinas Valley Water Quality/Nitrate TAC	2A	115	9559	73,530	3,581		11,578	11,578	16%	61,952			36
37	Environmental Compliance	2A	115	9561	6,726	0		0	0	0%	6,726			37
40	Transfer to SRDF O&M Fund 134	2A	115	9596	50,000	0		0	0	0%	50,000			40
41	Special Projects	2A	115	9597	90,086	0		0	0	0%	90,086			41
42	Special Projects - Cloud Seeding	2A	115	9598	0	0		0	0	N/A	0			44
43	Special Projects - EPA Grants	2A	115	9599	25,779	0		5,373	5,373	21%	20,406			43
	<b>Sub-total</b>				534,612	63,265	0	211,996	211,996	40%	322,616	0		
34	Unassigned Reserve	2A	115	9551	0									34
45	<b>Total</b>				534,612									45
	<b>Zone 2C Operations</b>													
47	Nacimiento Dam Operation & Maintenance	2C	116	9910	544,926	121,282	102,323	373,291	475,613	87%	69,313		Emergency repairs consultants \$118,337 plus addl labor	47
48	Nacimiento Administration	2C	116	9915	48,653	6,392		33,325	33,325	68%	15,328			48
49	San Antonio Dam Operation & Maintenance	2C	116	9920	535,098	43,812	111,975	165,013	276,987	52%	258,111			49
50	San Antonio Administration	2C	116	9925	58,107	3,621		22,015	22,015	38%	36,092			50
51	Cloud Seeding	2C	116	9930	0	0		0	0	N/A	0			51
52	Salinas River Channel	2C	116	9935	277,206	16,866	4,426	133,610	138,036	50%	139,170			52
53	Salinas River Mouth	2C	116	9940	108,865	4,081	128,242	29,032	157,274	144%	(48,409)		Reopened purchase order for EIR \$109,596 (Not spent last year)	53
54	Reservoir Oper Hydrology & Water Quality Prog.	2C	116	9945	1,348,282	51,317	34,645	397,329	431,974	32%	916,308			54
55	ALERT Transfer Out	2C	116	9950	255,895	0		61,677	61,677	24%	194,218			55
56	Ground Water Extraction/Data Collection	2C	116	9955	82,245	6,578		6,855	6,855	8%	75,390			56a
57	Reimbursable from County	2C	116	9956	0	0	1,476	0	1,476	N/A	(1,476)			57
	<b>Sub-total</b>				3,259,277	253,949	383,087	1,222,147	1,605,233	49%	1,654,044	0		
55a	O&M Unassigned+B101 Reserve	2C	116	9951	0									55a
60	<b>Total</b>				3,259,277									60
	<b>Zone 2C CAMP</b>													
63	CAMP Operating Reserve	2C	116	9968	0									63
65	<b>Total</b>				0									65
	<b>Zone 2C Administration</b>													
66	Zone 2C Administration Assessment Roll	2C	116	9970	125,407	12,599		52,182	52,182	42%	73,225			66
67	Zone 2C Administration Other	2C	116	9975	221,621	125	18,408	67,986	86,394	39%	135,227			67
	<b>Sub-total</b>				347,028	12,724	18,408	120,169	138,577	40%	208,451	0		
	Administration Unassigned Reserve	2C	116	9975	0									
70	<b>Total</b>				347,028									70



**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2012-2013 Budget**  
**Expenditure Report by Fund**  
**Through November 2012**  
**42%**

LN #	PROGRAM NAME	ZONE	FUND	PROG	REVISED BUDGET	CURRENT PERIOD EXPENDITURES	CURRENT YEAR ENCUMBRANCES	YEAR TO DATE EXPENDITURES	TOTAL OBLIGATIONS	PERCENT BUDGET OBLIGATED	UNOBLIGATED BUDGET BALANCE	CURRENT YEAR ESTIMATE	COMMENTS	LN #
77	SVWP Bond Revenue Fund	2C	133	9987	2,037,264	1,500		1,322,357	1,322,357	65%	714,907	0		77
77a	Unassigned Reserve (Restricted for Debt Service)	2C	133	9987	0									77a
	<b>Total</b>				2,037,264									
79a	Salinas River Diversion Facility O&M	3	134	9988	1,087,593	11,061	69,790	143,765	213,555	20%	874,038			79a
79b	Fish Monitoring	3	134	9989	329,461	18,886	50,178	73,437	123,615	38%	205,846			79a
79c	Flow Monitoring	3	134	9990	0	0		0	0	N/A	0			79a
79d	Water Quality Monitoring	3	134	9991	0	0		0	0	N/A	0			79a
79e	Invasive Species	3	134	9992	0	0		0	0	N/A	0			79a
79f	Environmental Compliance	3	134	9993	6,999	1,089		5,191	5,191	74%	1,808			79b
					1,424,053	31,035	119,968	222,393	342,360	24%	1,081,693	0		
	Unassigned Reserve - O&M	2C	134	9988	0									
79g	Unassigned Reserve - Fish Monitoring & Other	2C	134	9988	0									
	<b>Total</b>				1,424,053									70
80	Lower Salinas River Channel	3	117	9620	42,317	0	2,500	727	3,227	8%	39,090	0		80
	Unassigned Reserve	3	117	9620	0									
	<b>Total</b>				42,317									
81	Merritt Lake	5	118	9630	32,914	950	1,000	20,712	21,712	66%	11,202	0		81
	Unassigned Reserve	5	118	9630	0									
	<b>Total</b>				32,914									
82	CSIP Transfer & Water Conservation Update	6	119	9660	145,213	1,349		22,801	22,801	16%	122,412	0		82
	Unassigned Reserve	6	119	9660	0									
	<b>Total</b>				145,213									
83	CSIP Operation & Maintenance	2Y	131	9643	2,027,982	28,225	60,449	145,536	205,984	10%	1,821,998	0		83
	Unassigned Reserve	2Y	131	9643	0									
	<b>Total</b>				2,027,982									
84	SVRP Operation & Maintenance	2Z	132	9644	3,916,128	0		0	0	0%	3,916,128	0		84
	Unassigned Reserve	2Z	132	9644	0									
	<b>Total</b>				3,916,128									
85	CSIP/SVRP Debt Service	2B	303	9666	1,864,360	0		0	0	0%	1,864,360	0		85
	CSIP/SVRP Debt Service Unassigned Reserve (Restricted for Debt Service)	2B	303	9666	0									
					1,864,360									

**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2012-2013 Budget**  
**Expenditure Report by Fund**  
**Through November 2012**

42%

LN #	PROGRAM NAME	ZONE	FUND	PROG	REVISED BUDGET	CURRENT PERIOD EXPENDITURES	CURRENT YEAR ENCUMBRANCES	YEAR TO DATE EXPENDITURES	TOTAL OBLIGATIONS	PERCENT BUDGET OBLIGATED	UNOBLIGATED BUDGET BALANCE	CURRENT YEAR ESTIMATE	COMMENTS	LN #
86	North Monterey County	7	120	9690	4,843	0		0	0	0%	4,843	0		86
	Unassigned Reserve	7	120	9690	0									
	<b>Total</b>				4,843									
87a	Soledad Storm Drain - Bryant Canyon Project	8	121	9700	128,333	901		17,840	17,840	14%	110,493	0		87a
87b	Prop 218 (With City of Soledad)	8	121	9700	0	0		0	0	N/A	0	0		87b
	Unassigned Reserve	8	121	9700	128,333	901	0	17,840	17,840	14%	110,493	0		0
	<b>Total</b>				128,333	901								
88a	Reclamation Ditch Operation & Maintenance	9	122	9720	1,407,831	112,726	70,539	444,052	514,590	37%	893,241	0		88
88b	Environmental Compliance / EIR	9	122	9723	0	0		0	0	N/A	0	0		88
88c	Prop 218	9	122	9724	0	0		0	0	N/A	0	0		88
88d	Easements/Acquisition	9	122	9725	0	0		0	0	N/A	0	0		88
	Unassigned Reserve	9	122	9722	1,407,831	112,726	70,539	444,052	514,590	37%	893,241	0		0
92	<b>Total</b>				1,407,831									92
94	Monterey Peninsula ( Carmel Valley )	11	123	9750	38,258	(10,060)	1,203	13,037	14,241	37%	24,017	0	\$10,060 refunded by County in November	94
	Unassigned Reserve	11	123	9750	0									
	<b>Total</b>				38,258									
95	San Lorenzo Creek	12	124	9760	33,579	1,918	1,203	9,456	10,660	32%	22,919	0		95
	Unassigned Reserve	12	124	9760	0									
	<b>Total</b>				33,579									
96	Arroyo Seco Area	14	125	9765	2,025	0	500	500	1,000	49%	1,025	0		96
	Unassigned Reserve	14	125	9765	0									
	<b>Total</b>				2,025									
97	Carnation Subdivision (Alisal-Spence Roads )	15	126	9770	20,331	265		679	679	3%	19,652	0		97
	Unassigned Reserve	15	126	9770	0									
	<b>Total</b>				20,331									
98	Moro Cojo Slough (Castroville/Moss Landing)	17	127	9780	88,530	2,898		8,402	8,402	9%	80,128	0		98
	Unassigned Reserve	17	127	9780	0									
	<b>Total</b>				88,530									

**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2012-2013 Budget**  
**Expenditure Report by Fund**  
**Through November 2012**

42%

LN #	PROGRAM NAME	ZONE	FUND	PROG	REVISED BUDGET	CURRENT PERIOD EXPENDITURES	CURRENT YEAR ENCUMBRANCES	YEAR TO DATE EXPENDITURES	TOTAL OBLIGATIONS	PERCENT BUDGET OBLIGATED	UNOBLIGATED BUDGET BALANCE	CURRENT YEAR ESTIMATE	COMMENTS	LN #
99	Storm Drain Maint. District # 2 (Blanco Drain)	S2	128	9860	117,858	755	1,540	7,629	9,169	8%	108,689	0		99
	Unassigned Reserve	S2	128	9860	0									
	<b>Total</b>				117,858									
100	Gonzales Slough Maintenance District	GS	129	9870	3,409	0		1,490	1,490	44%	1,919	0		100
	Unassigned Reserve	GS	129	9870	0									
	<b>Total</b>				3,409									
101	Nacimiento Hydroelectric Plant	HY	130	9890	349,517	44,918	144,271	205,846	350,118	100%	(601)	0	Higher due to emergency repairs	101
	Unassigned Reserve	HY	130	9890	0									
	<b>Total</b>				349,517									



