

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS**

FINANCE COMMITTEE

COMMITTEE MEMBERS

Claude Hoover, Chair
Ken Ekelund

David Hart
Richard Ortiz

TIME: 10:00 a.m.
DATE: Friday, July 19, 2013
PLACE: Monterey County Water Resources Agency
893 Blanco Circle
Salinas, CA 93901

A G E N D A

1. CALL TO ORDER

2. PUBLIC COMMENT

(Limited to three (3) minutes per speaker on matters within the jurisdiction of the Agency not listed on this agenda. The public will have the opportunity to ask questions and make statements on agenda items as the Committee considers them.)

3. APPROVE THE MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON JUNE 21, 2013

The Committee will consider approval of the minutes of the above-mentioned meeting (Page 3).

4. RECEIVE THE MAY 2013 FINANCIALS FOR THE CASTROVILLE SEAWATER INTRUSION PROJECT/SALINAS VALLEY RECLAMATION PROJECT AND SALINAS RIVER DIVERSION FACILITY.

Chris Moss, Senior Water Resources Engineer, will review the financials for the CSIP/SVRP/SRDF (Page 9).

5. RECEIVE THE MAY 2013 FINANCIALS FOR ALL AGENCY FUNDS.

Cathy Paladini, Finance Manager, will review the May 2013 financials for all Agency funds (Page 19).

6. APPROVE PURCHASE ORDERS/CONTRACTS AND CREDIT CARD PURCHASES IN EXCESS OF \$500.

Cathy Paladini, Finance Manager, will review purchase orders/contracts and credit card purchases in excess of \$500 (Page 37).

7. **CONSIDER RECOMMENDING THAT THE FULL BOARD APPROVE AMENDMENT NO. 3 TO THE PROFESSIONAL SERVICES AGREEMENT WITH CARDNO ENTRIX IN THE AMOUNT OF \$26,492 FOR THE COMPLETION OF A FINAL ENVIRONMENTAL IMPACT REPORT RELATING TO THE SALINAS RIVER CHANNEL MAINTENANCE PROGRAM, BRINGING THE TOTAL AMOUNT OF THE AGREEMENT NOT TO EXCEED \$611,879; AND, AUTHORIZING THE GENERAL MANAGER TO EXECUTE THE AMENDMENT.**

Shaunna Juarez, Hydrologist, will review Amendment No. 3 to the professional services agreement with Cardno ENTRIX (Page 45).

8. **CONSIDER RECEIVING AN UPDATE ON A FUEL SPILL REIMBURSEMENT AGREEMENT BETWEEN THE MONTEREY COUNTY WATER RESOURCES AGENCY AND THE COUNTY OF MONTEREY.**

Cathy Paladini, Finance Manager, will provide an update on the Fuel Spill Reimbursement Agreement (Page 55).

9. **SET NEXT MEETING DATE AND DISCUSS FUTURE AGENDA ITEMS.**

The Committee will discuss and determine details for its next meeting.

10. **ADJOURNMENT**

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS**

FINANCE COMMITTEE

COMMITTEE MEMBERS

Claude Hoover (Chair)
David Hart
Ken Ekelund
Richard Ortiz

TIME: 10:00 a.m.
DATE: Friday, June 21, 2013
PLACE: **Monterey County Water Resources Agency
893 Blanco Circle
Salinas, CA 93901**

MINUTES

1. **CALL MEETING TO ORDER @ 10:00 a.m. by Committee Chair, Claude Hoover**
Members Present: Claude Hoover, David Hart, Ken Ekelund, Richard Ortiz
Members Absent: None

A quorum was established.

2. **PUBLIC COMMENT**
None

3. **APPROVE THE MINUTES OF THE FINANCE COMMITTEE MEETING HELD ON MAY 10, 2013**

COMMITTEE ACTION: Upon Motion made by Committee Member Richard Ortiz and seconded by Committee Member David Hart, the Committee approved the May 10, 2013 Finance Committee Minutes.

4. **RECEIVE THE APRIL 2013 FINANCIALS FOR THE CASTROVILLE SEAWATER INTRUSION PROJECT/SALINAS VALLEY RECLAMATION PROJECT AND SALINAS RIVER DIVERSION FACILITY**

Manuel Saavedra, Water Resources Engineer, reviewed the financials for the CSIP/SVRP /SRDF. He noted that the projects were at 83% of their budget cycle and there was nothing out of the ordinary to report.

COMMITTEE ACTION: Upon motion made by Committee Member Dave Hart and seconded by Committee Member Richard Ortiz, the Committee received the April 2013 Financials for the Castroville Seawater Intrusion Project/Salinas Valley Reclamation Project and Salinas River Diversion Facility.

5. **RECEIVE THE APRIL 2013 FINANCIALS FOR ALL AGENCY FUNDS**
Cathy Paladini, Finance Manager, reviewed the April financials for all Agency funds. She noted that the Pollution Control Agency holds back some of the water delivery revenue in order to pay expenses. They will then pay the Agency the balance at the end of the year. The Agency is receiving a partial insurance claims payout which is held by the County Risk Management Division. This will not be paid out in full until August, 2013. No reserves were touched in April, 2013.

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Richard Ortiz, the Committee received the April financials for all Agency funds.

6. **APPROVE PURCHASE ORDERS/CONTRACTS AND CREDIT CARD PURCHASES IN EXCESS OF \$500**
Cathy Paladini, reviewed purchase orders/contracts and credit card purchases in excess of \$500.

COMMITTEE ACTION: Upon motion made by Committee Member Dave Hart and seconded by Committee Member Ken Ekelund, the Committee approved the purchase orders/contracts and credit card purchases in excess of \$500.

7. **CONSIDER RECEIVING REPORT ON REIMBURSEMENT FOR INTERIM SERVICES OF CHIEF DEPUTY AUDITOR-CONTROLLER**
David Chardavoyne, General Manager, reviewed information on the request for reimbursement for interim services of the Chief Deputy Auditor-Controller. He noted that following the separation of the Agency's General Manager and Finance Manager, the Controller placed a Chief Deputy Auditor-Controller on site to oversee and review accounting transactions through Fiscal Year 2012-2013. The Agency is being asked by the County for reimbursement of the Chief Deputy Auditor-Controller's salary and benefits totaling \$176,364.24. The item was placed on the Board of Supervisors Meeting Agenda for June 25, 2013 as Consent Item No. 70.

The Committee disagreed that the total sum is to be paid by the Agency and was disappointed that this item was not discussed with Committee Members and the Agency prior to being placed on the Board of Supervisors' agenda. After discussing several options for addressing the matter, the Committee determined that the issue should remain on the Board of Supervisors Meeting Agenda for Tuesday, June 25, 2013.

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Dave Hart, the Committee received the report on reimbursement for the interim services of Chief Deputy Auditor-Controller.

8. **CONSIDER APPROVING AMENDMENT NO. 3 TO THE PROFESSIONAL SERVICES AGREEMENT WITH AECOM TECHNICAL SERVICES, INC., IN THE AMOUNT OF \$35,000 FOR PROVIDING SAFETY SURVEILLANCE AND PERFORMANCE EVALUATION OF SAN ANTONIO DAM; AND, AUTHORIZING THE GENERAL MANAGER TO EXECUTE THE AMENDMENT**
Chris Moss, Senior Engineer, reviewed Amendment No. 3 with AECOM. He noted that it was recommended in 2010 that the contract with AECOM be renewed on an annual basis

for five years in order to maintain consistency in the performance evaluation of San Antonio Dam, and to reduce RFP expenses for the Agency. Amendment No. 2 expires on June 30, 2013. The annual contract renewal with AECOM is dependent upon satisfactory completion of each year's Scope of Work and the continuation of Mr. Stanley Kline as the project engineer. AECOM has rendered satisfactory services over the past year. Amendment No. 3 will cover year 4 of 5 (fiscal year 2013-14).

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Richard Ortiz, the Committee recommended approval of Amendment No. 3 to the Professional Services Agreement with AECOM technical Services, Inc., in the amount of \$35,000 for providing safety surveillance and performance evaluation of San Antonio Dam; and recommends authorizing the General Manager to execute the Amendment.

9. **CONSIDER RECOMMENDING THAT THE MONTEREY COUNTY WATER RESOURCES AGENCY BOARD OF DIRECTORS AND BOARD OF SUPERVISORS APPROVE A BUDGET AMENDMENT AUTHORIZING THE AUDITOR-CONTROLLER TO AMEND THE MONTEREY COUNTY WATER RESOURCES AGENCY'S FISCAL YEAR 2012-2013 BUDGET, TRANSFERRING \$509,375 FROM FUND 111 (HYDRO STABILIZATION RESERVE) OF WHICH \$97,444 WILL TRANSFER TO FUND 130 (HYDRO-ELECTRIC OPERATIONS); \$288,405 WILL TRANSFER TO FUND 113 (COUNTYWIDE); AND, \$123,526 WILL TRANSFER TO FUND 114 (ZONE 2 NACIMIENTO NON-O&M) AS A RESULT OF LOWER WATER FLOWS IN THE DAM, ONGOING REPAIR WORK AND LOWER PG&E RATES IN POWER AGREEMENT SALES.**
- a. **INCREASE APPROPRIATION IN FUND 111 (ADMINISTRATION), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA001, ACCOUNT 7614,(OPERATING TRANSFER OUT) BY \$509,375;**
 - b. **INCREASE ESTIMATED REVENUES IN FUND 130 (HYDRO-ELECTRIC OPERATIONS), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA022, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$97,444;**
 - c. **INCREASE ESTIMATED REVENUES IN FUND 113 (COUNTYWIDE FUND), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$288,405 (4/5TH VOTE REQUIRED); AND**
 - d. **INCREASE ESTIMATED REVENUES IN FUND 114 (ZONE 2 NACIMIENTO NON-O&M), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA003, ACCOUNT 5940 (OPERATING TRANSFERS IN) BY \$123,526.**

Cathy Paladini, Finance Manager II, reviewed this Budget Amendment with the Committee. She noted that a reduction in Hydro-Electric Revenue in Fund 130 (Hydro-Electric Operations) lower water flows, ongoing dam repairs, and lower PG&E rates in Agency Power agreements has caused a lower estimate in the business interruption claim to the Insurance Company. In accordance with the Salinas Valley Water Project financing arrangements, all Agency Ad Valorem taxes in the above funds must be utilized first and foremost to finance annual bond payments. Due to lower than expected Hydro-Electric Revenue, the remaining money after the bond payment was made has caused the Agency to utilize \$509,375 from Fund 111 (Hydro Stabilization Reserve) to backfill the lost Ad Valorem taxes in Funds 130, 113, 114 and 115.

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Dave Hart, the Committee recommends that the Monterey County Water Resources Agency Board of Directors and board of Supervisors approve a budget amendment authorizing the Auditor-Controller to amend the Monterey County Water Resources Agency's Fiscal year 2012-2013 budget, transferring \$509,375 from Fund 111 (Hydro Stabilization Reserve) of which \$97,444 will transfer to Fund 130 (Hydro-Electric Operations); \$288,405 will transfer to Fund 113 (Countywide); and, \$123, 526 will transfer to Fund 114 (Zone 2 Nacimiento Non-O&M) as a result of lower water flows in the dam ongoing repair work and lower PG&E rates in Power Agreement sales.

- a. Increase appropriation in Fund 111 (Administration), Dept. 9300, Unit 8267, Appropriation Unit WRA001, Account 7614, (Operating Transfer Out) by \$509,375;
- b. Increase estimated revenues in Fund 130 (Hydro-Electric Operations), Dept. 9300, Unit 8267, Appropriation Unit WRA022, Account 5940 (Operating Transfers In) by \$97,444;
- c. Increase estimated revenues in Fund 113 (Countywide Fund), Dept. 9300, Unit 8267, Appropriation Unit WRA003, Account 5940 (Operating transfers In) by \$288,405; and
- d. Increase estimated revenues in Fund 114 (Zone 2 Nacimiento Non-O&M), Dept. 9300, Unit 8267, Appropriation Unit WRA003, Account 5940 (Operating Transfers In) by \$123,526.

10. **CONSIDER RECOMMENDING THAT THE MONTEREY COUNTY WATER RESOURCES AGENCY BOARD OF DIRECTORS AND BOARD OF SUPERVISORS RECOGNIZE THE NET PREVIOUSLY RECEIVED AMOUNT OF \$644,209.05 FROM THE CALIFORNIA AMERICAN WATER COMPANY (CAW) LINE OF CREDIT (DRAWS 1 AND 2) ALL IN ACCORDANCE WITH THE DECEMBER 4, 2012 SETTLEMENT AGREEMENT AMONG THE MONTEREY COUNTY WATER RESOURCES AGENCY, CAW AND THE COUNTY OF MONTEREY; AND, REALLOCATE REVENUE OF \$644,209.05 IN FUND 425 TO ASSESSMENT-BASED FUNDS AS REIMBURSEMENT OF REGIONAL DESALINATION PROJECT COSTS INCURRED FROM THOSE FUNDS IN CALENDAR YEARS 2009, 2010 AND 2011, EXCLUDING CONFLICT OF INTEREST COSTS AND EXPENSES.**

- a. **TRANSFER \$644,209.05 FROM LIABILITY ACCOUNT "BALANCE DUE TO OTHERS" (FUND 425), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA035, ACCOUNT 2569 TO REVENUE ACCOUNT "INSURANCE & OTHER RECOVERIES," DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA035, ACCOUNT 5370; AND,**
- b. **REALLOCATE REVENUE \$644,209.05 FROM "INSURANCE & OTHER RECOVERIES" (FUND 425), DEPT. 9300, UNIT 8267, APPROPRIATION UNIT WRA035, ACCOUNT 5870 TO THE FOLLOWING FUNDS: 112, 113, 116, 119, 122, 127, 131 AND 134 THAT ORIGINALLY INCURRED REGIONAL DESALINATION PROJECT COSTS.**

Cathy Paladini, Finance Manager II, reviewed this item with the Committee. She noted that the Agency is requesting this transaction to move the net amount of the CAW forgiven Line of Credit (Draws 1 &2) per the December 4, 2012 Settlement Agreement to reimburse

Agency Funds that are funded by assessments and that originally incurred costs of the Regional Desalination Project during the FY 2009 – 11 period, excluding conflict of interest costs and expenses.