**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**Unassigned Reserve Report by Fund**  
**Through November 2012**

LN #	FUND NAME	ZONE	FUND	PROG	6/30/2012			6/30/2012 "BGT EST" UNASSIGNED RESERVES	6/30/2012 Actual Better/(Worse) than "Budget Estimate"	6/30/2013	
					TARGET UNASSIGNED RESERVES	UNAUDITED ACTUAL UNASSIGNED RESERVES *	% OF TARGET			APPROVED BUDGET UNASSIGNED RESERVES	% OF TARGET
	Administration	ADM	111	9000	0	1,201	N/A	0	1,201	0	N/A
10	General Countywide	CW	113	9055	304,000	59,090	19%	58,374	716	70,115	23%
15	Pajaro River Levee	1	112	9100	182,000	307,861	169%	265,496	42,365	112,588	62%
18	Zone 2 Non-O&M Operating	2	114	9205	236,000	296,190	126%	138,827	157,363	30,840	13%
34	Zone 2A Non-O&M	2A	115	9551	260,000	116,244	45%	98,812	17,432	28,884	11%
55a	Zone 2C O&M	2C	116	9951	1,211,000	767,029	63%	732,805	34,224	608,291	50%
63	Zone 2C CAMP	2C	116	9968	N/A	1,631	N/A	1,631	(0)	1,631	N/A
67	Zone 2C Administration	2C	116	9975	500,000	293,487	206,513	310,269	(16,782)	1,631	0%
77a	Salinas River Diversion Facility O&M		134	9988	831,000	562,548	68%	381,272	181,276	568,219	68%
80	Lower Salinas River Channel	3	117	9620	26,000	118,152	454%	79,285	38,867	66,183	255%
81	Merritt Lake	5	118	9630	15,000	6,379	43%	3,562	2,817	1,583	11%
86	North Monterey County	7	120	9690	2,000	9,733	487%	1,862	7,871	1,690	85%
87	Soledad Storm Drain - Bryant Canyon Project	8	121	9700	66,000	143,948	218%	66,966	76,982	2,855	4%
89	Reclamation Ditch	9	122	9722	619,000	887,692	143%	564,170	323,522	432,237	70%
94	Monterey Peninsula ( Carmel Valley )	11	123	9750	15,000	37,453	250%	37,226	227	50,305	335%
95	San Lorenzo Creek	12	124	9760	25,000	36,479	146%	3,379	33,100	4,375	18%
96	Arroyo Seco Area	14	125	9765	1,000	720	72%	727	(7)	362	36%
97	Carnation Subdivision (Alisal-Spence Roads )	15	126	9770	3,000	70,229	2341%	65,794	4,435	50,223	1674%
98	Moro Cojo Slough (Castroville/Moss Landing)	17	127	9780	24,000	328,711	1370%	284,056	44,655	292,826	1220%
99	Storm Drain Maint. District # 2 (Blanco Drain)	S2	128	9860	13,000	119,437	919%	117,023	2,414	17,199	132%
100	Gonzales Slough Maintenance District	GS	129	9870	5,000	10,192	204%	343	9,849	873	17%
101	Nacimiento Hydroelectric Plant	HY	130	9890	172,000	237,816	138%	139,510	98,306	349,517	203%
103	Monterey Bay Reg Water Construction		425	9996	N/A	2,681	N/A	0	2,681	0	N/A
	Sub-Total Excluding CSIP/SVRP & SVWP Construction				4,510,000	4,414,903	98%	3,351,389	1,063,513	2,692,427	60%
82	CSIP Transfer & Water Conservation Update	6	119	9660	109,000	604,386	554%	580,075	24,311	571,105	524%
83	CSIP Operation & Maintenance	2Y	131	9643	778,000	989,825	127%	741,880	247,945	741,791	95%
84	SVRP Operation & Maintenance	2Z	132	9644	1,469,000	1,632,405	111%	1,345,531	286,874	950,289	65%
85	CSIP/SVRP Bond Debt Service	2B	303	9666	752,000	55,821	7%	57,070	(1,249)	62,910	8%
	Sub-Total CSIP/SVRP				3,108,000	3,282,437	106%	2,724,556	557,881	2,326,095	75%
	<b>Total Unassigned Reserves</b>				<b>7,618,000</b>	<b>7,697,340</b>		<b>6,075,945</b>	<b>1,621,394</b>	<b>5,018,522</b>	

Note: In the past we have used the terms " Unassigned", "Operating Reserves", "Carryover", and "Available Fund Balances" interchangeably

**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**Restricted/Assigned Reserve Report by Fund**  
 Through November 2012

PROGRAM NAME	ZONE	FUND	PROG	6/30/2012 ACTUAL RESTRICTED/ ASSIGNED RESERVES	6/30/2012 "BGT EST" RESTRICTED/ ASSIGNED RESERVES	6/30/2012 Actual Better/(Worse) than "Budget Estimate"	6/30/2012 BUDGET RESTRICTED/ ASSIGNED RESERVES
Canyon Del Rey Improvements Reserve		111		72,199	72,199	0	72,199
General Reserve	2	114		2,989	2,989	0	2,989
General Reserve	2A	115		524,099	524,099	0	524,099
Cloud Seeding Reserve	2C	116		125,000	0	125,000	0
Markeley Swamp Reserve	9	122		245,158	245,158	0	245,158
Sub-Total Excluding CSIP/SVRP/SVWP				969,445	844,445	125,000	844,445
SVWP Debt Service Fund	JPA	704		2,189,250	2,189,250	0	2,189,250
SVWP Revenue Fund (For next year bond payment)	2C	133		3,311,335	3,299,284	12,051	3,303,915
General Reserve (Hydro Stabilization)		111		1,908,859	2,212,781	(303,922)	2,212,781
						0	
MRWPCA CSIP Advances	2Y	131		618,647	618,647	0	618,647
MRWPCA SVRP Advances	2Z	132		851,774	851,774	0	851,774
CSIP Debt Service Reserve	2B	303		2,000,000	2,000,000	0	2,000,000
<b>Total Restricted/Assigned Reserves</b>				<b>11,849,310</b>	<b>12,016,181</b>	<b>(166,871)</b>	<b>12,020,812</b>

**MONTEREY REGIONAL WATER POLLUTION CONTROL AUTHORITY**  
**Reserve Report**

RESERVE DESCRIPTION	DATE	6/30/2012 ACTUAL	6/30/2013 BUDGET
<b>CSIP</b>			
Bureau of Reclamation Reserve Funds		302,692	304,194
Equipment/Major Repair Reserve Funds		202,998	416,026
<b>SVRP</b>			
State Revolving Loan Reserve Funds		502,119	504,612
Bureau of Reclamation Reserve Funds		157,394	158,175
Equipment/Major Repair Reserve Funds		322,615	746,529
<b>SVRP</b>			
Equipment/Major Repair Reserve Funds		0	100,280
<b>Total Reserves at MRWPCA (Excluding MRWPCA Advances by MCWRA shown in above section)</b>		<b>1,487,818</b>	<b>2,229,816</b>

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WATER RESOURCES AGENCY

MEMORANDUM

Monterey County

DATE: January 9, 2012

TO: Finance Committee

FROM: Wini Chambliss, Human Resources Manager

SUBJECT: Refurbishing Update

Painting, carpeting and roofing activities in the Richard Morgantini Building have finally been completed. Staff members are settling into their new environments and, by and large, most boxes have been unpacked. We anticipated completion of the project in four weeks; but, due to circumstances beyond our control, six weeks were required. The project ran smoothly, although there were two safety issues that required immediate attention and resolution.

With regard to financing, be reminded that pursuant to our lease, the Monterey County Office of Education assumed responsibility to fund the painting, carpeting and roofing of this facility. Ancillary expenditures associated with the refurbishment project were assumed by the Agency and funded by unexpended budget appropriated for recruitment to fill vacant positions. The following table details ancillary expenditures (which are final in most instances).

Portable Office Unit **	\$ 2600
Utility Hook up for Portable Office Unit	7406
Portable Storage Unit **	350
Blue Ribbon Movers	18,538
Partition Disassembly/Assembly	4142
Temporary Laborers	2355
Telephone Line Relocation	4301
Miscellaneous (cables, power cords, etc.)	819
New Podium	426
<b>TOTAL</b>	<b>\$ 40,937</b>

\*\*These expenditures have not yet been finalized.





**Purchase Order/Contracts in Excess of \$500.00  
 opened in the months of November /December 2012 and credit card purchases over  
 \$500.00 in November/December 2012**

**CONSENT**

<b>Vendor Description</b>	<b>PO/Contract</b>	<b>Zone</b>	<b>Amount</b>
<b>MONTEREY BAY SYSTEMS</b> Lease two copiers to replace two existing copiers, 36 mo lease; FY 12/13 payments	LPO 000000872	ADM	7,200.00
<b>FARWEST ELECTRIC</b> Electrical projects Nacimiento, San Antonio.	DO 0000003904	2C	5,000.00
<b>DELL</b> Additional hard drives for the server array Supersedes PO 00000003799	PO 0000003799	ADM	2,804.88
<b>QUINN COMPANY</b> Maintenance and repairs to D5 Dozer	PO 0000004163	ADM	2,500.00
<b>FULTON PACIFIC</b> Sand bags.	BPO 0000005537	ADM	5,000.00
<b>CPAC COMPUTERS</b> Printers (2)	PO 0000004193	ADM	3,076.26
<b>CREDIT CARD PURCHASE NOVEMBER/DECEMBER 2012</b>			
<b>CSC SALINAS</b> Hydraulic lines for butterfly valves		2C	1,415.93
<b>QUINN RENTAL SERVICES</b> Track loader & wheel loader rental for Hwy 101 culvert project		9	2,509.52
<b>UNITED RENTALS</b> Dump truck rental for Hwy 101 culvert project		9	1,628.72
<b>SALINAS VALLEY TRUCK</b> Freightliner routine preventative maintenance and 90 day BIT inspection		ADM	801.33
<b>SALINAS VALLEY TRUCK</b> Routine preventative maintenance Ford Boom truck and minor repair.		ADM	916.18
<b>QUINN RENTAL SERVICES</b> Dump truck rental for Castroville drainage project		9	582.56
<b>MY CHEVROLET</b> Light Vehicle maintenance and repair		ADM	9,684.68
Ford F-450 04E001 replace 3 injectors, and EGR valve + plumbing	2,706.43		
Ford F-450 04E004 replace transmission	4,907.32		
	<b>Total of Purchases</b>		<b>43,120.06</b>

Run No.	Temp. (°C)	Time (min)	Yield (%)	Notes
1001	100	10	85	Normal
1002	100	20	80	Normal
1003	100	30	75	Normal
1004	100	40	70	Normal
1005	100	50	65	Normal
1006	100	60	60	Normal
1007	100	70	55	Normal
1008	100	80	50	Normal
1009	100	90	45	Normal
1010	100	100	40	Normal
1011	100	110	35	Normal
1012	100	120	30	Normal
1013	100	130	25	Normal
1014	100	140	20	Normal
1015	100	150	15	Normal
1016	100	160	10	Normal
1017	100	170	5	Normal
1018	100	180	0	Normal
1019	100	190	0	Normal
1020	100	200	0	Normal
1021	100	210	0	Normal
1022	100	220	0	Normal
1023	100	230	0	Normal
1024	100	240	0	Normal
1025	100	250	0	Normal
1026	100	260	0	Normal
1027	100	270	0	Normal
1028	100	280	0	Normal
1029	100	290	0	Normal
1030	100	300	0	Normal
1031	100	310	0	Normal
1032	100	320	0	Normal
1033	100	330	0	Normal
1034	100	340	0	Normal
1035	100	350	0	Normal
1036	100	360	0	Normal
1037	100	370	0	Normal
1038	100	380	0	Normal
1039	100	390	0	Normal
1040	100	400	0	Normal
1041	100	410	0	Normal
1042	100	420	0	Normal
1043	100	430	0	Normal
1044	100	440	0	Normal
1045	100	450	0	Normal
1046	100	460	0	Normal
1047	100	470	0	Normal
1048	100	480	0	Normal
1049	100	490	0	Normal
1050	100	500	0	Normal
1051	100	510	0	Normal
1052	100	520	0	Normal
1053	100	530	0	Normal
1054	100	540	0	Normal
1055	100	550	0	Normal
1056	100	560	0	Normal
1057	100	570	0	Normal
1058	100	580	0	Normal
1059	100	590	0	Normal
1060	100	600	0	Normal
1061	100	610	0	Normal
1062	100	620	0	Normal
1063	100	630	0	Normal
1064	100	640	0	Normal
1065	100	650	0	Normal
1066	100	660	0	Normal
1067	100	670	0	Normal
1068	100	680	0	Normal
1069	100	690	0	Normal
1070	100	700	0	Normal
1071	100	710	0	Normal
1072	100	720	0	Normal
1073	100	730	0	Normal
1074	100	740	0	Normal
1075	100	750	0	Normal
1076	100	760	0	Normal
1077	100	770	0	Normal
1078	100	780	0	Normal
1079	100	790	0	Normal
1080	100	800	0	Normal
1081	100	810	0	Normal
1082	100	820	0	Normal
1083	100	830	0	Normal
1084	100	840	0	Normal
1085	100	850	0	Normal
1086	100	860	0	Normal
1087	100	870	0	Normal
1088	100	880	0	Normal
1089	100	890	0	Normal
1090	100	900	0	Normal
1091	100	910	0	Normal
1092	100	920	0	Normal
1093	100	930	0	Normal
1094	100	940	0	Normal
1095	100	950	0	Normal
1096	100	960	0	Normal
1097	100	970	0	Normal
1098	100	980	0	Normal
1099	100	990	0	Normal
1100	100	1000	0	Normal



# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 11-13-2012

LPO 9300 0000000872

**IMPORTANT**  
 THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<b>MONTEREY COUNTY</b> Monterey Bay Office Products Inc Monterey Bay Systems 27 Quail Run Circle  Salinas CA 93907	<b>S H I P T O</b> WATER RESOURCES AGENCY 893 BLANCO CIRCLE  SALINAS CA 93901-4455	<b>B I L L T O</b> WATER RESOURCES P O BOX 930  SALINAS CA 93902
VENDOR NUMBER: CV000001488		DELIVERY DATE:                      F.O.B.:

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
<p><b>PURCH DESC:</b> . This purchase order is issued to Monterey Bay Systems to provide 2 multifunction print/copy machines for the County Water Resources Agency Department for a period of 36 months. Machine Model Konica Minolta Bizhub C454 is physically located at 893 Blanco Circle, Salinas, California and costs \$252.28 per month. Machine model Konica Minolta Bizhub C654 is physically located at 893 Blanco Circle, Salinas, California and costs \$627.38 per month. This purchase order shall cover monthly machine costs from 11/16/2012 to 6/30/2013.</p> <p>This purchase order shall expire on 6/30/13 and a new purchase order may be issued after that time to continue the lease term.</p> <p>This purchase order shall not exceed \$7300.00.</p>							
1	0.0		98526		.00	.00	7,200.00
<p><b>COMM LINE DESC:</b> Copy Machine, Plain Paper Type (Incl Cost-Per-Copy Type Leas</p> <p>111 - 9300 - 8267 - WRA001 - 6821 - - - - - 7200.00</p>							
<b>ORDER TOTAL</b>							<b>7,200.00</b>

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY ORDER TOTAL 7,200.00

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

**TAX EXEMPTION INFORMATION:**  
 FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

**COUNTY BUYER INFORMATION**  
 Kristen Aldrich                      TELEPHONE: x4995  
 EMAIL: [aldrichk@co.monterey.ca.us](mailto:aldrichk@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
 DEPUTIZED PURCHASING AGENT

PRINT DATE: 12/06/12

CONTRACTS/PURCHASING DIVISION  
 168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1



# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 11-13-2012

DO 9300 0000003904

**IMPORTANT**  
THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<b>VENDOR</b>	FARWEST ELECTRIC CONTROL	<b>SHIP TO</b>	WATER RESOURCES AGENCY	<b>BILL TO</b>	WATER RESOURCES
	4511 ARTESIA DRIVE		893 BLANCO CIRCLE		P O BOX 930
	PASO ROBLES CA 93446		SALINAS CA 93901-4455		SALINAS CA 93902
VENDOR NUMBER: VC0000000157		DELIVERY DATE:		F.O.B.:	

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
				<p>PURCH DESC: PURCHASE ORDER VALID FOR FISCAL YEAR FOR WHICH THEY ARE ISSUED FROM MASTER AGREEMENT (RFP #10270) 01/01/2012-06/30/14. Individual projects shall not exceed \$10,000. Projects in excess of \$10,000 are considered Works of Public Improvement and are required to use the formal bid process.</p> <p>This purchase order is issued to Farwest Electrical Control to provide electric maintenance &amp; repair of Monterey County Water Resources Agency Hydro electrical facilities.</p> <p>This purchase order shall not exceed 10000.00 and expires 06/30/2013. If the County elects to continue the services of this agreement, a new purchase order will be issued after the expiration of this purchase order.</p>			
1	0.0		91082		.00	.00	5,000.00
				<p>COMM LINE DESC: Wirng &amp; Othr Electr Maint &amp; Repair Svc</p> <p>130 - 9300 - 8267 - WRA022 - 6311 - - - - - 5000.00</p>			
<b>ORDER TOTAL</b>							<b>5,000.00</b>

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

TAX EXEMPTION INFORMATION:  
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION  
Kristen Aldrich TELEPHONE: x4995  
EMAIL: [aldrichk@co.monterey.ca.us](mailto:aldrichk@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
DEPUTIZED PURCHASING AGENT

PRINT DATE: 12/06/12

CONTRACTS/PURCHASING DIVISION  
168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1



# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 11-13-2012

PO 9300 000004127

**IMPORTANT**

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<p><b>DELL MARKETING LP</b> 1 Dell Way Round Rock TX 78682</p>	<p><b>SHIP TO</b> INFORMATION TECHNOLOGY/ WAREHOUSE 1610 C MOFFETT ST ATTN:WAREHOUSE SALINAS CA 93905</p>	<p><b>BILL TO</b> WATER RESOURCES P O BOX 930 SALINAS CA 93902</p>
<p>VENDOR NUMBER: CV000001398</p>		<p>DELIVERY DATE:</p>
		<p>F.O.B.:</p>

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
				<p>PURCH DESC: Purchase of 6 (six) 2TB 7.2K RPM cabled Hard Drive from Dell This purchase order shall not exceed \$2803.64 and expires 06/30/2013 quote # 634729624 dtd 10/18/12 attached</p>			
1	6.0	EA	20491		431.19	200.50	2,787.64
				<p>COMM LINE DESC: hard drive EXTENDED DESC: hard drive</p>			
			111 - 9300 - 8267 - WRA001 - 6407 -				2787.64
2	0.0		96299		.00	1.24	17.24
				<p>COMM LINE DESC: Freight</p>			
			111 - 9300 - 8267 - WRA001 - 6407 -				17.24

**ORDER TOTAL** 2,804.88

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

**TAX EXEMPTION INFORMATION:**  
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

**COUNTY BUYER INFORMATION**  
Jaime Ayala TELEPHONE: (831)755-4998 x4998  
EMAIL: [ayalaj@co.monterey.ca.us](mailto:ayalaj@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
DEPUTIZED PURCHASING AGENT

PRINT DATE: 12/06/12

CONTRACTS/PURCHASING DIVISION  
168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1



# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 12-03-2012

PO 9300 000004163

**IMPORTANT**

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<p><b>QUINN COMPANY</b>  <b>QUINN POWER SYSTEMS</b>          PO Box 226789            Los Angeles CA 90022-0489</p>	<p><b>S H I P  T O</b></p> <p><b>WATER RESOURCES AGENCY</b>          893 BLANCO CIRCLE            SALINAS CA 93901-4455</p>	<p><b>B I L L  T O</b></p> <p><b>WATER RESOURCES</b>          P O BOX 930            SALINAS CA 93902</p>	
<p>VENDOR NUMBER: CV000001785</p>		<p>DELIVERY DATE:</p>	<p>F.O.B.:</p>

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
				<p>PURCH DESC: This purchase order is issued to Quinn Company to provide 1,000 hours maintenance on CAT D5G dozer, and additional repairs.</p> <p>THIS PURCHASE ORDER WILL EXPIRE 6/30/13.            IF THE COUNTY ELECTS TO CONTINUE DOING BUSINESS, A NEW PURCHASE ORDER WILL BE ISSUED AFTER 7/1/13.            This purchase order shall not exceed \$2,500.00</p>			
1	0.0		92935		.00	.00	2,500.00
				<p>COMM LINE DESC: Earth H&amp;Ing, Gradng, Movng, &amp; Packng Equip Maint &amp; Repair</p> <p>111 - 9300 - 8287 - WRA001 - 6321 - - - - - 2500.00</p>			

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY **ORDER TOTAL 2,500.00**

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

TAX EXEMPTION INFORMATION:  
 FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION  
 Jaime Ayala TELEPHONE: (831)755-4998 x4998  
 EMAIL: [ayalaj@co.monterey.ca.us](mailto:ayalaj@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
 DEPUTIZED PURCHASING AGENT

PRINT DATE: 12/06/12

CONTRACTS/PURCHASING DIVISION  
 168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1

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# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 11-13-2012

BPO 9300 0000005537

**IMPORTANT**

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<b>VENDOR</b> FULTON-PACIFIC COMPANY 1060 Piper Drive Vacaville CA 95688	<b>SHIP TO</b> WATER RESOURCES AGENCY 893 BLANCO CIRCLE SALINAS CA 93901-4455	<b>BILL TO</b> WATER RESOURCES P O BOX 930 SALINAS CA 93902
VENDOR NUMBER: CV000001307		DELIVERY DATE:
		F.O.B.:

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
1	0.0		08555	PURCH DESC: For the purchase of SANDBAGS WITH TIES is issued to FULTON PACIFIC. This purchase order shall not exceed \$5000.00 and expires 06/30/2013. If the County elects to continue the services of this agreement, a new purchase order will be issued after the expiration of this purchase order.  COMM LINE DESC: Bags, sand 111 - 9300 - 8267 - WRA001 - 6311 - - - - - 5000.00	.00	.00	5,000.00

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY **ORDER TOTAL** 5,000.00

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

**TAX EXEMPTION INFORMATION:**  
 FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

**COUNTY BUYER INFORMATION**  
 Jaime Ayala TELEPHONE: (831)755-4998 x4998  
 EMAIL: [ayalaj@co.monterey.ca.us](mailto:ayalaj@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
 DEPUTIZED PURCHASING AGENT

PRINT DATE: 12/06/12

CONTRACTS/PURCHASING DIVISION  
 168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1

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# COUNTY OF MONTEREY

## PURCHASE ORDER

ORDER DATE 12-07-2012

PO 9300 0000004193

**IMPORTANT**

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

VENDOR	CPACINC.COM	SHIP TO	INFORMATION TECHNOLOGY/ WAREHOUSE	BILL TO	WATER RESOURCES
	22700 Savi Ranch Parkway #B		1610 C MOFFETT ST		P O BOX 930
	Yorba Linda CA 92887		ATTN: WAREHOUSE		SALINAS CA 93902
			SALINAS CA 93905		
VENDOR NUMBER: CV000000493		DELIVERY DATE:		F.O.B.:	

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
PURCH DESC: This purchase order is issued to CPAC Computers Inc. for furnishing items per quote # 21155 dated 11/28/12. This purchase order shall not exceed \$3076.26 and expires 06/30/2013. If the County elects to continue the services of this agreement, a new purchase order will be issued after the expiration of this purchase order.							
(1)HP LaserJet Enterprise M602n Printer -B/W -laser -Legal, A4 -1200 dpi x 1200 dpi -up to 52 ppm -capacity: 600 CE991A#BGJ sheets -USB, 1000Base-T Extended service agreement -parts and labor -3 years -on-site -9x5 -N6D -for LaserJet Enterprise M602dn, M602n, M602x							
1	1.0	EA	20477		1,160.00	89.90	1,249.90
COMM LINE DESC: Pmtrs, Laser 111 - 9300 - 8267 - WRA001 - 6407 - - - - - - - - - - 1249.90							
(2)HP LaserJet Enterprise M603n Printer -B/W -laser -Legal, A4 -1200 dpi x 1200 dpi -up to 52 ppm -capacity: 600 CE991A#BGJ sheets -USB, 1000Base-T direct print USB Extended service agreement -parts and labor -3 years -on-site -9x5 -N6D -for LaserJet Enterprise M603dn, M603n, M603x							
2	1.0	EA	20477		1,695.00	131.36	1,826.36
COMM LINE DESC: Pmtrs, Laser 111 - 9300 - 8267 - WRA001 - 6407 - - - - - - - - - - 1826.36							

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY ORDER TOTAL 3,076.26

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at [http://www.co.monterey.ca.us/admin/terms\\_conditions.htm](http://www.co.monterey.ca.us/admin/terms_conditions.htm)

TAX EXEMPTION INFORMATION:  
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION  
Jaime Ayala TELEPHONE: (831)755-4998 x4998  
EMAIL: [ayalaj@co.monterey.ca.us](mailto:ayalaj@co.monterey.ca.us)

AUTHORIZED BY COUNTY OF MONTEREY  
DEPUTIZED PURCHASING AGENT

PRINT DATE: 01/04/13

CONTRACTS/PURCHASING DIVISION  
168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 1 OF 1

**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS - FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013	<b>AGENDA ITEM:</b>	
<b>AGENDA TITLE:</b>	CONSIDER RECOMMENDING APPROVAL OF BUDGET REVISION NO 3 TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FY 2012-13 BUDGET FOR VARIOUS FUNDS DUE TO TIMING DIFFERENCES OF EXPENDITURES BETWEEN FY 2011-12 AND FY 2012-13 AND CONTINGENCIES FOR HIGHER USBR LOANS INTEREST		
Consent ( )		Action ( X )	
Information ( )			
8906	David Chardavoine 755-4861	<b>PREPARED BY:</b> <b>PHONE:</b>	Peggy Schoolfield 755-8913
<b>DEADLINE FOR BOARD ACTION:</b>		January 28, 2013	

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of Budget Revision No. 3 to authorize the Auditor-Controller to amend the Monterey County Water Resources Agency's FY 2012-13 Budget for various Funds due to timing differences of expenditures between FY 2011-12 and FY 2012-13 and contingencies for higher USBR loans interest.

**PRIOR RELEVANT BOARD ACTION:**

The Agency's Preliminary FY 2012-13 Budget was approved by the Board of Directors following a public hearing on March 26, 2012. The Board of Supervisors approved the Agency's FY 2012-13 Budget in June 2012.

**DISCUSSION/ANALYSIS:**

In prior years the Agency appropriated estimate ending unassigned fund balances for the Budget. This policy was changed for the FY 2012-13 Budget and the County CAO reduced the Agency's budgeted appropriations by the estimated 6/30/2013 unassigned fund balance prior to approval by the Board of Supervisors. The purpose of Budget Revision No. 3 is to adjust expenditures in Funds and Programs to appropriate additional amounts that are required due to timing differences in expenditures and variable interest contingency amounts for the USBR loan payments that may be needed.

Pursuant to the U.S. Bureau of Reclamation (USBR) loan contracts for the Castroville Seawater Intrusion Project and the Salinas Valley Reclamation Project, annual interest on outstanding loan principal is calculated as follows: total Excess Land acreage in the project service area divided by total acreage in the project service area capable of receiving project irrigation water times 7.625 simple interest rate. Excess Land is the acreage of land owned in the project service area by one private entity in excess of 320 acres. Excess Land acreage is tallied each year and used to calculate the annual USBR loan interest as described above. When change in land ownership occurs such that one entity owns more than 320 acres, the interest rate changes accordingly. This method of interest rate calculation occurs for delivery of irrigation water only. If municipal or industrial uses of project water occur, as defined in the loan contracts, the interest rate on loan principal is computed differently. There have been no municipal or industrial uses of project

water from project inception and the above method is how USBR loan interest has been calculated from project inception. [Reference Articles 1 and 9 of the USBR loan contracts]

Attachment 1 summarizes the budget revisions needed by Fund and Program. Attachment 2 shows the variance between the actual and estimated 6/30/12 Unassigned Fund Balances.