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Dave Hart, the Committee recommends that the Monterey County Water Resources Agency Board of Directors and board of Supervisors recognize the net previously received amount of \$644,209.05 from the California American Water Company (CAW) line of credit (Draws 1 and 2) all in accordance with the December 4, 2012 Settlement Agreement among the Monterey County Water Resources Agency, CAW and the County of Monterey; and reallocate revenue of \$644,209.05 in Fund 425 to assessment based funds as reimbursement of Regional Desalination Project costs incurred from the those funds in calendar years 2009, 2010 and 2011, excluding conflict of interest costs and expenses.

a. Transfer \$644,209.05 from liability account “Balance Due to Others” (Fund 425), Dept. 9200, Unit 8267, Appropriation Unit WRA035, Account 2569 to revenue account “Insurance & Other Recoveries”, Dept. 9300, Unit 8267, Appropriation Unit WRA 035, Account 5370; and

b. Reallocate revenue \$644,209.05 from “Insurance & Other Recoveries” (Fund 425), Dept. 9300, Unit 8267, Appropriation Unit WRA 035, Account 5870 to the following funds: 112, 113, 116, 119, 122, 127, 131 and 134 that originally incurred Regional Desalination Project costs.

11. **CONSIDER RECOMMENDING THAT THE FULL BOARD OF DIRECTORS APPROVE AMENDMENT NO. 1 TO THE LEGAL SERVICES AGREEMENT WITH DOWNEY BRAND ATTORNEYS, LLP IN THE AMOUNT OF \$250,000 FOR LEGAL SERVICES IN CONNECTION WITH THE PROPOSED REVOCATION OF PERMIT NO. 11043 BY THE STATE WATER RESOURCES CONTROL BOARD (SWRCB); AND, AUTHORIZING THE GENERAL MANAGER TO EXECUTE THE AMENDMENT.**

Cathy Paladini, Finance Manager II, reviewed Amendment No. 1 with Downey Brand and noted that Downey Brand has been actively preparing to oppose the revocation of Permit 11043 since the hearing was noticed on November 24, 2012. The Legal Services Agreement with the Amendment No. 1 will formalize their representation and set a budget for legal services associated with opposing the revocation of Permit 11043.

The legal agreement with Downey Brand Attorneys, LLP, as approved by the Board of Directors on February 25, 2013, was for \$100,000 for legal expenses. The agreement was established in order to provide the necessary legal services associated with the proposed revocation of Permit No. 11043 by the SWRCB. The proposed \$250,000 Amendment No. 1 will increase the amount of money available for the task in Administration Fund 111.

COMMITTEE ACTION: Upon motion made by Committee Member Ken Ekelund and seconded by Committee Member Dave Hart, the Committee recommends that the full Board of Directors approve Amendment No. 1 to the Legal Services Agreement with Downey Brand Attorney, LLP in the amount of %250,00 for legal services in connection with the proposed revocation of Permit No. 11043 by the State Water Resources Control Board (SWRCB); and recommends authorizing the General Manager to execute the Amendment.

12. SET NEXT MEETING DATE AND DISCUSS FUTURE AGENDA ITEMS

The next Finance Committee meeting will be held on July 12, 2013 at 10:00 A.M. No future Agenda items were discussed.

13. ADJOURNMENT

The Committee adjourned at 10:25 a.m.

Submitted by: Alice Henault

Approved on: _____

**FY 2012-2013
SUMMARY OF CSIP, SVRP AND SRDF
OPERATIONS AND MAINTENANCE
EXPENDITURE REPORTS**

**FROM JULY 1, 2012 THROUGH MAY 31, 2013 - PRELIMINARY
11 MONTHS = 92% OF THE BUDGET CYCLE**

	<u>TOTAL EXPENDITURES AND COMMITMENTS</u>	<u>FY 12-13 Budget</u>	<u>% USED</u>
CSIP			
MCWRA	683,253	798,824	86%
MRWPCA	899,532	1,154,158	78%
Total	<u>1,582,785</u>	<u>1,952,982</u>	<u>81%</u>
SVRP			
MCWRA	-	-	0%
MRWPCA	1,470,771	2,097,475	70%
Total	<u>1,470,771</u>	<u>2,097,475</u>	<u>70%</u>
SRDF			
MCWRA	619,449	703,269	88%
MRWPCA	391,752	720,784	54%
Total	<u>1,011,201</u>	<u>1,424,053</u>	<u>71%</u>

	<u>Actual</u>		<u>Budget</u>	<u>% Used</u>
CSIP Supplemental Well Water Produced this FY	2,073	acre-feet	2,050	101%
SVRP Recycled Water Produced this Fiscal Year	13,013	acre-feet	13,750	95%
SRDF River Water Produced this Fiscal Year	<u>3,890</u>	acre-feet	<u>5,000</u>	<u>78%</u>
Total Water Production	18,976	acre-feet	20,800	91%

FUND 131

Castroville Seawater Intrusion Project (CSIP) O&M Expenditure Report FY 2012 - 2013

Expenditures vs. Budget from July 1, 2012 through end of May 2013

Description	May-13 Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	FY 2011 - 2012(a)	FY 2012-2013 Budgeted Amount	FY 2012-2013 Percent of Budget Used
			Expenditures & Commitments thru May 2012		
A	B	C	D	E	F
MCWRA O&M					
2.1 Earthquake Insurance	0	0	0	78,743	0%
2.2 Project Insurance	184,932	184,932	0	173,400	107%
2.3 Insurance reimbursement from MRWPCA	0	0	0	(69,370)	0%
3.1 Maintenance Svc. & Suppl. External	0	6,081	3,714	5,100	119%
3.2 Maintenance Svc. & Suppl. Internal	0	355	0	1,122	32%
5 Memberships/Publications	0	223	223	255	87%
6 Non-Capital Equipment	0	0	2,313	5,100	0%
7 Miscellaneous Services	0	1,759	0	0	n/a
8 Miscellaneous Supplies	36	36	0	0	n/a
9 Outside Legal Counsel	0	0	5,000	0	n/a
10 County Counsel	0	367	1,259	1,020	36%
11 Consultants/Contractors	0	127,339	152,578	218,000	58%
12 MRWPCA-Prior year O&M adjustment	0	34,018	0	0	n/a
13 Publications and legal Notices	0	0	862	0	0%
14 Equipment Rental	0	0	516	0	0%
16 MCWRA Salaries/Benefits	24,053	328,143	317,999	385,454	85%
25 TOTAL O&M CSIP (MCWRA)	209,021	683,253	484,464	798,824	86%
MRWPCA O&M					
26 MRWPCA Salaries/Benefits	41,675	433,121	423,814	459,456	94%
27 Office Expense	22	2,378	901	4,650	51%
28 Outside Professional Services	167	54,184	61,498	62,500	87%
29 Operating Supplies	583	9,211	7,325	13,550	68%
30 Lab Services	285	31,691	37,175	48,000	66%
31 Chemicals	0	0	5,185	0	0%
32 Utilities	38,081	268,138	368,897	351,950	76%
33 PM/Repairs	1,134	35,814	42,336	88,500	40%
34 Contingency	0	0	0	11,131	0%
35 Equipment Replacement Fund	0	0	0	0	0%
36 Vehicle Costs	0	0	0	0	0%
37 Indirect	6,291	64,993	73,171	114,421	57%
38 TOTAL O&M CSIP (MRWPCA)	88,238	899,532	1,020,302	1,154,158	78%
39 CSIP PCA Capital Outlay	0	0	0	75,000	0%
40 TOTAL CSIP O&M	297,259	1,582,785	1,504,766	2,027,982	78%

(a) Column D has been added to provide a comparative reference

FUND 132

Salinas Valley Reclamation Project (SVRP) O&M Expenditure Report FY 2012-2013

Expenditures vs. Budget from July 1, 2012 through end of May 2013

Preliminary Description	May 2013 Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	FY 2011-2012(a) Expenditures & Commitments thru May 2012 (b)	FY 2012-2013 Budgeted Amount	FY 2012-2013 Percent of Budget Used
A	B	C	D	E	F
MCWRA O&M					
1 Contingency	0	0	0	0	0%
2 TOTAL O&M SVRP (MCWRA)	0	0	0	0	0%
MRWPCA O&M					
3 MRWPCA Salaries/Benefits	44,933	451,881	436,461	474,673	95%
4 Office Expense	0	627	1,019	3,000	21%
5 Contractors	2,684	49,090	19,922	115,000	43%
6 Operating Supplies	444	22,459	17,966	24,000	94%
7 Lab Services	934	28,626	15,831	21,000	136%
8 Chemicals	19,850	273,486	322,128	515,000	53%
9 Utilities	30,110	228,657	219,315	359,805	64%
10 PM/Repairs	-4,125	308,430	383,431	360,000	86%
11 Contingency	0	0	0	0	0%
12 Equipment Replacement Funds	0	0	0	44,250	0%
13 Indirect Costs	6,985	107,514	106,880	180,747	59%
14 TOTAL O&M SVRP (MRWPCA)	101,816	1,470,771	1,522,953	2,097,475	70%
15 SVRP Capital Outlay	21,236	74,213	0	340,000	21.83%
16 TOTAL SVRP O&M	123,052	1,544,983	1,522,953	2,437,475	63%

(a) Column D has been added to provide a comparative reference

FUND 134

Salinas River Diversion Facility (SRDF) O&M Expenditure Report FY 2012 - 2013

Expenditures vs. Budget from July 1, 2012 through end of May 2013

Preliminary Description	May 2013 Current Period Expenditures	Total Year-to-Date Expenditures & Commitments	FY 2011 - 2012(a)		FY 2012-2013 Budgeted Amount	FY 2012-2013 Percent of Budget Used
			Expenditures & Commitments thru May 2012(b)			
A	B	C	D	E	F	
MCWRA O&M						
3.1 Maintenance Svc. & Suppl. External	0	7,166	21,220	7,500	96%	
3.2 Maintenance Svc. & Suppl. Internal	0	5,792	3,699	0	n/a	
4 Equipment Maintenance	2,373	4,221	1,777	10,000	42%	
5 Non-Capital Equipment	0	0	70	3,500	0%	
6.1 Miscellaneous Services	0	0	77	0	n/a	
6.2 Miscellaneous Supplies	0	1,424	719	2,000	71%	
6.3 Minor Equip. & Furnishings	0	0	442	0	0%	
7 Outside Legal Counsel	0	62,597	50,000	50,000	125%	
8 County Counsel	1,172	9,206	10,905	0	n/a	
10 Contractors/Consultants	0	103,906	294,622	90,000	115%	
11 Equipment Rental Emergency Repair	0	467	2,493	0	n/a	
12 MCWRA Salaries/Benefits	34,793	423,111	572,470	505,269	84%	
13 Water Rights & Dam Fees	(24,771)	1,559	42,638	0	n/a	
17 Equipment	0	0	7,840	35,000	0%	
18 Emergency Repair Contracts & Expenses	0	0	1,042,492	0	0%	
19 MCWRA Salaries/Benefits SRDF Emrg Repairs	0	0	365,341	0	0%	
21 TOTAL O&M SRDF (MCWRA)	13,567	619,449	2,416,805	703,269	88%	
MRWPCA O&M						
22 MRWPCA Salaries/Benefits	10,263	96,142	126,003	166,849	58%	
23 Office Expense	0	389	180	2,025	19%	
24 Contractors/Consultants	0	5,307	30,540	15,000	35%	
25 Operating Supplies	452	6,138	7,012	8,800	70%	
26 Lab Services	18	14,324	12,710	39,000	37%	
27 Chemicals (chlorine)	7,131	22,973	17,286	49,424	46%	
28 Utilities	20,954	122,920	57,873	275,500	45%	
29 PM/Repairs	9,090	30,418	6,951	55,000	55%	
30 Vehicle Costs	0	0	0	1,800	0%	
31 Reserve Fund	0	75,000	0	50,000	150%	
32 Sludge Disposal Cost	0	0	0	0	0%	
33 Contingency	0	0	0	12,268	0%	
34 Indirect Costs	3,387	18,141	20,231	45,118	40%	
35 Capital Outlay	0	0	0	0	0%	
36 TOTAL O&M SRDF (MRWPCA)	51,294	391,752	278,786	720,784	54%	
37 TOTAL SRDF O&M	64,861	1,011,201	2,695,591	1,424,053	71%	

(a) Column D has been added to provide a comparative reference

(b) No data was presented for April 2012

Utility Cost Summary

July 1 through May 31, 2013 - 92% of Budget Cycle

FY 2012-2013 - Utility Budgets

	Fiscal Year Budget Amount	Water Budget (Acre-Feet)	Calculated Unit Cost (\$ / Ac-Ft)
CSIP	\$ 351,950	2,050	\$ 171.68
SVRP	\$ 359,805	13,750	\$ 26.17
SRDF	\$ 275,500	5,000	\$ 55.10
		<u>20,800</u>	

FY 2012-2013 - Actual Utility Expenditures

	Utility Expenditures Thru May 2013	Delivered Water (Acre-Feet)	Calculated Unit Cost (\$ / Ac-Ft)	Percent Budget Expended
CSIP	\$ 268,138	2,073	\$ 129.35	76%
SVRP	\$ 228,657	13,013	\$ 17.57	64%
SRDF	\$ 122,920	3,890	\$ 31.60	45%
		<u>18,976</u>		

Comparison with Prior Fiscal Year (2011-2012)

	Utility Expenditures Thru May 2012	Delivered Water (Acre-Feet)	Calculated Unit Cost (\$ / Ac-Ft)
CSIP	\$ 368,897	3,963	\$ 93.09
SVRP	\$ 219,315	11,065	\$ 19.82
SRDF	\$ 57,873	2,472	\$ 23.41
		<u>17,500</u>	

Monterey County Water Resources Agency
May 2013
Actual vs. Total Year Budget Revenue

<u>Category</u>	YTD May Actual	FY 12/13 Estimate (used for FY 13/14 Bgt)	Actual as a % of Total Year Estimate	Modified Total Year Budget	Remarks
Ad Valorem taxes	\$1,852,900	\$1,855,980	100%	\$1,861,698	Actual includes other tax revenue for one-time low & moderate Income Housing distribution of assets \$60,589
Assessments	12,794,123	12,772,158	100%	13,001,686	
Development & Other fees	418,220	398,327	105%	373,327	
Water Delivery Revenue	595,030	1,348,761	44%	1,441,500	SRDF Water Delivery revenue booked thru November 2012. Transfer rec'd in June \$453,952 for YTD May. Revenue will be accrued for receipts through June and FY 12/13 CSIP revenue
Royalties	440,262	412,964	107%	141,772	Royalty receipt for prior years disputed items \$282,932. \$157,329 received for current year.
Grants	1,289,355	1,093,352	118%	1,284,200	\$217,197 for fish monitoring exps thru 6/30/12 was not received by 9/30/12 and is FY 2012-13 revenue. Additionally the 10% that was withheld was received-\$101,700. Pajaro Phase 1 Bench Excavation Project grant revenue is \$934,707.
Hydroelectric Revenue	157,556	1,000,000	16%	1,150,000	Hydro revenue to be transferred from reserve in June \$509,375 and from accrued business interruption insurance \$338,069.
County Reimbursement	426,014	427,808	100%	335,556	Risk Mgmt reimbursement of prior year legal fees received \$170,933. Partial fuel spill reimbursement received \$255,081.
Cal-Am Reimbursement	0	644,290	0%	500,000	
Insurance reimbursement	264,116	1,300,000	20%	0	FY 12/13 est insurance reimbursement for hydro repairs
Interest, Rent, & Other	250,384	176,376	142%	179,355	Rent is collected at the beginning of the fiscal year and is higher than budget. Redevelopment funds refunded \$49,575
Inter-fund transfer revenue	1,500,000	1,835,556	82%	1,915,556	\$1.3m transferred from Fund 303 to Fund 130 Hydro for repairs. \$335,556 transfer from Fund 116 to Fund 115 delayed until full fuel spill reimbursement is received from Risk Mgmt and also Fund 116 June fund balance is known.
Total Revenue	\$19,987,960	\$23,265,572	86%	\$22,184,650	

Monterey County Water Resources Agency
May 2013
Actual vs. Total Year Budget Obligations

<u>Category</u>	YTD May Actual Obligations	FY 12-13 Estimate (Used for FY 13/14 Bgt)	Actual as a % of Total Year Estimate	Total Year Modified Budget	Remarks
Salaries & Benefits	\$4,360,574	\$4,950,384	88%	\$5,220,694	3 Vacant positions
Consultants	6,846,062	8,222,070	83%	8,145,241	Timing differences in expenditures including Naci & hydro emergency repairs payments. Estimate includes \$350,000 for 11043 permit legal fees offset by other consultant savings.made in May.
Services & Supplies	2,040,733	2,520,656	81%	2,603,805	Cost Plan Savings \$112,956/Timing differences in expenditures
Fixed Assets	1,077,298	1,135,274	95%	1,416,002	3 vehicles not leased, SRDF filter piping & sand barrier not spent. Budget revised for Pajaro Bench Excavation Project Phase 1 - Estimated costs are \$181,793 lower. Blanco Drain pump \$100,000 will not be spent on FY 2012/13.
Debt payments	5,735,966	5,883,000	98%	5,883,000	USBR loan payment contingency not needed. Pajaro payment of \$67,000 for the County loan will be made in June.
Settlement costs	66,621	66,621	100%	66,621	
Inter-fund transfer expenditure	1,500,000	1,835,556	82%	1,915,556	Budget revision of \$1.3m transferred from Fund 303 to Fund 130 Hydro for repairs. \$335,556 transfer from Fund 116 to Fund 115 delayed until full fuel spill reimbursement is received from Risk Mgmt and also Fund 116 June fund balance is known.
Labor Cost charges from Fund 111 & ALERT charges from Fund 113 charged to various funds	6,358,441	7,223,144	88%	7,485,731	
Inter-fund reimbursement of expenses in Fund 111 and Fund 113	(6,358,441)	(7,223,144)	88%	(7,385,731)	
Total Obligations	\$21,627,254	\$24,613,561	88%	\$25,350,919	

6/24/2013

MONTEREY COUNTY WATER RESOURCES AGENCY
FISCAL YEAR 2012-2013
FUND 111 ADMINISTRATION - MONTHLY BUDGET REVIEW
THROUGH MAY 2013

92%

	Account	Current Year Modified Budget	Current Year Estimate	Current Month Expenditures	Current Year PO Balances	Current Year YTD Expenditures	Total YTD Obligations	Prior year YTD Expenditures	Current Year vs. Prior year Expenditures	Explanations
	Salaries and Benefits									
1	Regular Employees 6111	3,783,554	3,533,448	394,156		3,100,084	3,100,084	3,616,091	(516,007)	3 budgeted vacant positions
2	County Temporary Employees 6112	0	12,000	4,186		21,979	21,979	0	21,979	Temporary worker
3	Emergency Overtime 6113	10,000	10,000	436		6,228	6,228	4,872	1,357	
4	Pers 6121	556,286	514,980	57,173		450,012	450,012	511,868	(61,856)	
5	Other Post Retirement Benefits 6122	34,965	34,965	2,914		32,051	32,051	34,342	(2,291)	
6	Social Security - FICA 6131	69,415	63,894	7,003		51,813	51,813	9,217	42,596	
7	Social Security - Medicare 6132	53,942	51,407	5,564		43,795	43,795	49,459	(5,663)	
8	Life Insurance 6142	4,301	4,301	626		3,353	3,353	3,293	61	
9	Long Term/Short Term Disability Insurance 6143/44	11,856	5,760	323		5,502	5,502	3,730	1,772	
10	Unemployment Insurance 6148	20,258	20,258	1,688		18,569	18,569	20,369	(1,799)	
11	Workers Compensation Insurance 6161	66,697	72,221	6,018		66,203	66,203	75,620	(9,418)	Budget revised by CAO for final budget from Auditor/Controller
12	Employee Assistance Program 6171	1,158	1,158	66		856	856	1,189	(333)	
13	Special Benefits 6174	35,984	27,883	1,545		20,715	20,715	44,862	(24,147)	
14	Wellness Plan 6175	3,955	3,955	0		1,516	1,516	1,994	(478)	
	6141/45/47 &									
15	Flex Benefit:(Medical, Dental, Vision) 6173	568,323	594,154	59,035		537,897	537,897	509,846	28,051	
16	Salaries and Benefits TOTAL	5,220,694	4,950,384	540,733	0	4,360,574	4,360,574	4,886,750	(526,176)	
	Services and Supplies									
17	Uniforms & Safety Equipment 6222	950	2,500	113		646	646	2,597	(1,951)	
18	Communication Charges - External 6231	13,500	7,000	508	50	4,421	4,471	3,576	845	
19	Communication Charges - Internal 6232	59,523	53,923	1,295		32,966	32,966	44,295	(11,330)	Total year County est charge for telecommunications
20	Food Refreshments 6241	3,200	3,200	258	271	2,154	2,425	2,244	(90)	
21	Janitorial Supplies & Services 6251	15,000	17,000	1,485	1,235	14,357	15,592	14,108	249	Total includes blanket POs that may not be spent
22	Laundry Supplies and Service 6252	3,000	3,000	76	140	735	875	686	49	
23	General Liability Insurance 6261/62	58,363	58,363	4,864		53,499	53,499	106,961	(53,462)	
24	Property Insurance 6266	6,984	6,984	0		0	0	0	0	
25	Insurance Unit Allocation 6268	14,282	14,282	232		11,042	11,042	11,139	(97)	
26	Bldg & Improvements Maint - External 6311	24,000	24,000	84	3,708	17,198	20,906	9,654	7,544	
27	Bldg & Improvements Maint - Internal 6312	0	0	0		0	0	8,250	(8,250)	Prior year Public Works charge re Regional Project
28	Equipment Maintenance 6321	29,000	35,000	1,154	8,524	23,762	32,286	27,112	(3,350)	Total includes blanket POs that may not be spent
29	Membership Fees 6351	16,000	16,000	0		2,864	2,864	13,502	(10,638)	
30	Non-Capital Equipment 6361	9,000	9,000	0		162	162	6,776	(6,614)	
31	Advertising 6381	1,000	2,000	0		2,129	2,129	0	2,129	Mainly Finance Manager recruitment
32	Audio-Visual Service & Supply 6382	250	0	0		0	0	0	0	
33	Miscellaneous Services 6383	500	500	18,537		18,887	18,887	248	18,639	Mainly moving expenses charged by MCOE
34	Miscellaneous Supplies 6384	500	500	21		423	423	543	(121)	
35	Books and Periodicals 6401	2,400	2,400	394		867	867	2,007	(1,140)	
36	Bottled Water 6402	1,350	1,350	76	378	968	1,346	970	(2)	
37	Courier Service & Mail - External 6404	3,000	3,000	99	969	2,031	3,000	2,147	(116)	
38	Courier Service & Mail - Internal 6405/06	8,410	8,410	0		6,929	6,929	6,554	375	
39	Minor Computer Hardware 6407	22,206	22,206	0	3,057	11,701	14,759	17,788	(6,087)	
40	Minor Computer Software 6408	33,100	33,100	0	14,697	10,678	25,375	14,651	(3,974)	
41	Minor Equipment and Furnishings 6409	6,000	6,000	38	1,000	2,364	3,364	709	1,655	
42	Office Supplies 6410	25,000	25,000	1,759	1,337	14,816	16,153	13,311	1,505	

MONTEREY COUNTY WATER RESOURCES AGENCY
FISCAL YEAR 2012-2013
FUND 111 ADMINISTRATION - MONTHLY BUDGET REVIEW
THROUGH MAY 2013

92%

	Account	Current Year Modified Budget	Current Year Estimate	Current Month Expenditures	Current Year PO Balances	Current Year YTD Expenditures	Total YTD Obligations	Prior year YTD Expenditures	Current Year vs. Prior year Expenditures	Explanations	
43	Postage and Shipping 6411	9,000	9,000	159		7,036	7,036	5,619	1,417		43
44	Printing, Graphics & Binding - External 6412	1,000	1,000	36		388	388	66	321		44
45	Printing, Graphics & Binding - Internal 6413	1,470	1,470	0		1,153	1,153	1,442	(289)	Total year County est charge for graphics	45
46	Other Office Expense 6414	1,000	1,000	0		137	137		137		46
47	County Records Retention Charge 6415	512	512	0		512	512	643	(131)	Total year County est charge for records retention	47
48	County Accounting & Auditing charges 6601	13,296	13,296	251		7,872	7,872	13,611	(5,739)	Includes annual audit charge \$5,108	48
49	Data Processing Charges - Internal 6603	172,957	173,370	2,085		166,310	166,310	168,557	(2,247)	Includes total year County est IT Dept charge \$143,376	49
50	Legal Services - External 6606	100,000	411,454	65	56,839	14,679	71,519	222,949	(208,270)	11043 permit revocation legal est \$350k will be booked in June	50
51	Legal Services - Internal 6607	30,500	60,000	3,578		54,257	54,257	51,855	2,402	Only July - April booked	51
52	Other Medical Services 6608	500	500	135		195	195	150	45		52
53	Other Personnel Costs (Recruitmant) 6609	98,000	400	0		342	342	14,652	(14,310)		53
54	Temporary Help Services 6612	8,500	7,000	0	725	3,775	4,500	4,651	(876)		54
53	Other Prof & Spec Svcs - Consultants 6613	37,000	40,669	0	19,980	5,689	25,669	16,761	(11,072)		53
54	Other Prof Svcs - Consultants-Interim GM 6613	0	162,522	0		162,522	162,522	90,010	72,512	Budget assumed GM hired 7/1/12	54
55	Other Prof & Spec Services-BOD Fees, etc. 6613	23,900	23,900	1,050		11,750	11,750	14,250	(2,500)		55
56	Publications and Legal Notices 6801	1,500	1,500	0		0	0	0	0		56
57	Rents & Leases - Buildings 6811	251,039	251,039	36,944		242,041	242,041	180,344	61,697	Includes temp storage costs for move \$4,328	57
58	Rents & Leases-Equip- Data Processing 6821	3,808	15,000	2,078	1,085	18,325	19,410	15,017	3,308	Most computers leased not purchased	58
59	Rents & Leases-Equip- Copy machines 6821	24,000	24,000	0	1,861	14,818	16,678	19,637	(4,819)		59
60	Rents & Leases - Equip - General 6821	1,000	1,000	0		0	0	0	0		60
61	Other Special Dept Expense 6835	3,681	3,681	1,372		5,216	5,216	3,888	1,328	Mainly moving expense	61
62	Conference/Lodging/Meals/Travel 6861/62	12,000	12,000	1,747		6,564	6,564	11,107	(4,543)		62
63	Employee Training 6861	8,000	8,000	2,565		5,679	5,679	4,709	970		63
64	County Training Charge 6611	4,992	6,092	0		6,048	6,048	3,608	2,440	Total year County est charge for training services	64
65	Employee moving expense 6863	0	10,000								65
66	Fleet Service Charge (Fuel & misc maint) 6864	85,075	85,075	6,163		64,160	64,160	84,158	(19,998)	Only July - April booked	66
67	Non-Employee Travel 6861	0	0				0	231	(231)		67
68	Vehicle Maint - Outside Vendor 6866	54,000	54,000	6,797	3,607	40,443	44,050	30,756	9,687		68
69	Utilities 6881	6,500	6,500	1,494	155	5,570	5,725	5,226	344	Only July - April booked	69
70	Canyon Del Rey Master Drainage Plan 7013	60,000	60,000	0		0	0	0	0	Budget modification made for Canyon Del Rey drainage plan	70
71	Interest charges by Cal-Am 9082	0	0	0		0	0	2,930	(2,930)		71
72	Rights of Way 7101	3,250	3,250	0		3,250	3,250	3,250	0	Annual right of way charge \$3,250	72
73	Taxes & Assessments 7121	640	640	0		570	570	605	(35)		73
74	Cost Plan Charges (Adjusted Budget) 7301	254,614	254,614	0		190,961	190,961	319,450	(128,489)	Budget revised by CAO for final budget from Auditor/Controller	74
75	Balance still in budget for higher Cost Plan amount 6835	225,910	0	0		0	0	0	0		75
76	Services & Supplies TOTAL	1,854,162	2,057,202	97,510	119,620	1,275,859	1,395,479	1,599,959	(324,100)		76
	Fixed Assets										
72	Equipment 7531	0	0	0		0	0	14,800	(14,800)		72
73	Capital Leases - Equipment 7561	69,502	51,491	0	7,932	39,659	47,591	49,617	(9,958)		73
74	Fixed Assets TOTAL	69,502	51,491	0	7,932	39,659	47,591	64,417	(24,758)		74
75	Operating Transfers Out 7614	0	0	0		0	0	266,000	(266,000)		75
76	GRAND TOTAL EXPENSES	7,144,358	7,059,077	638,243	127,552	5,676,092	5,803,644	6,817,127	(1,141,035)		76