<b>FINANCIAL IMPACT:</b>	<b>YES ( X )</b>	<b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Unassigned fund balances	
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>	Finance Committee January 18, 2013	
<b>ATTACHMENTS:</b>	1. Summary of Budget Revision No. 3 Changes 2. Actual vs. Estimated 6/30/12 unassigned fund balances	
<b>APPROVED:</b>	<hr/> <b>Interim General Manager</b> <span style="float: right;"><b>Date</b></span>	

Attachment #1  
**MONTEREY COUNTY WATER RESOURCES AGENCY**  
**FY 2011 - 2012 Budget**  
**Budget Revision # 3**

Ln #	Program Name	Zone	Fund	Prog	Budget	Reason For Budget Revision
					Expenditure Increase	
<u>Countywide</u>						
2	Flood Plain Management & Land Use Planning	CW	113	9030	\$7,095	Consultant delayed - One Rain
3	ALERT Syst Operation & Maint/Flood Monitoring	CW	113	9035	37,000	Grant consultant delayed - Whitson Engineers
14	Total Countywide				44,095	
<u>Zone 2C Operations</u>						
47	Nacimiento Dam Operation & Maintenance	2C	116	9910	\$12,662	Consultants delayed - E-2 Consulting, Monterey County Surveyors, Whitson Engineers
49	San Antonio Dam Operation & Maintenance	2C	116	9920	28,667	Consultants delayed - AECOM, Monterey County Surveyors
52	Salinas River Channel	2C	116	9935	63,286	Consultant delayed - Cardno Entrix
53	Salinas River Mouth	2C	116	9940	50,624	Consultants delayed- Hagar, Lee & Pierce, Schaaf & Wheeler
54	Reservoir Oper Hydrology & Water Quality Prog.	2C	116	9945	950	Consultant delayed - Environmental Microanalysis
56a	Reimbursable from County (Fuel clean-up)	2C	116	9956	1,476	Consultant delayed - Georestation
60	Total 2C Operations				\$157,665	
79a	Salinas River Diversion Facility O&M		134	9988	\$4,257	Consultant delayed - E-2 Consulting
80	Lower Salinas River Channel	3	117	9620	\$30,000	Consultant delayed- Entrix - Channel maintenance
83	CSIP Operation & Maintenance	2B	131	9643	\$56,931	Consultants delayed - Salinas Pump, Welenco
84	SVRP Operations & Loan Payments	2Y	132	9644	\$40,000	Contingency for USBR loan variable interest increase
85	CSIP Debt Service Fund	2B	303	9666	\$60,000	Contingency for USBR loan variable interest increase
87	Soledad Storm Drain - Bryant Canyon Project	8	121	9700	\$45,000	Consultants delayed - Entrix - Channel maintenance, Schaaf & Wheeler
88	Reclamation Ditch Operation & Maintenance	9	122	9720	\$17,087	Consultant delayed - Schaaf & Wheeler
95	San Lorenzo Creek	12	124	9760	\$30,000	Consultant delayed- Entrix - Channel maintenance
99	Storm Drain Maint. District # 2 (Blanco Drain)	S2	128	9860	\$541	Consultant delayed - Schaaf & Wheeler
100	Gonzales Slough Maintenance District	GS	129/25	9870	\$10,000	Consultant delayed- Entrix - Channel maintenance
101	Nacimiento Hydroelectric Plant	HY	130/26	9890	\$97,347	Consultant delayed - E-2 Consulting, Fixed Asset delayed CAISO meter
<b>Total Budget Revision</b>					<b>\$592,923</b>	

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## Monterey County Water Resources Agency

## Explanations of Major Variances between Actual and Estimated 6/30/12 Unreserved Fund Balances

Fund	Actual Unreserved Fund Balance 7/1/2012	Estimated Unreserved Fund Balance 7/1/2012	Variance Increase/ (Decrease)	Explanation of Major Variances
Fund 111	1,908,859	2,212,781	(303,922)	Increased use of reserve in FY 2011-12 due to hydroelectric shortfall
Fund 111	1,201	0	1,201	
Fund 112	307,861	265,496	42,365	Delayed tree trimming consultant \$40k
Fund 113	59,090	58,374	716	<b>Timing difference in Grant revenue and associated consultant expenditure</b>
Fund 114	296,190	138,827	157,363	Lower labor charges \$122k, higher revenue \$34k
Fund 115	116,244	98,812	17,432	Mainly due to lower labor charges
Fund116	1,062,147	1,071,897	(9,750)	
				Revenue reimbursement from County for fuel clean-up not received in FY 11-12 was \$233k in estimate, <b>timing difference in delayed consultant expenditures \$158k</b> , other consultant savings \$88
2C Ops	767,029	732,805	34,224	
2C CAMP	1,631	1,631	0	
2C Admin	293,487	337,461	(43,974)	Higher labor and legal expenditures
Fund 133	3,311,335	3,299,284	12,051	
Fund 134	562,548	381,272	181,276	Savings in PCA expenditures \$461, other expenditure savings \$35k, delayed Prop 50 grant revenue 319k, <b>timing difference in delayed consultant expenditures \$4k</b>
Fund 117	118,152	79,285	38,867	<b>Timing difference in delayed consultant expenditures \$30k</b>
Fund 118	6,379	3,562	2,817	
Fund 119	604,386	580,075	24,311	
Fund 131	989,825	741,880	247,945	<b>Timing difference in delayed consultant expenditures \$57k</b> , lower PCA costs \$80k, higher labor cost \$133k, transfer from PCA equipment replacement reserve \$200k
Fund 132	1,632,405	1,345,531	286,874	Transfer from PCA equipment replacement reserve \$200k. <b>Increase in budget needed to allow for higher USBR loan interest due to excess lands calculation - \$40k</b>
Fund 303	55,821	57,070	(1,249)	<b>Increase in budget needed to allow for higher USBR loan interest due to excess lands calculation - \$60k.</b>
Fund 303	2,000,000	0	2,000,000	The \$2 million CSIP rate stabilization reserve was reclassified as unrestricted fund balance in FY 2011-12
Fund 120	9,733	1,862	7,871	Lower labor and hydrological fee revenue
Fund 121	143,948	66,966	76,982	<b>Timing difference in delayed consultant expenditures \$45k</b> , lower labor \$18k
Fund 122	887,692	564,170	323,522	<b>Timing difference in delayed consultant expenditures \$17k</b> , lower labor \$222k
Fund 123	37,453	37,226	227	
Fund 124	36,479	3,379	33,100	<b>Timing difference in delayed consultant expenditures \$30k</b>
Fund 125	720	727	(7)	
Fund 126	70,229	65,794	4,435	
Fund 127	328,711	284,056	44,655	Lower labor charges \$36k
Fund 128	119,437	117,023	2,414	<b>Timing difference in delayed consultant expenditures \$541</b>
Fund 129	10,192	343	9,849	<b>Timing difference in delayed consultant expenditures \$10k</b>
Fund 130	237,816	139,510	98,306	<b>Timing difference in delayed consultant expenditures \$97k</b>
Fund 425	2,681	0	2,681	

**Bolded items represent needed budget adjustments**

**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS – FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013		<b>AGENDA ITEM:</b>
<b>AGENDA TITLE:</b>	CONSIDER RECOMMENDING APPROVAL OF A BUDGET AMENDMENT TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 FUND 111 (ADMINISTRATION FUND) BUDGET TO RECOGNIZE THE UTILIZATION OF FUNDS HELD IN THE CANYON DEL REY IMPROVEMENT RESERVE. <ul style="list-style-type: none"> <li>a. INCREASE APPROPRIATION IN FUND 111 (ADMINISTRATION), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA001, ACCOUNT 6835 (OTHER SPECIAL DEPARTMENTAL EXPENSE) BY \$60,000 (4/5<sup>TH</sup> VOTE REQUIRED) AND,</li> <li>b. DECREASE CANYON DEL REY IMPROVEMENT RESERVE IN FUND 111 (ADMINISTRATION FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA001, ACCOUNT 3066 (OTHER RESERVES) BY \$60,000, (4/5<sup>TH</sup> VOTE REQUIRED)</li> </ul>		
Consent ( )                      Action ( X )                      Information ( )			
<b>SUBMITTED BY:</b>	ROBERT JOHNSON	<b>PREPARED BY:</b>	Robert Johnson
<b>PHONE:</b>	831.755.4860	<b>PHONE:</b>	831.755.4860
<b>DEADLINE FOR BOARD ACTION:</b>	January 28, 2013		

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of the budget amendment to authorize the Auditor-Controller to amend the Monterey County Water Resources Agency's Fiscal Year 2012-2013 Fund 111 (Administration Fund) budget to recognize the utilization of funds held in the Canyon Del Rey Improvement reserve.

- a) Increase appropriation in Fund 111 (Administration), Dept. 9300, Unit 8267, Appropriation Unit WRA001, Account 6835 (Other Special Departmental Expense) by \$60,000 (4/5<sup>th</sup> vote required), and
- b) Decrease Canyon Del Rey Improvement Reserve in Fund 111 (Administration Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA001, Account 3066 (Other Reserves) by \$60,000, (4/5<sup>th</sup> vote required)

**PRIOR RELEVANT BOARD ACTION:**

- March 26, 2007 – the Monterey County Water Resources Agency (Agency) Board of Directors (Board) approved an agreement with the District to reimburse up to \$61,000 of their costs to update the Canyon Del Rey Master Drainage Plan.
- December 3, 2012 – the Board approved an agreement with the Monterey Peninsula Water Management District (District) to reimburse up to \$60,000 for costs to update the Canyon Del Rey Master Drainage Plan.



**DISCUSSION/ANALYSIS:**

The Agency and District have joint responsibility for managing the water resources of the Canyon Del Rey Creek watershed. Canyon Del Rey Creek flows along Highways 68 and 218 from upstream of Laguna Seca into Monterey Bay. In 1977, the Agency completed the Canyon Del Rey Master Drainage Plan. The plan included hydrologic and hydraulic analyses, and it made recommendations for future improvements to protect developed areas and roads from flooding by a 100-year recurrence interval storm. Since 1977, significant changes have occurred in the watershed including the development of residential and commercial property adjacent to Highways 68 and 218.

The District secured \$215,800 in grant funding from the California Department of Water Resources after the Board approved the previous reimbursement agreement in 2007. The grant funding will allow for a more comprehensive update of the Canyon Del Rey Master Drainage Plan. Under the updated reimbursement agreement, the Agency will provide up to \$60,000 in cash to the District for consultant costs. The District will provide \$12,000 in in-kind services. Together, the Agency and District contributions satisfy the 25% local match grant requirement for a \$287,800 project.

The project will be funded from Fund 111 – Reimburse Other Government Agencies. The Agency will use a portion of the development charges collected since 1977 to reimburse the District for consultant costs up to \$60,000, and reimbursement will be subject to an Agency finding that deliverables for each subtask have been completed satisfactorily.