(Before inter-fund expense reimbursement)

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2012-2013 Budget
Expenditure Report by Fund
Through May 2013
92%

LN #	Program Name	Zone	Fund	Prog	Modified Budget	Current Year Estimate	Current Month Expenditures	Current Year PO Balances	Current Year YTD Expenditures	Total Obligations	Prior Year YTD Expenditures	Current Year vs. Prior Year Expenditures	COMMENTS	LN #
Countywide														
1	County Wide Hydrology & Water Quality Program	CW	113	9010	139,008	37,270	7,454		34,142	34,142	136,611	(102,469)		1
2	Flood Plain Management & Land Use Planning	CW	113	9030	399,213	357,664	50,838	67,289	391,827	459,116	291,715	100,112	Encumbrance for grant related consultant to be reduced \$67k	2
3	ALERT Syst Operation & Maint/Flood Monitoring	CW	113	9035	81,954	43,129	(23,013)	14,056	18,520	32,575	31,894	(13,374)	ALERT transfer to other funds made through May	3
4	County Wide Water Resources Review-Reimbursed	CW	113	9041	445,033	497,123	45,580		489,557	489,557	328,801	160,756	Budget was modified by \$100k transfer from Fund 114	4
6	Special Projects	CW	113	9050	0	21,459	0		17,093	17,093	27,870	(10,777)		6
7	Special Projects - County General Plan	CW	113	9051	0		0		0	0	47,156	(47,156)		7
8	Environmental Compliance	CW	113	9052	0		0		0	0	0	0		8
9	Total				1,065,208	956,645	80,860	81,344	951,139	1,032,483	864,048	87,092		9
Pajaro Levee														
10	Pajaro River Levee	1	112	9100	1,667,365	1,413,051	29,824	1,837	1,178,231	1,180,068	320,338	857,892	Budget includes revision for Pajaro Phase 1 Bench	10
11	Environmental Compliance	1	112	9100	0		0		0	0	0	0	Excavation Project of \$1,116,500 - Estimated Phase 1 cost	11
12	Prop 218	1	112	9100	0		0						is \$953,783	12
13	Total				1,667,365	1,413,051	29,824	1,837	1,178,231	1,180,068	320,338	857,892		13
Zone 2 Nacimiento Non - O&M														
14	Nacimiento Taxes & Reimbursement	2	114	9211	51,389	51,236	0		51,236	51,236	50,381	854		14
15	Hydrology & Water Quality Program	2	114	9245	338,256	394,452	19,882		249,895	249,895	380,683	(130,788)		15
16	Lake Nacimiento Dock Registration	2	114	9260	19,128	15,695	7,492		15,537	15,537	4,800	10,736		16
17	Transfer to to other funds - Funds 134, 113 & 116	2	114	9265	230,000	200,000	0		200,000	200,000	118,000	82,000	Budget was modified to transfer funds to Fund 113 & 116	17
18	Special Projects - Urban Water Solutions	2	114	9271	85,495	51,778	0		0	0	0	0		18
19	Environmental Compliance	2	114	9272	0	12,362	0		4,800	4,800	0	4,800		19
20	Total				724,268	725,523	27,374	0	521,467	521,467	553,864	(32,397)		20
Zone 2A San Antonio Non - O &M														
21	Hydrology & Water Quality Program	2A	115	9530	234,919	260,674	19,040		234,363	234,363	232,082	2,281		21
22	Well Permits/Well Logs	2A	115	9540	53,572	77,528	8,295		112,580	112,580	61,715	50,865		22
23	Salinas Valley Water Quality/Nitrate TAC	2A	115	9559	73,530	41,287	0		17,946	17,946	31,447	(13,501)		23
24	Environmental Compliance	2A	115	9561	6,726	4,046	0		0	0	0	0		24
25	Transfer to SRDF O&M Fund 134	2A	115	9596	50,000	0	0		0	0	0	0		25
26	Special Projects	2A	115	9597	90,086	48,514	0		0	0	13,350	(13,350)		26
27	Special Projects - Fish Monitoring consultant	2A	115	9597	335,556	345,000	42,330	4,664	116,906	121,570	0	116,906	Budget modified for fish monitoring consultant \$355,556	27
28	Special Projects - EPA Grants	2A	115	9599	25,779	57,030	0		47,876	47,876	114,484	(66,608)	Refund of prior year EPA grant revenue in Dec \$36,248.79	28
29	Total				870,168	834,079	69,666	4,664	529,671	534,335	453,078	76,592		29

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2012-2013 Budget
Expenditure Report by Fund
Through May 2013
92%

LN #	Program Name	Zone	Fund	Prog	Modified Budget	Current Year Estimate	Current Month Expenditures	Current Year PO Balances	Current Year YTD Expenditures	Total Obligations	Prior Year YTD Expenditures	Current Year vs. Prior Year Expenditures	COMMENTS	LN #
	Zone 2C Operations												Budget was modified by \$189k for Nacimiento emergency repairs consultants & addl labor	
30	Nacimiento Dam Operation & Maintenance	2C	116	9910	746,588	832,322	211,173	22,074	968,399	990,473	576,543	391,856		30
31	Nacimiento Administration	2C	116	9915	48,653	47,504	0		35,396	35,396	41,554	(6,158)		31
32	San Antonio Dam Operation & Maintenance	2C	116	9920	563,765	490,163	59,320	78,599	419,134	497,733	430,129	(10,995)		32
33	San Antonio Administration	2C	116	9925	58,107	51,488	640		37,800	37,800	43,557	(5,757)		33
34	Salinas River Channel	2C	116	9935	340,492	375,177	20,009	18,858	468,414	487,272	181,065	287,348	Higher labor costs than budgeted or estimated	34
35	Salinas River Mouth	2C	116	9940	159,489	148,085	3,447	167,609	75,302	242,911	103,472	(28,170)	Reopened purchase order for EIR \$109,596 will be reduced to \$25,000	35
36	Reservoir Oper Hydrology & Water Quality Prog.	2C	116	9945	1,349,232	1,182,582	79,707	950	903,858	904,808	1,021,350	(117,492)		36
37	ALERT Transfer Out	2C	116	9950	255,895	242,664	26,735		185,367	185,367	176,298	9,069		37
38	Transfer to Fund 115 for fish monitoring	2C	116		335,556	335,556	0		0	0	0	0	Budget modified for transfer to Fund 114 for fish monitoring	38
39	Ground Water Extraction/Data Collection	2C	116	9955	82,245	56,017	10,764		85,151	85,151	0	85,151		39
40	Reimbursable from County	2C	116	9956	1,476	1,476	0	1,476	(12,299)	(10,823)	124,259	(136,559)	Accrued fuel clean-up costs were paid by County Risk Dept	40
41	Total				3,941,498	3,763,034	411,794	289,566	3,166,522	3,456,088	2,698,228	468,294		41
	Zone 2C Administration													
42	Zone 2C Administration Assessment Roll	2C	116	9970	125,407	143,354	13,047		121,276	121,276	135,230	(13,953)		42
43	Zone 2C Administration Other	2C	116	9975	221,621	208,029	22,357	20,649	95,175	115,824	141,137	(45,962)		43
44	Total				347,028	351,383	35,404	20,649	216,451	237,100	276,366	(59,915)		11
45	SVWP Bond Revenue Fund	2C	133	9987	2,037,264	2,036,889			2,036,886	2,036,886	1,979,491	57,395	All payments made for FY 2012-13	45
	Total													
46	Salinas River Diversion Facility O&M	3	134	9988	1,091,850	1,219,947	(14,862)	41,176	519,951	561,128	1,728,687	(1,208,736)	PCA O&M booked for July - Nov 2012	46
47	Fish Monitoring	3	134	9989	329,461	244,189	28,429	4,225	287,602	291,827	603,776	(316,173)		47
48	Flow Monitoring	3	134	9990	0		0		0	0	785	(785)		48
49	Water Quality Monitoring	3	134	9991	0		0		3,514	3,514	0	3,514		49
50	Invasive Species	3	134	9992	0		0		0	0	0	0		50
51	Environmental Compliance	3	134	9993	6,999	9,434	0		7,514	7,514	0	7,514		51
52	Total				1,428,310	1,473,570	13,567	45,401	818,582	863,983	2,333,248	(1,514,666)		52
53	Lower Salinas River Channel	3	117	9620	72,317	56,629	15,909	2,500	35,957	38,457	29,778	6,180	Budget modified for delayed channel maintenance EIR purchase order of \$30,000	53
54	Merritt Lake	5	118	9630	32,914	33,400	732	1,000	25,571	26,571	26,667	(1,097)		54
55	CSIP Transfer & Water Conservation Update	6	119	9660	145,213	148,013	798		110,398	110,398	153,621	(43,223)		55
56	CSIP Operation & Maintenance	2Y	131	9643	2,084,913	2,114,200	823,601	106,739	1,805,672	1,912,411	930,592	875,081	2nd half O&M paid in May - last year it was June	56

MONTEREY COUNTY WATER RESOURCES AGENCY
FY 2012-2013 Budget
Expenditure Report by Fund
Through May 2013
92%

LN #	Program Name	Zone	Fund	Prog	Modified Budget	Current Year Estimate	Current Month Expenditures	Current Year PO Balances	Current Year YTD Expenditures	Total Obligations	Prior Year YTD Expenditures	Current Year vs. Prior Year Expenditures	COMMENTS	LN #
57	SVRP Operation & Maintenance	2Z	132	9644	3,956,128	3,898,879	1,048,738		3,866,667	3,866,667	2,761,489	1,105,179	2nd half O&M paid in May - Last year it was June	57
58	CSIP/SVRP Debt Service	2B	303	9666	3,224,360	3,224,360	0		3,176,291	3,176,291	1,900,169	1,276,122	Budget & Actual includes modification of \$1.3m for transfer to Fund 130 Hydroelectric O&M for emergency repairs	58
59	North Monterey County	7	120	9690	4,843	4,896	57		57	57	0	57		59
60	Soledad Storm Drain - Bryant Canyon Project	8	121	9700	173,333	149,630	30,945	3,812	68,702	72,514	82,371	(13,669)	Budget modified for delayed channel maintenance EIR purchase order of \$30,000 and \$15,000 Schaaf & Wheeler	60
61	Prop 218 (With City of Soledad)	8	121	9700	0	0	0		0	0	0	0		61
62	Total				173,333	149,630	30,945	3,812	68,702	72,514	82,371	(13,669)		0
63	Reclamation Ditch Operation & Maintenance	9	122	9720	1,424,918	1,375,999	127,789	54,451	1,025,854	1,080,305	1,201,642	(175,788)		63
64	Environmental Compliance / EIR	9	122	9723	0	0	0		0	0	0	0		64
65	Prop 218	9	122	9724	0	0	0		0	0	0	0		65
66	Easements/Acquisition	9	122	9725	0	0	0		0	0	0	0		66
67	Total				1,424,918	1,375,999	127,789	54,451	1,025,854	1,080,305	1,201,642	(175,788)		67
68	Monterey Peninsula (Carmel Valley)	11	123	9750	38,258	37,402	4,404		28,986	28,986	38,375	(9,389)		68
69	San Lorenzo Creek	12	124	9760	63,579	59,561	2,345	30,000	27,446	57,446	30,665	(3,219)	Budget modified for delayed channel maintenance EIR purchase order of \$30,000	69
70	Arroyo Seco Area	14	125	9765	2,025	2,025	523		2,023	2,023	2,000	23		70
71	Carnation Subdivision (Alisal-Spence Roads)	15	126	9770	20,331	13,997	1,884		2,962	2,962	4,425	(1,463)		71
72	Moro Cojo Slough (Castroville/Moss Landing)	17	127	9780	88,530	60,859	1,691		16,155	16,155	25,479	(9,324)		72
73	Storm Drain Maint. District # 2 (Blanco Drain)	S2	128	9860	118,399	18,160	1,063	1,540	9,541	11,081	14,812	(5,272)		73
74	Gonzales Slough Maintenance District	GS	129	9870	13,409	13,527	53	10,000	3,206	13,206	3,156	50	Budget modified for delayed channel maintenance EIR purchase order of \$10,000	74
75	Nacimiento Hydroelectric Plant	HY	130	9890	1,746,864	1,728,419	160,160	902,122	784,058	1,686,179	332,679	451,379	Budget includes modification of \$1.3m for emergency repairs, delayed consultants & delayed CAISO meter \$97,347	75

Unassigned reserves were not appropriated for the FY 2012-13 Budget

MONTEREY COUNTY WATER RESOURCES AGENCY
Unassigned Reserve Report by Fund
Through May 2013

LN #	FUND NAME	ZONE	FUND	PROG	6/30/2012			6/30/2013		6/30/2013 "BGT EST" UNASSIGNED RESERVES
					TARGET UNASSIGNED RESERVES	ACTUAL UNASSIGNED RESERVES *	% OF TARGET	APPROVED BUDGET UNASSIGNED RESERVES	% OF TARGET	
	Administration	ADM	111	9000	0	1,201	N/A	0	N/A	3,725
10	General Countywide	CW	113	9055	304,000	59,090	19%	70,115	23%	15,514
15	Pajaro River Levee	1	112	9100	182,000	307,861	169%	112,588	62%	189,187
18	Zone 2 Non-O&M Operating	2	114	9205	236,000	296,190	126%	30,840	13%	187,508
34	Zone 2A Non-O&M	2A	115	9551	260,000	116,244	45%	28,884	11%	353,897
55a	Zone 2C O&M	2C	116	9951	1,211,000	767,029	63%	608,291	50%	436,485
63	Zone 2C CAMP	2C	116	9968	N/A	1,631	N/A	1,631	N/A	1,631
67	Zone 2C Administration	2C	116	9975	500,000	293,487	206,513	1,631	0%	276,740
77a	Salinas River Diversion Facility O&M		134	9988	831,000	562,548	68%	568,219	68%	573,393
80	Lower Salinas River Channel	3	117	9620	26,000	118,152	454%	66,183	255%	91,258
81	Merritt Lake	5	118	9630	15,000	6,379	43%	1,583	11%	5,726
86	North Monterey County	7	120	9690	2,000	9,733	487%	1,690	85%	11,054
87	Soledad Storm Drain - Bryant Canyon Project	8	121	9700	66,000	143,948	218%	2,855	4%	70,684
89	Reclamation Ditch	9	122	9722	619,000	887,692	143%	432,237	70%	936,007
94	Monterey Peninsula (Carmel Valley)	11	123	9750	15,000	37,453	250%	50,305	335%	51,082
95	San Lorenzo Creek	12	124	9760	25,000	36,479	146%	4,375	18%	10,938
96	Arroyo Seco Area	14	125	9765	1,000	720	72%	362	36%	345
97	Carnation Subdivision (Alisal-Spence Roads)	15	126	9770	3,000	70,229	2341%	50,223	1674%	61,616
98	Moro Cojo Slough (Castroville/Moss Landing)	17	127	9780	24,000	328,711	1370%	292,826	1220%	371,949
99	Storm Drain Maint. District # 2 (Blanco Drain)	S2	128	9860	13,000	119,437	919%	17,199	132%	119,613
100	Gonzales Slough Maintenance District	GS	129	9870	5,000	10,192	204%	873	17%	541
101	Nacimiento Hydroelectric Plant	HY	130	9890	172,000	237,816	138%	349,517	203%	60,397
103	Monterey Bay Reg Water Construction		425	9996	N/A	2,681	N/A	0	N/A	0
	Sub-Total Excluding CSIP/SVRP & SVWP Debt related funds				4,510,000	4,414,903	98%	2,692,427	60%	3,829,290
82	CSIP Transfer & Water Conservation Update	6	119	9660	109,000	604,386	554%	571,105	524%	524,602
83	CSIP Operation & Maintenance	2Y	131	9643	778,000	989,825	127%	741,791	95%	863,686
84	SVRP Operation & Maintenance	2Z	132	9644	1,469,000	1,632,405	111%	950,289	65%	1,167,404
85	CSIP Bond Debt Service	2B	303	9666	752,000	55,821	7%	62,910	8%	7,461
85	CSIP Bond Debt Service - Rate Stabilization Reserve	2B	303	9666	3,500,000	2,000,000	57%	2,000,000	57%	2,000,000
	Sub-Total CSIP/SVRP Funds				6,608,000	5,282,437	80%	4,326,095	65%	4,563,153
	General Reserve (Hydro stabilization)	ADM	111	9000	3,000,000	1,908,859	64%	2,212,781	74%	1,908,859
85	SVWP Revenue Fund (For next year bond payment)		133	9987	2,031,762	3,311,335	163%	3,303,915	163%	3,328,243
	Sub-Total SVRP Financing Funds				5,031,762	5,220,194	104%	5,516,696	110%	5,237,102
	Total Unassigned Reserves				16,149,762	14,917,534	92%	12,535,218	78%	13,629,545

Note: In the past we have used the terms " Unassigned", "Operating Reserves", "Carryover", and "Available Fund Balances" interchangeably

MONTEREY COUNTY WATER RESOURCES AGENCY
Restricted/Assigned Reserve Report by Fund
 Through May 2013

PROGRAM NAME	ZONE	FUND	PROG	6/30/2012 ACTUAL RESTRICTED/ ASSIGNED RESERVES	6/30/2012 BUDGET RESTRICTED/ ASSIGNED RESERVES	6/30/2013 "BGT EST" RESTRICTED/ ASSIGNED RESERVES
Canyon Del Rey Improvements Reserve		111		72,199	72,199	12,199
General Reserve	2	114		2,989	2,989	2,989
General Reserve	2A	115		524,099	524,099	524,099
Cloud Seeding Reserve	2C	116		125,000	0	125,000
Markeley Swamp Reserve	9	122		245,158	245,158	245,158
Sub-Total Excluding CSIP/SVRP/SVWP				969,445	844,445	909,445
SVWP Debt Service Fund	JPA	704		2,189,250	2,189,250	2,189,250
MRWPCA CSIP Advances	2Y	131		618,647	618,647	618,647
MRWPCA SVRP Advances	2Z	132		851,774	851,774	851,774
Total Restricted/Assigned Reserves				4,629,116	4,504,116	4,569,116

MONTEREY REGIONAL WATER POLLUTION CONTROL AUTHORITY
Reserve Report

RESERVE DESCRIPTION	DATE	6/30/2012 ACTUAL	6/30/2013 BUDGET	6/30/2013 ESTIMATE
CSIP				
Bureau of Reclamation Reserve Funds		302,692	304,194	304,621
Equipment/Major Repair Reserve Funds		202,998	416,026	215,352
SVRP				
State Revolving Loan Reserve Funds		502,119	504,612	505,159
Bureau of Reclamation Reserve Funds		157,394	158,175	158,377
Equipment/Major Repair Reserve Funds		322,715	746,529	28,954
SVRP				
Equipment/Major Repair Reserve Funds		0	100,280	50,212
Total Reserves at MRWPCA (Excluding MRWPCA Advances by MCWRA shown in above section)		1,487,918	2,229,816	1,262,675

**Monterey County Water Resources Agency
Consultants Detail**

Fund	Vendor/Description	YTD May 2013 Actual PO Balances	YTD May 2013 Expenditures	YTD May 2013 Actual Obligations	FY 12/13 Estimate (used for FY 13/14 Bgt)	FY 12/13 Modified Budget
111	Remcho, Johannsen & Purcell - Conflict of interest legal	16,840	14,614	31,454	31,454	0
111	Downey Brand Attorneys, LLP - General legal	40,000		40,000	30,000	100,000
111	Downey Brand Attorneys, LLP - 11043 Permit revocation legal			0	350,000	0
111	Other legal costs		65	65	0	0
111	Hulanicki - Public outreach	240		240	15,240	15,000
111	Troutman Sanders -			0	0	15,000
111	RJA Management Services - Portion of County planning	1,311	5,689	7,000	7,000	7,000
111	DCA Consulting - Interim General Manager	0	162,522	162,522	162,522	0
111	Gutierrez - North Monterey County plan	13,701		13,701	13,701	
111	Monterey County Surveyors	4,728		4,728	4,728	
111	GM recruitment consultant			0	0	98,000
	<u>Total Fund 111 Administration</u>	76,820	182,890	259,710	614,645	235,000
112	Survey/Other			0	25,000	25,000
112	Tree trimming consultant			0	0	40,000
112	Troutman Sanders -			0	0	5,000
	<u>Total Fund 112 Pajaro Levee</u>	0	0	0	25,000	70,000
113	USGS - Stream gauges		16,983	16,983	16,983	17,350
113	Pacific Hydromet - ALERT forecasting service	6,945	34,863	41,808	41,808	42,840
113	One Rain - ALERT satellite fee	7,095	6,480	13,575	13,575	19,335
113	Troutman Sanders -			0	0	5,000
113	Whitson Engineers - Carmel grant consultant	67,289	77,711	145,000	50,000	145,000
	<u>Total Fund 113 Countywide</u>	81,328	136,037	217,366	122,366	229,525
115	Fish Bio - Fish monitoring	4,664	116,906	121,570	383,000	335,556
	<u>Total Fund 115 2A Non-O&M</u>	4,664	116,906	121,570	383,000	335,556

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**Monterey County Water Resources Agency
Consultants Detail**

Fund	Vendor/Description	YTD May 2013 Actual PO Balances	YTD May 2013 Expenditures	YTD May 2013 Actual Obligations	FY 12/13 Estimate (used for FY 13/14 Bgt)	FY 12/13 Modified Budget
116	USGS - Stream gauges		199,322	199,322	199,322	203,177
116	AECOM - Piezometers	33,712	24,578	58,290	60,720	70,720
116	Lee & Pierce - Salinas Valley channel maintenance program			0	5,000	5,000
116	Lee & Pierce - Sandbar management	18,765		18,765	5,000	18,765
116	Hagar - Salinas River Lagoon monitoring	39,546	21,313	60,859	46,859	46,859
116	Downey Brand Attorneys, LLP - SR Channel EIR legal	20,649	22,759	43,408	136,408	150,000
116	Cardno-Entrix - Salinas River Channel EIR	15,498	233,175	248,673	248,673	248,673
116	Cardno-Entrix - Bird Survey	3,200		3,200	0	0
116	Greenline			0	2,000	2,000
116	Diving Services - San Antonio			0	0	35,000
116	Butterfly valve consultant			0	0	70,000
116	Dam safety report			0	0	50,000
116	E-2 Consulting - Engineering services	26,727	25,821	52,548	12,000	2,785
116	Environmental Microanalysis - Lab fees	950		950	950	950
116	Georestitution - Fuel clean-up	1,476	(12,299)	(10,823)	1,476	1,476
116	Monterey County Surveyors	15,418		15,418	15,418	15,418
116	Schaaf & Wheeler - SR Lagoon EIR	109,298	298	109,596	25,000	25,000
116	Whitson Engineers - Surveying services	15	2,391	2,406	2,406	2,406
116	Welenco	3,800		3,800	0	0
116	Wildlife Solutions			0	16,400	0
116	Bgt adj for Nacimiento emergency repairs					189,000
116	Fish Bio Environmental-Fish stranding - Naci emergency repair		8,830	8,830	5,500	
116	Fish Bio Environmental-Fish relocation - Naci emergency repair		12,842	12,842	12,837	
116	DRS Marine - Diving services - Naci emergency repair	2,944	137,056	140,000	140,000	
116	Site Constructors - Naci emergency repair		41,693	41,693	0	
116	Yoshimaru consulting - Naci emergency repair	121	4,379	4,500	0	
	<u>Total Fund 116 Zone 2C Dam Operations & 2C Admin</u>	<u>292,120</u>	<u>722,158</u>	<u>1,014,278</u>	<u>935,969</u>	<u>1,137,229</u>

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**Monterey County Water Resources Agency
Consultants Detail**

Fund	Vendor/Description	YTD May 2013 Actual PO Balances	YTD May 2013 Expenditures	YTD May 2013 Actual Obligations	FY 12/13 Estimate (used for FY 13/14 Bgt)	FY 12/13 Modified Budget
117	Cardno-Entrix - Salinas River Channel EIR		30,000	30,000	30,000	30,000
	<u>Total Fund 117 Lower Salinas River Channel</u>	0	30,000	30,000	30,000	30,000
119	PCA Audit Fee charged by County		33,000	33,000	33,300	
	<u>Total Fund 119 CSIP Water Conservation</u>	0	33,000	33,000	33,300	0
121	Cardno-Entrix - Salinas River Channel EIR	3,812	26,188	30,000	30,000	30,000
121	Schaaf & Wheeler - SR Channel maintenance			0	35,000	35,000
	<u>Total Fund 121 Bryant Canyon</u>	3,812	26,188	30,000	65,000	65,000
122	Downey Brand Attorneys, LLP - Rec Ditch legal			0	13,000	20,000
122	Schaaf & Wheeler - General Maintenance	1,617	15,470	17,087	89,882	92,087
122	Schaaf & Wheeler - Impact Fee	35,726	4,812	40,538	74,812	75,000
122	USGS - Stream gauges		9,492	9,492	9,492	9,675
122	Troutman Sanders -			0	0	5,000
122	Towing Services/Other	94	670	763	10,420	0
	<u>Total Fund 122 Reclamation Ditch</u>	37,437	30,443	67,880	197,606	201,762
123	USGS - Stream gauges		4,746	4,746	4,746	4,838
	<u>Total Fund 123 Monterey Peninsula/Carmel Valley</u>	0	4,746	4,746	4,746	4,838
124	Cardno-Entrix - Salinas River Channel EIR	30,000		30,000	30,000	30,000
124	USGS - Stream gauges		4,746	4,746	4,746	4,838
	<u>Total Fund 124 San Lorenzo Creek</u>	30,000	4,746	34,746	34,746	34,838
125	USGS - Stream gauges		2,000	2,000	2,000	2,000
	<u>Total Fund 125 Arroyo Seco</u>	0	2,000	2,000	2,000	2,000
128	Schaaf & Wheeler	540		540	0	541
	<u>Total Fund 128 Storm Drain Maintenance District #2</u>	540	0	540	0	541

**Monterey County Water Resources Agency
Consultants Detail**

Fund	Vendor/Description	YTD May 2013 Actual PO Balances	YTD May 2013 Expenditures	YTD May 2013 Actual Obligations	FY 12/13 Estimate (used for FY 13/14 Bgt)	FY 12/13 Modified Budget
129	Cardno-Entrix - Salinas River Channel EIR	10,000		10,000	10,000	10,000
	<u>Total Fund 129 Gonzales Slough Maintenance</u>	10,000	0	10,000	10,000	10,000
130	Original Budget - Misc consultants			0	2,597	20,000
130	E-2 Consulting - Engineering services		2,347	2,347	2,347	2,347
130	Hydro emergency repairs budget adjustment					1,300,000
130	Site Constructors - Contractor - Hydro emergency repair	797,854	341,146	1,139,000	1,139,000	
130	Craneveyor - Hydro emergency repair	3,403		3,403	3,403	
130	Yoshimaru Consulting - Construction Mgr-emergency repair	6,683	13,817	20,500	50,000	
130	Willoughby, Stuart, & Bening-Legal-Hydro emergency repair	8,536	3,964	12,500	25,000	
130	Misc service		950	950		
	<u>Total Fund 130 Hydroelectric Plant O&M</u>	816,476	362,224	1,178,699	1,222,347	1,322,347
131	MRWPCA - FY 2012-13 O&M		1,229,158	1,229,158	1,229,158	1,229,158
131	MRWPCA - FY 2011-12 O&M shortfall		34,019	34,019	34,019	0
131	Well log services			0	30,000	30,000
131	E-2 Consulting - Engineering services	408		408	0	0
131	Engineering support services			0	15,000	15,000
131	Salinas Pump	27,426		27,426	27,426	27,426
131	Welenco	5,795	23,710	29,505	29,505	29,505
131	Well work-Maint, repair, destruction				173,000	173,000
131	Salinas Pump	70,000		70,000		
	<u>Total Fund 131 CSIP Operations</u>	103,628	1,286,887	1,390,515	1,538,108	1,504,089
132	MRWPCA - FY 2012-13 O&M		2,097,475	2,097,475	2,097,475	2,097,475
132	MRWPCA - FY 2011-12 O&M overage refunded		(57,499)	(57,499)	(57,499)	0
	<u>Total Fund 132 SVRP Operations</u>	0	2,039,976	2,039,976	2,039,976	2,097,475
134	MRWPCA - FY 2012-13 O&M		244,534	244,534	720,784	720,784

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**Monterey County Water Resources Agency
Consultants Detail**