<b>FINANCIAL IMPACT:</b>	<b>YES ( X ) Not-to-Exceed \$60,000</b> <b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Fund 111 – Canyon Del Rey Improvement Reserve
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>	
<b>ATTACHMENTS:</b>	1. DRAFT Board Order
<b>APPROVED:</b>	<hr/> <b>Interim General Manager</b> <b>Date</b>

**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS – FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013		<b>AGENDA ITEM:</b>
<b>AGENDA TITLE:</b>	<p>CONSIDER RECOMMENDING APPROVAL OF A BUDGET AMENDMENT TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 BUDGET AUTHORIZING A \$100,000 TRANSFER FROM FUND 114 (NACIMIENTO NON-O&amp;M FUND) TO FUND 113 (COUNTYWIDE FUND) TO COVER INCREASED LABOR CHARGES AND A \$100,000 FROM FUND 116 (ZONE 2C DAM OPERATIONS FUND) TO PARTIALLY COVER UNBUDGETED REPAIRS AT THE NACIMIENTO DAM. DECREASE THE BUDGETED TRANSFER FROM FUND 114 (NACIMIENTO NON-O&amp;M FUND) TO FUND 134 (SALINAS RIVER DIVERSION FACILITY) BY \$200,000. APPROPRIATE AN ADDITIONAL AMOUNT OF \$89,000 TO COVER FUND 116 EMERGENCY REPAIRS FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE.</p> <ul style="list-style-type: none"> <li>a. INCREASE APPROPRIATION IN FUND 113 (COUNTYWIDE FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 6835 (OTHER SPECIAL DEPARTMENTAL EXPENSE) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED) , AND</li> <li>b. INCREASE ESTIMATED REVENUES IN FUND 113 (COUNTYWIDE FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED) , AND</li> <li>c. INCREASE APPROPRIATION FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 6613 (OTHER PROFESSIONAL AND SPECIAL SERVICES) BY \$189,000, OF WHICH \$89,000 IS FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE ACCOUNT 3100 (4/5<sup>TH</sup> VOTE REQUIRED) , AND</li> <li>d. INCREASE ESTIMATED REVENUES IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$100,000 (4/5<sup>TH</sup> VOTE REQUIRED) , AND</li> <li>e. DECREASE ESTIMATED REVENUES IN FUND 134 (RIVER DIVERSION FACILITY FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA028, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$200,000 FINANCED BY AVAILABLE UNASSIGNED FUND BALANCE ACCOUNT 3100. (4/5<sup>TH</sup> VOTE REQUIRED)</li> </ul>		
<p><b>Consent ( )                      Action ( X )                      Information ( )</b></p>			
<b>SUBMITTED BY:</b>	ROBERT JOHNSON	<b>PREPARED BY:</b>	Robert Johnson
<b>PHONE:</b>	831.755.4860	<b>PHONE:</b>	831.755.4860
<b>DEADLINE FOR BOARD ACTION:</b>	January 28, 2013		

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of a budget amendment to authorize the Auditor-Controller to amend the Monterey County Water Resources Agency's Fiscal Year 2012-2013 budget authorizing a \$100,000 transfer from Fund 114 (Nacimiento non-O&M fund) to Fund 113 (Countywide fund) to cover increased labor charges and a \$100,000 from Fund 116 (Zone 2C Dam Operations fund) to partially cover unbudgeted repairs at the

Nacimiento Dam. Decrease the budgeted transfer from Fund 114 (Nacimiento non-O&M fund) to Fund 134 (Salinas River Diversion Facility) by \$200,000. Appropriate an additional amount of \$89,000 to cover Fund 116 emergency repairs financed by available unassigned fund balance.

- a) Increase appropriation in Fund 113 (Countywide fund), Dept. 9300, Unit 8267, Appropriation Unit WRA003, account 6835 (Other Special Departmental Expense) by \$100,000 (4/5<sup>th</sup> vote required) , and
- b) Increase estimated revenues in Fund 113 (Countywide fund), Dept. 9300, Unit 8267, Appropriation Unit WRA003, Account 5940 (Operating Transfers In) by \$100,000 (4/5<sup>th</sup> vote required) , and
- c) Increase appropriation Fund 116 (Zone 2C Operations fund), Dept. 9300, Unit 8267, Appropriation Unit WRA006, Account 6613 (Other Professional and Special Services) by \$189,000, of which \$89,000 is financed by available unassigned fund balance Account 3100 (4/5<sup>th</sup> vote required) , and
- d) Increase estimated revenues in Fund 116 (Zone 2C Operations fund), Dept. 9300, Unit 8267, Appropriation Unit WRA006, Account 5940 (Operating Transfers In) by \$100,000 (4/5<sup>th</sup> vote required) , and
- e) Decrease estimated revenues in Fund 134 (River Diversion Facility fund), Dept. 9300, Unit 8267, Appropriation Unit WRA028, Account 5940 (Operating Transfers In) by \$200,000 financed by available unassigned fund balance Account 3100. (4/5<sup>th</sup> vote required)

**PRIOR RELEVANT BOARD ACTION:**

March 2012 – the Monterey County Water Resources Agency (Agency) Board of Directors (Board) approved the Agency Fiscal Year 2012-13 Budget, which contained the original configuration of the monies being reassigned

**DISCUSSION/ANALYSIS:**

Increased labor charges in the Agency’s Countywide Fund 113 have required the reassignment of fund balance from Fund 114 to Fund 113. The funds were initially planned to go to Fund 134 to cover fish-monitoring consultant costs. Also, funds need to be reassigned to cover unanticipated unbudgeted repairs at Nacimiento Dam.

<b>FINANCIAL IMPACT:</b>	<b>YES ( )</b>	<b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Fund 114, and 116	
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>		
<b>ATTACHMENTS:</b>		
<b>APPROVED:</b>		
	_____ <b>Interim General Manager</b>	_____ <b>Date</b>

**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS – FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013		<b>AGENDA ITEM:</b>
<b>AGENDA TITLE:</b>	<p>CONSIDER RECOMMENDING APPROVAL OF A BUDGET TO AUTHORIZE THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 BUDGET TO RECOGNIZE FUEL SPILL COST REIMBURSEMENT OF \$335,556 FROM THE COUNTY RISK POOL AND AUTHORIZE A TRANSFER OF \$335,556 FROM FUND 116 (ZONE 2C OPERATIONS FUND) TO FUND 115 (ZONE 2A NON-O&amp;M FUND) TO COVER FY 2012-13 FISH MONITORING CONSULTANT COSTS.</p> <p>a. INCREASE APPROPRIATION IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 7614 (OPERATING TRANSFERS OUT) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND</p> <p>b. INCREASE ESTIMATED REVENUES IN FUND 116 (ZONE 2C OPERATIONS FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5870 (MISCELLANEOUS REVENUES-INSURANCE RECOVERIES) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND</p> <p>c. INCREASE APPROPRIATION FUND 115 (ZONE 2A NON-O&amp;M FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA005, ACCOUNT 6613 (OTHER PROFESSIONAL AND SPECIAL SERVICES) BY \$335,556 (4/5<sup>TH</sup> VOTE REQUIRED), AND</p> <p>d. INCREASE ESTIMATED REVENUES IN FUND 115 (ZONE 2A NON-O&amp;M FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA006, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$335,556. (4/5<sup>TH</sup> VOTE REQUIRED)</p>		
<p>Consent ( )                      Action ( X )                      Information ( )</p>			
<b>SUBMITTED BY:</b>	ROBERT JOHNSON	<b>PREPARED BY:</b>	Robert Johnson
<b>PHONE:</b>	831.755.4860	<b>PHONE:</b>	831.755.4860
<b>DEADLINE FOR BOARD ACTION:</b>	January 28, 2013		

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of a budget amendment to authorize the Auditor-Controller to amend the Monterey County Water Resources Agency's Fiscal Year 2012-2013 budget to recognize fuel spill cost reimbursement of \$335,556 from the County Risk Pool and authorize a transfer of \$335,556 from Fund 116 (Zone 2C Operations Fund) to Fund 115 (Zone 2A Non-O&M Fund) to cover FY 2012-13 fish monitoring consultant costs.

- a. Increase appropriation in Fund 116 (Zone 2C Operations Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA006, Account 7614 (Operating Transfers Out) by \$335,556 (4/5<sup>th</sup> vote required), and
- b. Increase estimated revenues in Fund 116 (Zone 2C Operations Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA006, Account 5870 (Miscellaneous Revenues-Insurance recoveries) by \$335,556 (4/5<sup>th</sup> vote required), and

- c. Increase appropriation Fund 115 (Zone 2A Non-O&M Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA005, Account 6613 (Other Professional and Special Services) by \$335,556 (4/5<sup>th</sup> vote required), and
- d. Increase estimated revenues in Fund 115 (Zone 2A Non-O&M Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA006, Account 5940 (Operating Transfers In) by \$335,556. (4/5<sup>th</sup> vote required)

**PRIOR RELEVANT BOARD ACTION:**

- March 2012 – the Monterey County Water Resources Agency (Agency) Board of Directors (Board) approved the Agency budget, though there were insufficient funds for fish monitoring consultant costs.
- August 2012 – the Board approved an agreement between the Agency and the County for fuel spill cost reimbursement, including an initial payment of \$335,556 to cover what the Agency had previously expended that had not been previously reimbursed.

**DISCUSSION/ANALYSIS:**

Fish monitoring is required as a part of the Salinas Valley Water Project (SVWP) permit. The Agency agreed to perform the fish monitoring duties, though the work agreed to is larger in scope than anticipated, and resources to perform this work have been challenging to secure. The first two-plus years of fish monitoring has been covered with grant funds.

This budget adjustment utilizes funds being reimbursed to the Agency for the fish monitoring consultant work for this fiscal year. A sustainable funding strategy for fish monitoring needs to be developed for the future.