Fund	Vendor/Decsription	YTD May 2013 Actual PO Balances	YTD May 2013 Expenditures	YTD May 2013 Actual Obligations	FY 12/13 Estimate (used for FY 13/14 Bgt)	FY 12/13 Modified Budget
134	Fish Bio - Fish monitoring	4,225	51,399	55,624	55,624	50,000
134	DRS Marine - Diving services		43,998	43,998	43,998	40,000
134	E-2 Consulting - Engineering services	27	4,257	4,284	4,257	4,257
134	Don Chapin - SRDF emergency repairs			0	76,000	0
134	Willoughby, Stuart, & Bening-Legal-SRDF emergency repair	33,983	28,614	62,597	62,598	50,000
	Total Fund 134 SRDF Operations	38,235	372,801	411,037	963,261	865,041
	Total Agency	1,495,060	5,351,002	6,846,062	8,222,070	8,145,241

**Purchase Order/Contracts in Excess of \$500.00
opened in the month of June 2013
and credit card purchases over \$500.00 in May/June 2013**

CONSENT

Vendor Description	PO/Contract	Zone	Amount
HIGH SIERRA ELECTRONICS Water level monitoring station; Rain guage station	PO 000004849	CW	11,132.48
AT&T Router for use at hydro-electric plant	PO 000004869	HY	3,295.18
SNF PROFESSIONAL SERVICES Two temporary workers transition to become MCWRA employees	DO 000004595	9,2C,HY	5,250.00
FIRST ALARM SECURITY & PATROL Security guard for 893 Blanco Circle	DO 000004685	ADM	1,500.00

CREDIT CARD PURCHASE MAY/JUNE 2013

TARGET Herbicide		9	5,727.36
QUINN RENTAL compact tract loader + mower to mow Pajaro level bench		1 & 1A	6,092.46
PAC MACHINE Equipment rental hydro - electric plant repair		2C	5,380.56
INDUSTRIAL MACHINE Valve covers Naci		HY	1,775.34
MY CHEVROLET Light vehicle repair		ADM	2,279.16
QUINN Repair CAT 315CL EXCAVATOR		ADM	6,260.73

Total of Purchases	31,458.79
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COUNTY OF MONTEREY

PURCHASE ORDER

ORDER DATE 06-05-2013

PO 9300 0000004849

IMPORTANT

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

<p>VENDOR</p> <p>HIGH SIERRA ELECTRONICS INC 155 Spring Hill Drive Grass Valley CA 95945</p>	<p>S H I P T O</p> <p>WATER RESOURCES AGENCY 893 BLANCO CIRCLE SALINAS CA 93901-4455</p>	<p>B I L L T O</p> <p>WATER RESOURCES P O BOX 930 SALINAS CA 93902</p>
<p>VENDOR NUMBER: CV000002515</p>		<p>DELIVERY DATE: F.O.B.:</p>

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
				<p>PURCH DESC: ALERT/IFLOWS Data Transmitter-with Graphical User Interface and 64 MB of Removable Memory & Integrated Data Logging, and 12V 12 Amp Hour Gel Cell Battery. Analog, Digital & SDI-12 Inputs. (Customer will supply their own Radio)</p> <p>Model 3467-56 Packaged Radar Water-Level Monitoring Station. Includes 6753-00 Radar Sensor, 6725-03 Signal Converter/Power Supply, 6753-04 Mountable Housing, 7110-00 Omni-Directional Antenna with 7300-02 8'6" Antenna Mast, 7150-00 Antenna Cable, and 7200 Lightning Protection Device. Note: Customer to supply their own VHF Radio & Solar Panel. Packaged System does not include bridge mounting hardware for enclosure</p> <p>CUSTOM Packaged Rain Gauge Station. Includes 7000-13 Standpipe Assembly, 7000-01 Standpipe Door with Key Lock, 3306-00 ALERT Data Transmitter with 12V 12 Amp Hour Gel Cell Battery (no Radio), 2400-15 Rain Gauge Top Section, 7110-00 Omni-Antenna, 7150-00 Antenna Cable, 7200-00 Lightning Protection Device, 7300-01 Antenna Mast & Hardware. Note: Customer will supply their own VHF Radio & Solar Panel. quote 130554c attached</p> <p>This purchase order shall not exceed \$11132.48 and expires 06/30/2013</p>			
1	1.0	EA	22087		4,338.00	347.04	4,685.04
				<p>COMM LINE DESC: Weather Instrs: Anemometers, Barographs, Barometers, Hygrome 113 - 9300 - 8267 - WRA003 - 6361 - - - - - 4685.04</p>			
4	1.0	EA	89074		2,000.00	160.00	2,160.00

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY **ORDER TOTAL**

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at http://www.co.monterey.ca.us/admin/terms_conditions.htm

TAX EXEMPTION INFORMATION:
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION
Debra Bayard TELEPHONE: 831-755-4995
EMAIL: bayarddr@co.monterey.ca.us

AUTHORIZED BY COUNTY OF MONTEREY
DEPUTIZED PURCHASING AGENT



COUNTY OF MONTEREY

PURCHASE ORDER

ORDER DATE 06-05-2013

PO 9300 000004849

IMPORTANT

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

VENDOR	HIGH SIERRA ELECTRONICS INC 155 Spring Hill Drive Grass Valley CA 95945	SHIP TO	WATER RESOURCES AGENCY 893 BLANCO CIRCLE SALINAS CA 93901-4455	BILL TO	WATER RESOURCES P O BOX 930 SALINAS CA 93902
	VENDOR NUMBER: CV000002515		DELIVERY DATE:		F.O.B.:

ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
				COMM LINE DESC: Transmitters (Pressure, Flow, Level, etc.) 113 - 9300 - 8267 - WRA003 - 6361 - - - - 2160.00			
10	0.0		96299		.00	.00	380.00
				COMM LINE DESC: Freight MSDS: Not Required 113 - 9300 - 8267 - WRA003 - 6361 - - - - 380.00			
11	1.0	EA	22087		3,618.00	289.44	3,907.44
				COMM LINE DESC: Weather Instrs: Anemometers, Barographs, Barometers, Hygrome 113 - 9300 - 8267 - WRA003 - 6361 - - - - 3907.44			

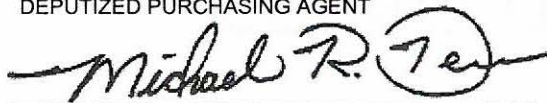
THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY **ORDER TOTAL 11,132.48**

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at http://www.co.monterey.ca.us/admin/terms_conditions.htm

TAX EXEMPTION INFORMATION:
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION
Debra Bayard TELEPHONE: 831-755-4995
EMAIL: bayarddr@co.monterey.ca.us

AUTHORIZED BY COUNTY OF MONTEREY
DEPUTIZED PURCHASING AGENT



PRINT DATE: 07/09/13

CONTRACTS/PURCHASING DIVISION
168 W. Alisal St. 3rd Floor, Salinas, CA 93901

PAGE NUMBER: 2 OF 2



COUNTY OF MONTEREY

PURCHASE ORDER

ORDER DATE 06-20-2013

DO 9300 0000004685

IMPORTANT

THE ABOVE NUMBER AND SHIP TO DEPARTMENT MUST APPEAR ON ALL SHIPPING LABELS, PACKING SLIPS, TRANSPORT DOCUMENTS, INVOICES AND CORRESPONDENCE.

VENDOR	FIRST ALARM SECURITY & PATROL INC 1731 TECHNOLOGY DRIVE SUITE 800 SAN JOSE CA 95110	SHIP TO	WATER RESOURCES AGENCY 893 BLANCO CIRCLE SALINAS CA 93901-4455	BILL TO	WATER RESOURCES P O BOX 930 SALINAS CA 93902
	VENDOR NUMBER: CV000001601		DELIVERY DATE:		F.O.B.:

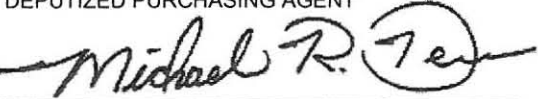
ITEM	QUANTITY	UNIT	COMMODITY CODE	ITEM DESCRIPTION	UNIT PRICE	SALES TAX	EXTENDED PRICE
1	0.0		99046	PURCH DESC: As per the Master Agreement #46, security guard at 893 Blanco Circle, Salinas, CA. This purchase order is valid beginning 06/18/13 and expires 6/30/13. If the County elects to continue doing business, a new purchase order will be issued after 07/01/13. This contract will not exceed \$1500.00. COMM LINE DESC: Guard & Security Svc 111 - 9300 - 8267 - WRA001 - 6613 - - - - - 1500.00	.00	.00	1,500.00

THE SHADED ROWS ARE FOR MONTEREY COUNTY DEPARTMENT USE ONLY **ORDER TOTAL 1,500.00**

All Vendors are required to review the Monterey County general terms and conditions which apply to all contracts, purchase orders, and other electronic procurements made with the County unless otherwise noted. Said terms and conditions can be found on the County website at http://www.co.monterey.ca.us/admin/terms_conditions.htm

TAX EXEMPTION INFORMATION:
FEDERAL EXCISE TAX EXEMPTION NUMBER 94-6000524

COUNTY BUYER INFORMATION
Kristen Aldrich TELEPHONE: X4995
EMAIL: aldrichk@co.monterey.ca.us

AUTHORIZED BY COUNTY OF MONTEREY
DEPUTIZED PURCHASING AGENT


PRINT DATE: 07/09/13

CONTRACTS/PURCHASING DIVISION
168 W. Alisal St. 3rd Floor, Salinas, CA 93901

P43

**MONTEREY COUNTY WATER RESOURCES AGENCY
BOARD OF DIRECTORS – FINANCE COMMITTEE**

MEETING DATE:	July 19, 2013	AGENDA ITEM:	
AGENDA TITLE:	CONSIDER APPROVING AMENDMENT NO. 3 TO THE PROFESSIONAL SERVICES AGREEMENT WITH CARDNO ENTRIX IN THE AMOUNT OF \$26,492 FOR THE COMPLETION OF A FINAL ENVIRONMENTAL IMPACT REPORT RELATING TO THE SALINAS RIVER CHANNEL MAINTENANCE PROGRAM, BRINGING THE TOTAL AMOUNT OF THE AGREEMENT NOT TO EXCEED \$611,879; AND, AUTHORIZING THE GENERAL MANAGER TO EXECUTE THE AMENDMENT		
Consent ()		Action (X)	
Information ()			
SUBMITTED BY:	Brent Buche	PREPARED BY:	Shaunna Juarez
PHONE:	(831) 755-4860	PHONE:	(831) 755-4860
DEADLINE FOR BOARD ACTION:	July 22, 2013		

RECOMMENDED BOARD ACTION:

Approve Amendment No. 3 to the professional services agreement with Cardno ENTRIX in the amount of \$26,492 for the completion of a Final Environmental Impact Report relating to the Salinas River Channel Maintenance Program, bringing the total amount of the Agreement not to exceed \$611,879; and, authorize the General Manager to execute the Amendment.

PRIOR RELEVANT BOARD ACTION:

On March 22, 2010, the Board of Directors (BOD) approved a professional services agreement with Cardno ENTRIX to perform a joint California Environmental Quality Act (CEQA) / National Environmental Policy Act (NEPA) document including supporting analyses, for the amount of \$399,997.

The BOD approved a contract Amendment on December 12, 2011, in the amount of \$185,390, to include maintenance activities that the Agency performs on the tributaries, additional analyses and studies, and for the preparation of just a CEQA document, bringing the total contract amount for the document to \$585,387.

On June 24, 2013, the BOD approved a contract Amendment for a time extension until October 31, 2013, to complete the Final CEQA document.

DISCUSSION/ANALYSIS:

The Agency has administered the Program since 1997, after severe flooding occurred on the Salinas River in March 1995. The US Army Corps of Engineers (ACOE) is the permitting authority for maintenance activities in the Salinas River; however, in order for them to issue a 404 permit, they must have consultations with other Federal regulatory agencies regarding the Endangered Species Act. Additionally, the State Regional Water Quality Control Board is responsible for ensuring compliance with the Clean Water Act by issuing a 401 Water Quality Certification and additional State and local permits are also required. The Agency has been working on the preparation of an

Environmental Impact Report (EIR), as one step in the process to obtain the necessary permits.

The Public Draft EIR comment period ended on May 31, 2013. Based on the amount and types of comments received during that period, a contract amendment is required in order for Cardno ENTRIX to prepare adequate technical responses to those comments as well as to provide additional meeting attendance and coordination due to the extension of time recently approved under Contract Amendment 2. Ultimately, the Board of Supervisors will hold a public hearing on the CEQA document and the project.

FINANCIAL IMPACT:	YES (X) \$26,492 NO ()
FUNDING SOURCE:	Fund 116, Zone 2C, Dam Operations.
COMMITTEE REVIEW AND RECOMMENDATION:	On July 12, 2013, the Finance Committee considered this item.
ATTACHMENTS:	1. Board Order 2. Amendment No. 3 to the Agreement with Cardno ENTRIX
APPROVED:	<hr/> General Manager Date



*Before the Board of Directors of the Monterey County Water Resources Agency
County of Monterey, California*

BOARD ORDER No. _____

APPROVE AMENDMENT NO. 3 TO THE PROFESSIONAL SERVICES)
AGREEMENT WITH CARDNO ENTRIX IN THE AMOUNT OF \$26,492)
FOR THE COMPLETION OF A FINAL ENVIRONMENTAL IMPACT)
REPORT RELATING TO THE SALINAS RIVER CHANNEL)
MAINTENANCE PROGRAM, BRINGING THE TOTAL AMOUNT OF THE)
AGREEMENT NOT TO EXCEED \$611,879; AND, AUTHORIZE THE)
GENERAL MANAGER TO EXECUTE THE AMENDMENT)

Upon motion of Director _____, seconded by Director _____, and carried by those members present, the Board of Directors hereby:

1. Approves Amendment No. 3 to the professional services agreement with Cardno ENTRIX in the amount of \$26,492 for the completion of a Final Environmental Impact Report relating to the Salinas River Channel Maintenance Program, bringing the total amount of the Agreement not to exceed \$611,879; and,
2. Authorizes the General Manager to execute the Amendment.

PASSED AND ADOPTED on this 22nd day of July 2013, by the following vote, to-wit:

AYES:

NOES:

ABSENT:

BY: Ken Ekelund, Chair
Board of Directors

ATTEST: David E. Chardavoyne
General Manager

AMENDMENT No. 3

To Agreement for Professional Services Between Monterey County Water Resources Agency and Cardno ENTRIX

The undersigned parties hereby agree to amend that certain Agreement for Professional Services between the Monterey County Water Resources Agency (hereinafter "Agency") and Cardno ENTRIX (hereinafter "Contractor") and effective last executed by the parties (hereinafter "Agreement").