<b>FINANCIAL IMPACT:</b>	<b>YES ( X )</b>	<b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Reimburse Fund 116, then transfer to Fund 115	
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>		
<b>ATTACHMENTS:</b>		
<b>APPROVED:</b>		
	_____ Interim General Manager	_____ Date



**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS - FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013	<b>AGENDA ITEM:</b>	
<b>AGENDA TITLE:</b>	CONSIDER RECOMMENDING APPROVAL OF A DRY PERIOD LOAN OF \$1,035,000 FROM THE COUNTY OF MONTEREY GENERAL FUND POOL CASH FUND TO THE MONTEREY COUNTY WATER RESOURCES AGENCY TO PROVIDE INTERIM FINANCING FOR THE PAJARO RIVER BENCH EXCAVATION PROJECT PHASE 1; AND RATIFY THE INTERIM GENERAL MANAGER'S EXECUTION OF THE AGREEMENT		
Consent ( )		Action ( X )	
Information ( )			
8906	David Chardavoynne 755-4861	<b>PREPARED BY: PHONE:</b>	David Chardavoynne 755-4861
<b>DEADLINE FOR BOARD ACTION:</b>		January 28, 2013	

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of a dry period loan of \$1,035,000 from the County of Monterey General Fund Pool Cash Fund to the Monterey County Water Resources Agency to provide interim financing for the Pajaro River Bench Excavation Project Phase 1, and ratify the Interim General Manager's execution of the agreement.

<b>FINANCIAL IMPACT:</b>	<b>YES ( X )</b>	<b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Fund 112	
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>	Finance Committee January 18, 2013	
<b>ATTACHMENTS:</b>	1.	
<b>APPROVED:</b>	<hr/> <div style="display: flex; justify-content: space-between;"> <span>Interim General Manager</span> <span>Date</span> </div>	



**REQUEST AND CERTIFICATION FOR TEMPORARY TRANSFER OF FUNDS**

(As allowed by California Constitution, Article 16, Section 6)

To the Board of Supervisors  
County of Monterey  
Salinas, California

The governing board of the Monterey County Water Resources Agency hereby requests a temporary transfer of funds to the general fund of the aforementioned agency in the amount of \$ 1,035,000 to be used for maintenance and operation purposes. No part of this loan will be invested, if granted. It is understood and agreed that this loan will bear interest at a rate equivalent to the average rate currently earned on deposits in the County Treasury.

FISCAL YEAR ENDING JUNE 30, 2013

I. Budgeted Maintenance Operations Expenditures:	
a. Salaries and Benefits	\$ <u>0</u>
b. Services and Supplies	<u>1,035,000</u>
Total Budgeted Expenditures	\$ <u>1,035,000</u>

*David E. Chardaroyne*  
Agency Representative

**CERTIFICATION BY COUNTY AUDITOR-CONTROLLER**

(To be completed by Auditor-Controller's Office)

Anticipated Revenue

a. Taxes	\$ <u>1,561,698</u>
b. Money and Property	<u>114,355</u>
c. Aid from Other Agencies	<u>167,700</u>
d. Charges for Current Services	<u>16,108,285</u>
e. Other Revenue	<u>790,000</u>
f. Total Anticipated Revenue	<u>19,647,038</u>
g. Less Revenue received to date	<u>015,442</u>
h. Total Revenue not received	<u>18,481,596</u>

Amount available for Transfer (85% of line h)

\$ 15,709,356

MICHAEL J. MILLER, Auditor-Controller

By: *Michael J. Miller*

**CERTIFICATION BY COUNTY TREASURER**

As of \_\_\_\_\_, sufficient funds are available in the County Treasury to effect this transfer.

MARY A. ZEBB, Treasurer

By: \_\_\_\_\_



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**MONTEREY COUNTY WATER RESOURCES AGENCY  
BOARD OF DIRECTORS – FINANCE COMMITTEE**

<b>MEETING DATE:</b>	January 18, 2013		<b>AGENDA ITEM:</b>
<b>AGENDA TITLE:</b>	CONSIDER RECOMMENDING APPROVAL OF AN AGREEMENT NOT-TO-EXCEED \$33,330 FOR AN AUDIT OF THE MONTEREY REGIONAL WATER POLLUTION CONTROL AGENCY FOR VERIFICATION OF COMPLIANCE WITH THE EXISTING JUNE 16, 1992 AGREEMENT, AS AMENDED, INCLUDING REVIEW OF EXISTING RESERVE FUND MANAGEMENT PROTOCOL; AND AUTHORIZING THE INTERIM GENERAL MANAGER TO EXECUTE THE AGREEMENT		
<b>Consent ( )                      Action ( X )                      Information ( )</b>			
<b>SUBMITTED BY:</b>	ROBERT JOHNSON	<b>PREPARED BY:</b>	Robert Johnson
<b>PHONE:</b>	831.755.4860	<b>PHONE:</b>	831.755.4860
<b>DEADLINE FOR BOARD ACTION:</b>	January 28, 2013		

**RECOMMENDED BOARD ACTION:**

Consider recommending approval of an agreement not-to-exceed \$33,330 for an audit of the Monterey Regional Water Pollution Control Agency for verification of compliance with the existing June 16, 1992 Agreement, as amended, including review of existing reserve fund management protocol; and authorizing the Interim General Manager to execute the agreement.

**PRIOR RELEVANT BOARD ACTION:**

None

**DISCUSSION/ANALYSIS:**

The Auditor-Controller’ Office has elected to conduct an audit of the Monterey Regional Water Pollution Control Agency (MRWPCA), as provided for pursuant to Paragraph 7.04 of the June 16, 1992 Agreement (Agreement) between the MRWPCA and the Agency, to provide verification of compliance with the Agreement, as amended, and to review management protocol for reserve funds. The local firm of Hayashi & Wayland Accounting and Consulting, LLP has been selected to perform the audit. The audit will cover the time period 2011 to June 30, 2012 and focus on:

- An accuracy review and reporting of user fee calculation, collection and remittances,
- Testing and reporting on compliance with certain provisions of certain agreements and related Bond Indentures,
- A reporting on all Reserve Account Transactions, and
- An evaluation, testing and reporting on any material weaknesses in internal controls as they relate to compliance with the provisions of the applicable agreements.

The audit will be performed in the February / March 2013 timeframe, at a cost not-to-exceed \$33,330. Please see the attached proposal for details regarding the work tasks, schedule and proposed budget.

<b>FINANCIAL IMPACT:</b>	<b>YES ( X ) Not-to-Exceed \$33,330</b> <b>NO ( )</b>
<b>FUNDING SOURCE:</b>	Fund 131 – CSIP Operations; Fund 132 – SVRP Operations
<b>COMMITTEE REVIEW AND RECOMMENDATION:</b>	
<b>ATTACHMENTS:</b>	1. Hayashi & Wayland Proposal letter
<b>APPROVED:</b>	
	<hr/> <b>Interim General Manager</b> <span style="float: right;"><b>Date</b></span>

**County of Monterey**

December 18, 2012

**Proposal to Provide:  
Agreed Upon Procedures For  
The WRA and MRWPCA**

**For Time Period:  
June 30, 2012 and 2011**

**Hayashi & Wayland Accounting & Consulting, LLP**

1188 Padre Drive, Suite 101, Salinas, California 93902  
(p) 831.759.6300 (f) 831.759.6380 (web) [www.hw-cpa.com](http://www.hw-cpa.com)





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December 18, 2012

Ron Holly  
Chief Deputy Auditor-Controller  
Monterey County Government Center  
168 West Alisal St, Third Floor  
Salinas, CA 93901

Dear Mr. Holly,

Thank you for considering Hayashi & Wayland Accounting & Consulting, LLP for the agreed upon procedures engagement to be performed on the Monterey Regional Water Pollution Control Agency (MRWPCA) on behalf of the Monterey County Water Resources Agency (WRA). We are pleased to respond to your request to submit our proposal and are confident we can provide you with the quality services you seek. We currently provide services to over 75 not-for-profit and governmental agencies, the most of any firm located in Monterey County.

The individuals who will be responsible for this engagement should you select us, include myself and Therese Courtney, CPA and Senior Manager. Together we bring you over 35 years combined experience and expertise in auditing, accounting and consulting for state and local government agencies. Our expertise includes performing other agreed upon procedures engagements for the County the most recent being the agreed upon procedures performed for the redevelopment agencies of the Cities of Monterey, Gonzales, Greenfield and Sand City in June 2012. In that engagement we demonstrated our ability to perform high quality work as well as to meet the requested deadlines to report back to the Auditor Controller's office at the County.

We have read through your request for proposal and the eight contracts between the WRA and MRWPCA in addition to discussing the goals of the agreed upon procedures engagement with Mike Miller, David Chardavoyne and yourself in our meeting on December 17, 2012. We are confident that our planned response to complete the agreed upon procedures can be done in a thorough and efficient manner and will be completed within three to four months or less from the date of the awarded contract with the proper support and availability from the management of MRWPCA. We understand that the scope of the procedures may change based upon our further discussions with you and the Board of the WRA and believe we can change our approach and procedures to accomplish these changes. Our close proximity of our office in Salinas will allow for convenience for scheduling meetings and performing the agreed upon procedures.

We appreciate the opportunity to propose our services and will be pleased to provide any additional information you may request.

Sincerely,



Michael B. Nolan, CPA

Audit Partner (Authorized firm representative able to sign and contractually obligate the firm)  
Hayashi & Wayland Accounting & Consulting, LLP, 1188 Padre Drive, Ste. 101, Salinas, CA 93908  
(Phone) 831.759.6324

## Summary of Proposer's Qualification

### Engagement Team

Michael Nolan will be the partner responsible for the direction and coordination of our services. Michael is the partner in charge of the firm's audit department and he has extensive experience in auditing state and local government entities and the single audit requirements. There's Courtney will be the senior manager on the engagement. There's has over twenty years of diverse experience in accounting, including experience with not-for-profit, single audit and state and local government entities. She works on most of our larger state and local government audits and she will bring valuable experience to the engagement.

We would also assign to the engagement qualified staff auditors as needed to perform the services on a timely basis and in a professional manner.

In addition, the McGladrey Network has an excellent Governmental Accounting Unit that support our local efforts. We have consulted with them on several occasions, and find their expertise to be invaluable to both us and our clients.

## Proposer's Approach to the Examination

1) Review and report on the accuracy of calculations, collections, and remittances of user fees.

### Procedures:

- a) Document policies and procedures
- b) Test a statistical sample of 65 user transactions for the two year period ended June 30, 2012, based on an estimated population of 100,000 users, which assures a 95% reliability with a 5% maximum margin of error.
- c) Date of work - Work to be performed in February/March 2013.
- d) Estimated time - 46 to 51 hours
- e) Staff level - Senior manager and Associate

2) Test and report on compliance with certain provisions of certain Memorandums of Understanding and related Bond Indentures.

### Procedures:

- a) Obtain understanding of the budget content and approval requirements established by the MOUs and determine compliance.
- b) Date of work - Work to be performed in February/March 2013.
- c) Estimated time - 30 to 35 hours
- d) Staff level - Senior Manager

3) Report on all Reserve Account Transactions.

Procedures:

- a) Determine under what authority the accounts were established, where the funds are held, the signatory authority and disbursement approval process.
- b) List each receipt and disbursement in each of the accounts, citing the date and the source of receipts and recipient of disbursements from the accounts for each fiscal year.
- c) Test compliance with provisions of the Bond Indentures applicable to certain of those reserves.
- d) Date of work - Work to be performed in February/March 2013.
- e) Estimated time – 30 to 35 hours
- f) Staff level - Senior manager

4) Evaluate, test and report on any material weaknesses in internal controls as they relate to compliance with the provisions of the MOUs.

Procedures:

- a) Obtain understanding of controls.
- b) Test the adequacy of controls, selecting a sample of 5 to 10 transactions.
- c) Prepare written report with any identified internal control weaknesses and recommendations for how to remediate.
- d) Date of work - Work to be performed in February/March 2013.
- e) Estimated time – 47 hours
- f) Staff level - Senior and manager and senior associate



**Cost Proposal**

Agreed Upon Procedures Segment	Position Title	Rate	Range in # of Hours	Cost
1. Review and report on calculations, collections, and remittance of user fees.	Senior Manager	\$200	30 to 35	\$ 6,000-\$7,000
	Associate	\$95	16	\$1,520
2. Test and report on compliance with certain provisions of the MOU and Bond Indentures.	Senior Manager	\$200	30 to 35	\$ 6,000-\$7,000
3. Reserve Account Transactions and compliance report.	Senior Manager	\$200	30 to 35	\$ 6,000-\$7,000
4. Internal Controls Weakness Testing and Report.	Senior Manager	\$200	35	\$7,000
	Senior Associate	\$105	12	\$1,260
Meetings with Board & County Officials for Reporting	Partner	\$310	5	\$1,550
	Senior Manager	\$200	5	\$1,000
<b>Totals</b>			<b>163 to 178</b>	<b>\$30,330 to \$33,330</b>

# Hayashi & Wayland: Who we are and what we bring.

## Description of Organization

Hayashi & Wayland Accounting & Consulting, LLP (H&W) opened its doors in 1976 and has grown to become the largest professional accounting services firm on California's Central Coast with offices in Carmel, King City, Monterey, Paso Robles and Salinas. The firm's founding partners, Douglas Hayashi and Warren Wayland, based their partnership on the belief that the firm's success can only be measured by the success of its clients and its commitment to building a stronger community. This shared belief has served as the cornerstone of H&W's success providing clients with the most comprehensive array of professional services in specialized areas, such as not-for-profit organizations.

## Our Philosophy

### *Invested in Your Future*

Our philosophy is to provide you with the finest audit, review, accounting, tax and consulting services available in our community, to be associated with clients who wish to reach their maximum potential and to be a firm that is rewarding to work with. Our goal is to contribute to your success and to grow along with you, never losing the local identity and relationships that are the foundation of our practice.

### *Invested in the Future of our Community*

We are proud that our roots run deep in the Monterey Bay area and believe it is important to give back to the community. That is why we encourage our professionals to be actively involved in civic, charitable, community and not-for-profit organizations. We invest in the future of our community by offering our time, talents and financial resources to many hospitals, schools and other non-profit organizations. Many H&W staff members voluntarily serve on boards and hold positions in over 85 local organizations. We also demonstrate our dedication to community by closing all five offices, one day per year, and the entire staff spends the day volunteering at local not-for-profit organizations for our annual "H&W Gives Back".

## Personnel Breakdown

With five offices located in Carmel, King City, Monterey, Paso Robles and Salinas, containing 90 professionals, this engagement will get the care and attention it deserves. A breakdown of H&W's staff is as follows:

Employee Class	Total Number
Partners	8
Directors	6
Managers/Supervisors	21
Associates	18
Other Professionals	37
<b>Total</b>	<b>90</b>

## **Qualifications**

H&W is a firm with extensive resources and expertise, and one that is responsive to your specific needs. H&W provides services to over 75 not-for-profit and governmental agencies, the most of any firm located in Monterey County. Our firm is also a member of the AICPA's Government Audit Quality Center. This has enabled our firm and professionals to grow and strengthen processes, efficiencies and expertise in a variety of matters that are specific to state and local government and not-for-profit organizations.

## **Peer Review**

Hayashi & Wayland Accounting & Consulting, LLP (H&W) has successfully passed all peer review requirements since joining the American Institute of Certified Public Accountants' (AICPA) Quality Review Program in 1990. This means through a practice-monitoring program, H&W performs services within the scope of the peer review standards and issues reports in accordance with AICPA professional standards.

Hayashi & Wayland Accounting & Consulting, LLP is properly licensed for public practice as a certified public accounting firm and Mike Nolan and Therese Courtney are also licensed as CPA's in the State of California. H&W also meets the independence requirements of Standards for Audit of Governmental Organizations, Program Activities and Functions, as published by the U.S. General Accounting Office.

Neither the firm of Hayashi & Wayland Accounting & Consulting, LLP, nor its employees has a record of substandard audit work nor do we or our employees have any outstanding claim of substandard work or unsatisfactory performance pending with the State Board of Accountancy. The firm is in good standing with both the American Institute of Certified Public Accountants and the California Society of Certified Public Accountants.

A copy of our most recent peer review report is included in Appendix B.

## Appendix A: Team Resumes

The resumes of Michael Nolan and Therese Courtney are included below.

### *Michael Nolan, C.P.A.*

**Firm Position:** Audit Partner

**Experience:** Fourteen years experience in public accounting, primarily in areas of auditing and management advisory services. Auditing experience includes audits of public and privately held companies, local government, special districts and not-for-profit organizations. Is the partner, or the concurring partner, responsible for the audits of many of the governmental organizations performed by our firm.

**Professional Activities:** Member of the American Institute of Certified Public Accountants, and the California Society of Certified Public Accountants. Current board member of CPE Forum of Monterey County. Audit Committee Chair of Hartnell College Foundation. Treasurer of the Salinas Downtown Rotary.

**Education:** BS Degrees – Accounting & Finance  
California Polytechnic State University, San Luis Obispo (1998)  
Additional Studies –  
MS Taxation Program -Golden Gate University (2005–2007)

### *Therese Courtney, C.P.A.*

**Firm Position:** Senior Audit Manager

**Experience:** Twenty two years experience in public accounting, specializing in audits of not-for-profit and governmental entities, including single audits. Current experience also includes consulting services for various not-for-profit and governmental entities.

**Professional Activities:** Member of the American Institute of Certified Public Accountants and California Society of Certified Public Accountants.

**Education:** BA Degree – Accounting, Golden Gate University

**Hourly Billing Rates**

Hayashi & Wayland Accounting & Consulting, LLP's professional fees are based upon the time spent on an engagement at hourly rates related to the levels of experience of the individuals assigned. We believe that our rates are comparable to those of other accounting firms.

Our professional fees are based upon experience level for the services being performed during the period July 1, 2012 to June 30, 2013. The following is the list of our hourly billing rates:

Professional Service Fees Per Hour	
Partner	\$310
Director	\$260 - \$285
Senior Manager	\$180 - \$235
Manager	\$150 - \$200
Supervisor	\$130 - \$145
Senior Associate	\$105 - \$120
Associate	\$92 - \$100
Administrative Support	\$60 - \$140



## References

One of the best indicators of our performance is the satisfaction of the clients we have served and professionals we have worked with. We encourage you to contact the individuals listed on the pages that follow to verify the expertise and quality of service of your professional team.

1. Chuck Rees, Finance Manager  
Monterey Regional Waste Management District  
(831) 384-5313
2. Suha Kilic, Chief Financial Officer  
Pebble Beach Community Services District  
(831) 647-5602
3. Ms. Arleicka Conley, Director of Finance & Administration  
Association of Monterey Bay Area Governments  
(831) 833-3750
4. Linda Scholink, Director of Administrative Services  
Sand City  
(831) 394-3054
5. Mr. Thomas Greer, General Manager  
Monterey Peninsula Airport District  
(831) 648-7000

# LarsonAllen<sup>LLP</sup>

CPAs, Consultants & Advisors  
www.larsonallen.com

## SYSTEM PEER REVIEW

To the partners of  
Hayashi & Wayland Accounting & Consulting, LLP  
And the CalCPA Peer Review Committee

We have reviewed the system of quality control for the accounting and auditing practice of Hayashi & Wayland Accounting & Consulting, LLP, (the firm) in effect for the year ended May 31, 2011. Our peer review was conducted in accordance with the Standards for Performing and Reporting on Peer Reviews established by the Peer Review Board of the American Institute of Certified Public Accountants. The firm is responsible for designing a system of quality control and complying with it to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Our responsibility is to express an opinion on the design of the system of quality control and the firm's compliance therewith based on our review. The nature, objectives, scope, limitations of, and the procedures performed in a System Review are described in the standards at [www.aicpa.org/prsummary](http://www.aicpa.org/prsummary).

As required by the standards, engagements selected for review included engagements performed under *Government Auditing Standards* and audits of employee benefit plans.

In our opinion, the system of quality control for the accounting and auditing practice of Hayashi & Wayland Accounting & Consulting, LLP, in effect for the year ended May 31, 2011, has been suitably designed and complied with to provide the firm with reasonable assurance of performing and reporting in conformity with applicable professional standards in all material respects. Firms can receive a rating of *pass*, *pass with deficiency(ies)* or *fail*. Hayashi & Wayland Accounting & Consulting, LLP has received a peer review rating of *pass*.



LarsonAllen LLP

October 21, 2011  
Bellevue, Washington



An independent member of Nexia International