Section 1 of the Agreement is hereby amended to read as follows:

1. Employment of Contractor. Agency hereby engages Contractor and Contractor hereby agrees to perform the services set forth in Exhibits A, E and H, in conformity with the terms of this Agreement. Contractor will complete all work in accordance with the Scope of Work/Work Schedule set forth in Exhibits A, E and H.

Section 3 of the Agreement is hereby amended to read as follows:

3. Payment to Contractor; maximum liability. Subject to the limitations set forth herein, Agency shall pay to Contractor the amounts provided in Exhibits F and I. The amount of this Amendment No. 3 is \$26,492, bringing the maximum amount payable to Contractor under this contract to **\$611,879**.

Section 31 of the Agreement is hereby amended to read as follows:

31. Exhibits. The following exhibits are attached hereto and incorporated by reference:

- Exhibit A – Scope of Work / Work Schedule
- Exhibit B – Fee Schedule
- Exhibit C – Electronic Deliverables
- Exhibit D – Certificates of Insurance
- Exhibit E – Scope of Work, Amendment No. 1
- Exhibit F – Payment Provisions and Fee Schedule, Amendment No. 1
- Exhibit G – Term of Agreement, Amendment No. 2
- Exhibit H – Scope of Work / Work Schedule, Amendment No. 3
- Exhibit I – Fee Schedule, Amendment No. 3

All other provisions of the Agreement shall remain in full force and effect.

IN WITNESS WHEREOF, Agency and Contractor have caused this Amendment No. 3 to be executed as follows:

**MONTEREY COUNTY
WATER RESOURCES AGENCY**

CARDNO ENTRIX

David E. Chardavoyne,
General Manager

By _____
(signature)

DATED: _____

(print name and title)*

DATED: _____

By _____
(signature)

(print name and title)*

DATED: _____

* INSTRUCTIONS: If Contractor is a corporation (including limited liability and nonprofit corporations), the full legal name of the corporation shall be set forth together with the signatures of two specified officers. If Contractor is a partnership, the name of the partnership shall be set forth together with the signature of a partner with authority to execute this Agreement on behalf of the partnership. If Contractor is contracting in an individual capacity, the individual shall set forth the name of his or her business, if any, and shall personally sign the Agreement.

* * * * *

Approved as to form:

Approved as to fiscal provisions:

Deputy County Counsel

CAO Analyst

Auditor-Controller

DATED: _____

DATED: _____

DATED: _____

Exhibit H

Amendment No. 3 Scope of Work

Augmentation of Task 8, Response to Comments

Task 8 would be revised to reflect that the document is CEQA-only and the ACOE has no involvement at this time in the review of the document. Also, after public review of the Draft EIR, Cardno ENTRIX prepared a matrix of the comments received and approach to responding in the form of master responses as well as individual responses, similar to the original scope of work. However, given the comments received, a more rigorous science-based approach is necessary to respond to many of these comments. Cardno ENTRIX has included several additional technical experts to adequately draft responses to comments. In particular, James Lecky has been added to the team. Mr. Lecky recently joined Cardno ENTRIX after retiring from his position as Director of the Office of Protected Resources for National Marine Fisheries Service (NMFS) in Washington D.C., where he developed policy and provided guidance for NMFS National Endangered Species and Marine Mammal programs.

The revised Task 8 would be:

Task 8 Responses to Comments

8.1 The Agency will collect responses during the public review period and submit these to Cardno ENTRIX. Cardno ENTRIX will prepare a summary of public comments matrix for use in assigning responsibility for preparing responses.

8.2 Cardno ENTRIX will work with the Agency and Agency counsel to prepare draft responses to comments.

Master Responses will be prepared for major themes and will incorporate multiple primary issues as noted below:

- A. River Dynamics/Geomorphology - channel migration, velocity, flows, sediment transport
- B. Biological Mitigation - riparian, buffers and connectivity, conservation easements, specific species and mitigation measures
- C. Water Quality - turbidity, existing impairments and contribution, wells, MM HYD-1 and BMPs
- D. Flood/ Flood Study - levee height, model assumptions, floodplain characteristics
- E. Agriculture/Economics - importance of agriculture, benefits of program, costs related to implementation
- F. Fisheries - shade, temperature, timing, mitigation, recovery plan
- G. Cumulative - relationship of the program to other projects, specifically the SVWP (and BO), the Salinas River Lagoon, and RCD Arundo project
- H. Alternatives - adequacy of analysis, specifics re: no project and floodplain enhancement alternative

Individual responses will also be drafted for up to 95 comments. Comments involving agency policy are to be addressed by the Agency and Agency counsel, if applicable. No major modeling or new quantitative analyses will need to be conducted, just clarifications and explanations of technical analyses already performed and/or available in the published record.

8.3 After review of draft responses by the Agency and Agency counsel, Cardno ENTRIX will revise the responses and include the responses as a chapter in the Final EIR.

Augmentation of Task 11, Project Management

The extension of the contract period from June 30, 2013 to October 31, 2013 will result in the need for additional project management activities. Task 11 would be revised, as follows:

Task 11 Project Management

All text and tables will be in Word and/or Excel format as appropriate. Figures may involve several types of software and will be provided electronically in Adobe Acrobat/pdf format. Invoices and status reports will be provided every 4-6 weeks. Cardno ENTRIX will provide the Agency with the Draft and Final EIR on a CD ROM (3 copies).

For the period of June 30-October, 2013, the Cardno ENTRIX project manager will attend up to 8 conference calls and/or meetings.


Cost by Task

The existing and proposed fees associated with each of these tasks are provided below and in the attached spreadsheet.

Task	Original Budget	Proposed Augmentation	Total for Task
Task 8 Responses to Comments	23,822	22,742	46,564
Task 11 Project Management	60,070	3,749	63,819

The original budget amount was \$585,387. The additional funds would increase the total to \$611,879.

Exhibit I
Amendment No. 3
Fee Schedule

 Salinas River SMP Responses to Comments and Extension of Time Budget Augmentation <i>Hourly Billing Rate, Subconsultant Markup, or Unit Cost:</i>	Cardno ENTRIX											TOTAL CARDNO ENTRIX LABOR	Other Reimbursable Expenses (at cost)		TOTAL OTHER REIMBURSABLE EXPENSES (w/markup)	Communication Fee	Labor Contingency	TOTALS			
	Technical Director	Herson, Laurie Senior Consultant	Katzel, Mitchell Senior Consultant	Ross-Smith, Katie Senior Consultant	Spranza, John Senior Consultant	Elefant, Daniel Senior Staff Engineer	Brailleau, Floren Senior Consultant	Taylor, Tom Senior Consultant	Lucky, James Senior Consultant	Lujate, Minelle Production Specialist	Maline, Wendy Project Coordinator		Travel & Lodging	Other Expense							
	\$ 180	\$ 180	\$ 180	\$ 145	\$ 180	\$ 105	\$ 180	\$ 180	\$ 180	\$ 95	\$ 95		10%	10%					3.00%	0%	
TASK 0800 - Responses to Comments																					
Master Responses																					
A - River Dynamics/Geomorphology			8												\$ 1,440			\$ -	\$ 43	\$ -	\$ 1,483
B - Biological Mitigation				20											\$ 2,900			\$ -	\$ 87	\$ -	\$ 2,987
C - Water Quality					8										\$ 1,440			\$ -	\$ 43	\$ -	\$ 1,483
D - Flood/Flood Study															\$ -			\$ -	\$ -	\$ -	\$ -
E - Agriculture/Econ															\$ -			\$ -	\$ -	\$ -	\$ -
F - Fisheries								16	12						\$ 5,040			\$ -	\$ 151	\$ -	\$ 5,191
G - Cumulative															\$ -			\$ -	\$ -	\$ -	\$ -
H - Alternatives															\$ -			\$ -	\$ -	\$ -	\$ -
Subtotal - Task 1.1	0	0	8	20	8	0	0	16	12	0	0	0	0	\$ 10,820	\$ -	\$ -	\$ -	\$ 325	\$ -	\$ -	\$ 11,145
Individual Responses																					
draft individual responses				20	4			24	4						\$ 8,660			\$ -	\$ 260	\$ -	\$ 8,920
Subtotal - Task 1.2	0	0	0	20	4	0	0	24	4	0	0	0	0	\$ 8,660	\$ -	\$ -	\$ -	\$ 260	\$ -	\$ -	\$ 8,920
Errata/Minor Revisions																					
prepare minor revisions/edits				8				8							\$ 2,600			\$ -	\$ 78	\$ -	\$ 2,678
Subtotal - Task 1.3	0	0	0	8	0	0	0	8	0	0	0	0	0	\$ 2,600	\$ -	\$ -	\$ -	\$ 78	\$ -	\$ -	\$ 2,678
TOTAL - TASK 1	0	0	8	48	12	0	0	48	16	0	0	0	0	\$ 22,080	\$ -	\$ -	\$ -	\$ 662	\$ -	\$ -	\$ 22,742
TASK 1100 - Extension of Contract Period																					
Meetings																					
Up to 8 conf calls or meetings			16												\$ 2,880			\$ -	\$ 86	\$ -	\$ 2,966
coord/management												8			\$ 760			\$ -	\$ 23	\$ -	\$ 783
Subtotal - Task 2.1	0	16	0	0	0	0	0	0	0	0	0	8	0	\$ 3,640	\$ -	\$ -	\$ -	\$ 109	\$ -	\$ -	\$ 3,749
TOTAL - TASK 2	0	16	0	0	0	0	0	0	0	0	0	8	0	\$ 3,640	\$ -	\$ -	\$ -	\$ 109	\$ -	\$ -	\$ 3,749
Total Hours or Amounts	0	16	8	48	12	0	0	48	16	0	0	8		156.0	\$ -	\$ -	\$ -	\$ 772	\$ -	\$ -	\$ 160.0
TOTAL PROJECT COST														\$ 25,720			\$ -	\$ 772	\$ -	\$ -	\$ 26,492

Put notes here
More notes

PS3

**MONTEREY COUNTY WATER RESOURCES AGENCY
FINANCE COMMITTEE**

MEETING DATE:	July 19, 2013	AGENDA ITEM:	
AGENDA TITLE:	CONSIDER RECEIVING AN UPDATE ON A FUEL SPILL REIMBURSEMENT AGREEMENT BETWEEN THE MONTEREY COUNTY WATER RESOURCES AGENCY AND THE COUNTY OF MONTEREY		
Consent ()		Action ()	
Information (X)			
SUBMITTED BY:	Cathy Paladini	PREPARED BY:	Cathy Paladini
PHONE:	(831) 755-4861	PHONE:	(831) 755-4861
DEADLINE FOR BOARD ACTION:	July 19, 2013		

RECOMMENDED BOARD ACTION:

Receive an update on a Fuel Spill Cost Reimbursement Agreement between Monterey County Water Resources Agency and the County of Monterey dated August 28, 2012.

PRIOR RELEVANT BOARD ACTION:

On August 28, 2012, the County of Monterey and the Water Resources Agency signed the attached Fuel Spill Clean-up Management Services Agreement. On page two of the Agreement, the County and Agency agree to the following:

1. County shall reimburse Agency \$335,557 for net expenditures of Agency funds for fuel spill clean-up expenses prior to June 30, 2012...Said reimbursement shall occur within 30 days of the date of approval of this agreement by the Monterey County Board of Supervisors and the Board of Supervisors of the Monterey County Resources Agency.

DISCUSSION/ANALYSIS:

To date, the Monterey County Risk Management Department has reimbursed the Agency \$255,000 of the total expenditures \$335,557 that the Agency incurred prior to June 30, 2012.

- The Agency began meeting with Risk Management as early as November 2012 to discuss vendor invoices and State reimbursements as described in Attachments A & B of the Fuel Spill Agreement.
- February 13, 2013, Risk Management met and informed the Agency that it wanted to review all information contained in the Agreement before initiating payment to the Agency. The Agency promptly provided Risk with all invoices, Agency project CAMS system work orders, and back-up documentation. Risk paid the Agency \$255,080 of the total expenditures \$335,557 in April 2013. Risk excluded the following items from their payment to the Agency: an invoice for \$12,299 which was determined to have been previously paid by the

County and Agency overhead expenses totaling \$68,177.

- May 28, 2013, the Agency met with the CAO's office to discuss Risk Management's payment of \$255,080. The Agency was told that Risk did not agree with the Agency Direct labor overhead and Direct Administrative charges. Risk felt that \$68,177 of these charges was an excessive mark-up. The Agency was advised to meet with Risk Management and the Auditor-Controller's Office to determine the outcome of the remaining unpaid amount \$68,177.
- July 8, 2013, the Agency met with the CAO's office, Auditor Controller's Office, and Risk Management. The Agency explained the Direct Administrative charges and direct labor overhead charges pro-rated from Fund 111. The Agency was then asked to reschedule a meeting to fully explain all direct and indirect costs of the project, a listing of fees from the consultant, confirmation of Administrative staff not directly charging to the project and an explanation of the Agency's CAM project/time reporting system – overhead calculation.
- On July 9, 2013, the Agency was asked by Risk Management to provide payment for a \$20,000 State reimbursement received prior to June 30, 2012. The Agency advised Risk Management that per the Fuel Spill Agreement the County was to reimburse the Agency \$335,557 for all expenses incurred before June 30, 2012. Therefore, until the Agency receives payment in full as the signed agreement states, it will not forward any State reimbursements prior to June 30, 2012.

A follow-up report will be provided to the Finance Committee after the requested report of information is delivered to the County of Monterey's CAO, Auditor-Controller and Risk Management Department.

FINANCIAL IMPACT:	YES (x)	NO ()
FUNDING SOURCE:	(Fund 116, 115)	
COMMITTEE REVIEW AND RECOMMENDATION:	None	
ATTACHMENTS:	1. Fuel Spill Agreement	
APPROVED:	<hr/> General Manager Date	

**AGREEMENT RE: FUEL SPILL CLEAN-UP
MANAGEMENT SERVICES**

THIS AGREEMENT RE: FUEL SPILL MANAGEMENT SERVICES (hereinafter "Agreement") is made this 28th day of August, 2012, by and between the Monterey County Water Resources Agency (hereinafter "Agency") and the County of Monterey (hereinafter "County") (collectively, the "Parties").

WITNESSETH:

WHEREAS, the Agency owns real property in and around the Lake Nacimiento and Lake San Antonio Reservoirs in the Counties of Monterey and San Luis Obispo; and,

WHEREAS, County and the Agency entered into an operational agreement under which the County would provide recreational services in and around Nacimiento and San Antonio Reservoirs; and,

WHEREAS, the County entered into a concessionaire agreement with Water World Resorts, Inc. dated December 14, 1972 which was subsequently amended three times, through which Water World Resorts, Inc. would provide recreational uses at Nacimiento Reservoir in the county of San Luis Obispo; and,

WHEREAS, the County entered into a concessionaire agreement with Lake San Antonio Resorts, Inc., an affiliate of Water World Resorts, Inc., dated January 29, 1992 through which Lake San Antonio Resorts, Inc. would provide recreational uses at San Antonio Reservoir in the County of Monterey; and,

WHEREAS, during the term of its agreement with the County, Water World Resorts, Inc. caused fuel spills to occur on Nacimiento Reservoir lands, and Lake San Antonio Resort, Inc. caused fuel spills to occur on San Antonio Reservoir lands in 2005 and 1997, respectively, from faulty underground storage tanks; and,

WHEREAS, mitigation and monitoring of the fuel spills caused by faulty underground storage tanks at the two fuel product dispensing sites is mandated by the Central Coast Regional Water Quality Control Board under the authority of the State Water Resources Control Board pursuant to Wat. Code sections 13267 and 13268"; and,

WHEREAS, prior to entering into a *Settlement Agreement* dated July 31, 2007 (hereinafter the "Settlement Agreement") with County, Water World Resorts, Inc. and its affiliate paid for all spill clean-up costs, and was fully reimbursed by the State Water Resources Control Board Underground Storage Tank Clean-up Fund ("State UST Fund") in the amount of \$126,669 for Nacimiento Reservoir and \$1,233,239 for San Antonio Reservoir; and,

WHEREAS, also pursuant to the Settlement Agreement and the associated *Agreement of Purchase and Sale of Assets* between the County and Water World Resorts, Inc. dated July 31, 2007 (hereinafter the "Water World Purchase/Sale Agreement") and the *Agreement of Purchase and Sale of Assets* between the County and Lake San Antonio Resort, Inc. dated July 31, 2007 (hereinafter the "Lake San Antonio Purchase/Sale Agreement") County assumed liability for all costs related to the fuel spill clean-up at Nacimiento and San Antonio Reservoirs; and,

WHEREAS, subsequent to October 1, 2007, the Agency expended \$793,712 for fuel spill clean-up project management services, clean-up Consultant (GeoRestoration, Inc.) monitoring and fuel extraction expenses, and regulatory reporting activities, all as shown on Attachment "A"; and,

WHEREAS, Agency has been reimbursed by the County in the amount of \$151,087, and by the State UST Fund in the amount of \$307,069; and,

WHEREAS, Agency suspended fuel spill clean-up activities on June 12, 2012, due to unavailability of funds for that purpose and the expenditure of the maximum authorized amount in the Professional Services Agreement with GeoRestoration, Inc., and has so notified the State Water Resources Control Board; and,

WHEREAS, County desires Agency to continue to provide fuel spill clean-up project management services and regulatory reporting, and to engage a fuel spill clean-up Consultant for monitoring and fuel extraction.

NOW, THEREFORE, for and in consideration of the foregoing, the County and Agency hereby agree as follows;

1. County shall reimburse Agency \$335,557 for net expenditures of Agency funds for fuel spill clean-up expenses prior to June 30, 2012. County Counsel, County Risk Management, Auditor's Office and CAO's office will work together with Monterey County Water Resources Agency to identify the appropriate funding source, which may include the GL-ISF. Said reimbursement shall occur within 30 days of the date of approval of this Agreement by the Monterey County Board of Supervisors and the Board of Supervisors of the Monterey County Water Resources Agency.
2. Agency will provide Project Management Services to County for clean-up of fuel spills caused by faulty underground storage tanks located at the two former product dispensing sites, one at Nacimiento Reservoir and one at San Antonio Reservoir. Project Management Services shall be as delineated in paragraph 3 below. Project Management Services by the Agency at additional sites will only be performed upon execution of an amendment to this Agreement or through a new agreement.
3. Project Management Services to be performed by the Agency shall include, but not be limited to the following:
 - (a) Negotiation and execution of amendment(s) to the January 1, 2010 Professional Services Agreement with GeoRestoration, Inc. for services to include, in accordance with Regional Water Quality Control Board requirements, all items listed below.

- 1) Ground water sampling;
- 2) Monitoring and sampling for TPH, BTEX, oxygenates, lead scavengers, and breakdown products, among others;
- 3) Provision of assessment and remedial action measures including high vacuum/high volume extraction, site assessment, and verification monitoring;
- 4) Submission of an Annual Summary Report to the Agency. The Annual Summary Report shall include
 - o Detect/no detect plume status (is the product moving)
 - o Amount of product removed
 - o Overview and effectiveness of current methods
 - o Recommendations for changes to clean-up methodologies (if any);
- 5) Interface with the Central Coast Regional Water Quality Control Board;
- 6) Download of monitoring data and reports to GeoTracker.

The aforesaid amendment shall require Monterey County Water Resources Agency Board of Supervisors approval.

An estimate of Professional Services Agreement charges through June 30, 2017 is shown on Attachment "B".


- (b) Inspection and review of services provided by GeoRestoration, Inc. Included are a minimum of two site visits per year and two project progress meetings per year to review GeoRestoration, Inc. progress on completing site closure.
- (c) Administration of Professional Services Agreement, as amended, includes the processing of invoices.
- (d) Submission to the State of requests for reimbursement of expenses for the fuel spill clean-up from the State UST Fund. The Agency General Manager, or designee, is the authorized signature for reimbursement requests. Any reimbursement for fuel spill clean-up costs incurred subsequent to June 30, 2012, shall be forwarded to County by Agency within five business days of receipt.

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4. County shall reimburse the Agency, on the basis of quarterly invoices by Agency, all direct and indirect labor costs, travel (as allowed by County policies and guidelines), and equipment charges incurred in the Agency's provision of Project Management Services set forth in Paragraph 3 above. An estimate of Agency expenses through June 30, 2017 is shown on Attachment "C". Agency reimbursements shall not exceed \$35,000 per FY and shall not exceed a cumulative total of \$175,000 for the term of this Agreement without and amendment to this Agreement.
5. Agency shall submit to County, within five business days of receipt, approved quarterly invoices from GeoRestoration, Inc. for services provided pursuant to the Professional Services Agreement, as amended. County shall pay said invoices directly to GeoRestoration, Inc. The amounts payable to GeoRestoration, Inc. shall not exceed \$330,000 for FY 2012-2013; \$335,000 for FY 2013-2014; \$340,000 for FY 2014-2015; \$350,000 for FY 2015-2016; and \$360,000 for FY 2016-2017 without an amendment to this Agreement. The total amount payable under this Agreement shall not exceed \$1,715,000 without an amendment to this Agreement.
6. This Agreement shall terminate on June 30, 2017, unless otherwise renewed, extended or superseded by both parties in writing. The termination of this Agreement shall not alter liabilities or obligations the County has under the Settlement Agreement, the Water World Purchase/ Sale Agreement and the Lake San Antonio Purchase/Sale Agreement for fuel spill clean-up subsequent to June 30, 2017.

IN WITNESS WHEREOF, the Monterey County Water Resources Agency and County of Monterey execute this Agreement as follows:

COUNTY OF MONTEREY

By: 
Lew Bauman
Its: CAO

MONTEREY COUNTY WATER RESOURCES AGENCY

By: 
David Chardavoyne
Its: Interim General Manager

ATTACHMENT "A"
TO AGREEMENT RE: FUEL SPILL
CLEAN-UP MANAGEMENT SERVICES

MONTEREY COUNTY WATER RESOURCES AGENCY
SAN ANTONIO & NACIMIENTO RESERVOIRS FUEL CLEAN-UP COSTS
AND REIMBURSEMENTS

Monterey County Water Resources Agency
San Antonio & Nacimiento Reservoirs Fuel Clean-up Costs
6/30/2012

	<u>Total</u>	<u>SA</u>	<u>Naci</u>
<u>FY 2007-08</u>			
TRC Solutions (Paid thru Downey Brands 10/2/07)	\$5,629.66		\$5,629.66
TRC Solutions (Paid thru Downey Brands 1/3/08)	6,629.95		6,629.95
Downey Brands legal fees (Oct 07 - March 08)	6,831.50	\$ 3,415.75	3,415.75
County Counsel Charges (Nov 07 - March 08)	2,523.41	1,261.71	1,261.70
Geo Restoration	9,552.25	9,552.25	
Water Resources Applied Labor (11/24/07 - 6/20/08)			
Direct labor charge	\$22,788.79		
Admin salary allocation 26.216%	<u>5,974.31</u>	28,763.10	14,432.26
Total FY 2007-08 Costs		<u>\$59,929.87</u>	<u>\$28,560.55</u>
			<u>\$31,369.32</u>
<u>FY 2008-09</u>			
Geo Restoration	\$27,114.95	\$ 15,814.95	\$ 11,300.00
Water Resources Applied Labor (6/21/08 - 6/19/09)			
Direct labor charge	24,135.07		
Admin salary allocation 28.444%	<u>6,864.98</u>	31,000.05	17,260.13
Total FY 2008-09 Costs		<u>\$58,115.00</u>	<u>\$29,554.87</u>
			<u>\$28,560.13</u>
<u>YTD FY 2009-10</u>			
Geo Restoration	\$145,112.51	\$122,262.63	\$22,849.88
Water Resources Applied Labor (6/20-09 - 6/18/10)			
Direct labor charge	27,145.72		
Admin salary allocation 25.291%	<u>6,865.42</u>	34,011.14	16,724.88
Total FY 2009-10 Costs		<u>\$179,123.65</u>	<u>\$139,548.89</u>
			<u>\$39,574.76</u>
<u>YTD FY 2010-11</u>			
Geo Restoration	\$285,866.24	\$184,018.85	\$101,847.39
Water Resources Applied Labor (6/19-10 -6/17/11)			
Direct labor charge	21,870.45		
Admin salary allocation 25.302%	<u>5,533.65</u>	27,404.10	17,091.76
Total YTD FY 2010-11 Costs		<u>\$313,270.34</u>	<u>\$194,331.19</u>
			<u>\$118,939.15</u>
<u>YTD FY 2011-12</u>			
Geo Restoration Paid	\$144,724.37	\$120,018.13	\$24,706.24
Geo Restoration Unpaid	12,299.34	4,326.48	7,972.86
Water Resources Applied Labor (6/18/11 - 6/15/12)			
Direct labor charge	21,908.60		
Admin salary allocation 19.815%	<u>4,341.19</u>	26,249.79	11,609.45
Total Fiscal YTD June 15, 2012 Costs		<u>\$183,273.50</u>	<u>\$138,984.95</u>
			<u>\$44,288.55</u>
Total Costs thru 6/15/2012		<u>\$793,712.36</u>	<u>\$530,980.45</u>
			<u>\$262,731.91</u>
Reimbursed by Park Dept in FY 2009-10	(\$80,015.38)		
Reimbursed by Park Dept in FY 2010-11	(71,071.43)		
Reimbursement - State UST Fund Lake San Antonio <i>Partial Payment</i>	(167,334.00)		
Reimbursement - State UST Fund Lake Nacimiento <i>Partial Payment</i>	(139,735.00)		
Balance Unreimbursed Thru 6/15/12		<u>\$335,556.55</u>	

**Monterey County Water Resources Agency
San Antonio & Nacimiento Reservoirs Fuel Clean-up Costs**

Summary	
TRC Consultant costs	\$12,259.61
Georestorement Consultant costs	624,669.66
Downey Brands legal costs	6,831.50
County Counsel costs	2,523.41
Applied labor costs	<u>147,428.18</u>
Total costs through 2/28/11	<u>\$793,712.36</u>
Reimbursed from Parks FYs 9-10 & 10-11	(151,086.81)
State reimbursement	<u>(307,069.00)</u>
FY 2011-12 Estimate due from County	<u><u>\$335,556.55</u></u>

ATTACHMENT "B"
TO AGREEMENT RE: FUEL SPILL
CLEAN-UP MANAGEMENT SERVICES

**ESTIMATE OF PROFESSIONAL SERVICES
AGREEMENT CHARGES**

	Nacimiento	Task	San Antonio	Task	Total
FY 2012-2013	\$17,665	Monitoring*	\$307,204	M & R*	\$324,869
FY 2013-2014	\$18,019	Monitoring*	\$313,348	M & R*	\$331,366
FY 2014-2015	\$18,379	Monitoring*	\$319,615	M & R*	\$337,994
FY 2015-2016	\$18,747	Monitoring*	\$326,007	M & R*	\$344,754
FY 2016-2017	\$19,122	Monitoring*	\$332,527	M & R*	\$351,649
Total =	\$91,932		\$1,598,700		\$1,690,632

***Monitoring & Remediation (M&R) Costs Are Variable Based on Stage of Site Remediation**

(costs are based on average monthly cleanup costs from FY 2011-2012, with an estimated 2% CPI increase built in for budgeting purposes)

ATTACHMENT "C"
TO AGREEMENT RE: FUEL SPILL
CLEAN-UP MANAGEMENT SERVICES

ESTIMATE OF AGENCY CHARGES

STAFF RATE		\$130/hr.
	HOURS	
		Field Work
	32	Field Site Visits
		Technical Review
	32	Semi-Annual Technical Report Review & Comment
	25	Site Remediation Action Plans
	25	Annual Progress Reports
	25	Modification(s) and Remediation Action Plan Document Review
	25	Quarterly Invoicing
		Contract Management
	32	Project Consultation and Discussion
	32	Board Reports
TOTAL STAFF HOURS	228	
TOTAL STAFF COSTS (2012-13)	\$30,000	
TOTAL STAFF COSTS (2013-14)	\$30,600	Includes estimated 2% CPI increase for budgeting purposes
TOTAL STAFF COSTS (2014-15)	\$31,212	Includes estimated 2% CPI increase for budgeting purposes
TOTAL STAFF COSTS (2015-16)	\$31,836	Includes estimated 2% CPI increase for budgeting purposes
TOTAL STAFF COSTS (2016-17)	\$32,473	Includes estimated 2% CPI increase for budgeting purposes
TOTAL STAFF COSTS	\$156,121	Total Estimated Agency Staff Costs for this agreement

